



## BOARD OF SUPERVISORS AGENDA ITEM REPORT CONTRACTS / AWARDS / GRANTS

☐ Award ☐ Contract ☒ Grant

Requested Board Meeting Date: January 21, 2020

\* = Mandatory, information must be provided

or Procurement Director Award ☐

**\*Contractor/Vendor Name/Grantor (DBA):**

Arizona Board of Regents, University of Arizona

**\*Project Title/Description:**

Health Promotion and Disease Prevention Research Centers

**\*Purpose:**

Funding from the Health Promotion and Disease Prevention Research Centers will facilitate implementation of a project titled *Unidos: Linking Individuals to Social Determinant and Community Health Services*. The purpose of this project is to address chronic disease and social conditions that contribute to health disparities among Latinos in Arizona. This will involve delivering education and resources to target communities through Community Health Workers (CHWs) who understand and represent community needs within and outside of traditional health systems.

**\*Procurement Method:**

This Revenue Contract is a non-Procurement contract and not subject to Procurement rules.

**\*Program Goals/Predicted Outcomes:**

It is expected that this project will show that enhanced social support and networks will improve reported health over a six month period. The outcome of this research will be a scalable, sustainable Community-Clinical Linkages model that lowers chronic disease risk. Community Health Workers within the Pima County Health Department will accomplish these outcomes by working with El Rio Community Health Center to identify program participants. CHWs will work with participants to:

- 1) Support and connect participants to health promotion resources;
- 2) Provide individual and group-based support; and
- 3) Leverage community resources to help individuals address social determinants of health-related needs.

**\*Public Benefit:**

Reduction of chronic disease and improved social conditions among Latino adults in Pima County.

**\*Metrics Available to Measure Performance:**

1. Participate in the Arizona Prevention Research Center (AzPRC) Community Action Board (CAB) Research Committee;
2. Work collaboratively with the CAB Research Committee and AzPRC research team to refine the Unidos community-clinical linkage model;
3. Employ a CHW to implement the community program;
4. Participate in CHW training related to the research intervention;
5. Collect survey data relevant to the community program being implemented by CHWs in the community; and
6. Participate in dissemination and scale up activities as appropriate.

**\*Retroactive:**

Yes. The grant funding for Year 1 of the contract began October 1, 2019. However, the final agreement was not received from the University until Jan. 7, 2020. PCHD will not begin implementation of this project until BOS approval.

RECEIVED  
JAN 10 2020  
PCHD KCFB  
966

Document Type: \_\_\_\_\_ Department Code: \_\_\_\_\_ Contract Number (i.e., 15-123): \_\_\_\_\_  
Effective Date: \_\_\_\_\_ Termination Date: \_\_\_\_\_ Prior Contract Number (Synergen/CMS): \_\_\_\_\_  
☐ **Expense Amount: \$\*** \_\_\_\_\_ ☐ **Revenue Amount: \$** \_\_\_\_\_

*If Yes, attach the required form per Administrative Procedure 22-10.*

## Page 2 of 2

## FDP Cost Reimbursement Subaward

Federal Awarding Agency: National Institutes of Health (NIH)

Pass-Through Entity (PTE):

Arizona Board of Regents, University of Arizona

Subrecipient:

Pima County, on behalf of the Pima County Health Department

PTE PI: Scott C Carvajal

Sub PI: Donald Gates

PTE Federal Award No: 6 U48DP006413-01-01

Subaward No: 13850169

Project Title: Health Promotion and Disease Prevention Research Centers - 2019

Subaward Period of Performance (Budget Period):

Start: 09/30/2019

End: 09/29/2020

Amount Funded This Action (USD): \$ 60,000.00

Estimated Project Period (if incrementally funded):

Start: 09/30/2019

End: 09/29/2024

Incrementally Estimated Total (USD): \$ 156,000.00

### Terms and Conditions

- PTE hereby awards a cost reimbursable subaward, (as determined by 2 CFR 200.330), to Subrecipient. The Statement of Work and budget for this Subaward are as shown in Attachment 5. In its performance of Subaward work, Subrecipient shall be an independent entity and not an employee or agent of PTE.
- Subrecipient shall submit invoices not more often than monthly and not less frequently than quarterly for allowable costs incurred. Upon the receipt of proper invoices, the PTE agrees to process payments in accordance with this Subaward and 2 CFR 200.305. All invoices shall be submitted using Subrecipient's standard invoice, but at a minimum shall include current and cumulative costs (including cost sharing), breakdown by major cost category, Subaward number, and certification, as required in 2 CFR 200.415(a). Invoices that do not reference PTE Subaward number shall be returned to Subrecipient. Invoices and questions concerning invoice receipt or payments shall be directed to the party's Financial Contact, shown in Attachment 3A.
- A final statement of cumulative costs incurred, including cost sharing, marked "FINAL" must be submitted to PTE's Financial Contact, as shown in Attachment 3A, not later than 60 days after the Project Period end date. The final statement of costs shall constitute Subrecipient's final financial report.
- All payments shall be considered provisional and are subject to adjustment within the total estimated cost in the event such adjustment is necessary as a result of an adverse audit finding against the Subrecipient.
- Matters concerning the technical performance of this Subaward shall be directed to the appropriate party's Principal Investigator as shown in Attachments 3A and 3B. Technical reports are required as shown in Attachment 4.
- Matters concerning the request or negotiation of any changes in the terms, conditions, or amounts cited in this Subaward, and any changes requiring prior approval, shall be directed to the PTE's Administrative Contact and the Subrecipient's Authorized Official Contact shown in Attachments 3A and 3B. Any such change made to this Subaward requires the written approval of each party's Authorized Official as shown in Attachments 3A and 3B.
- The PTE may issue non-substantive changes to the Period of Performance and budget Unilaterally. Unilateral modification shall be considered valid 14 days after receipt unless otherwise indicated by Subrecipient when sent to Subrecipient's Administrative Contact, as shown in Attachment 3B.
- Each party shall be responsible for its negligent acts or omissions and the negligent acts or omissions of its employees, officers, or directors, to the extent allowed by law.
- Either party may terminate this Subaward with 30 days written notice. PTE notice shall be directed to the Authorized Official Contact, and Subrecipient notice shall be directed to the Authorized Official Contact as shown in Attachments 3A and 3B. PTE shall pay Subrecipient for termination costs as allowable under Uniform Guidance, 2 CFR 200, or 45 CFR Part 75 Appendix IX, as applicable.
- By signing this Subaward, including the attachments hereto which are hereby incorporated by reference, Subrecipient certifies that it will perform the Statement of Work in accordance with the terms and conditions of this Subaward and the applicable terms of the Federal Award, including the appropriate Research Terms and Conditions ("RTCs") of the Federal Awarding Agency, as referenced in Attachment 2. The parties further agree that they intend this subaward to comply with all applicable laws, regulations, and requirements.

By an Authorized Official of the PTE:

Name:

Date

Title:

By an Authorized Official of the Subrecipient:

Name:

Date

Title:

**PIMA COUNTY APPROVALS:**

**APPROVED:**

\_\_\_\_\_  
Chair, Board of Supervisors


\_\_\_\_\_  
Date

**ATTEST:**

\_\_\_\_\_  
Clerk of Board

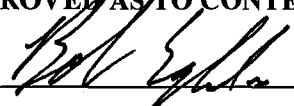
\_\_\_\_\_  
Date

**APPROVED AS TO FORM:**

  
\_\_\_\_\_  
Deputy County Attorney

11/7/2020  
\_\_\_\_\_  
Date

**APPROVED AS TO CONTENT:**

  
\_\_\_\_\_  
Department Representative

1-7-2020  
\_\_\_\_\_  
Date

**Attachment 1**  
**Certifications and Assurances**

Subaward Number:

13850169

**Certification Regarding Lobbying (2 CFR 200.450)**

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief, that no Federal appropriated funds have been paid or will be paid, by or on behalf of the Subrecipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement in accordance with 2 CFR 200.450.

If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Subrecipient shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying," to the PTE.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

---

**Debarment, Suspension, and Other Responsibility Matters (2 CFR 200.213 and 2 CFR 180)**

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief that neither the Subrecipient nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency, in accordance with 2 CFR 200.213 and 2 CFR 180.

---

**Audit and Access to Records**

Per 2 CFR 200.501- 200.521, Subrecipient certifies that it will provide notice of any adverse findings which impact this Subaward and will provide access to records as required by parts 2 CFR 200.336, 200.337, and 200.201 as applicable. If Subrecipient is not subject to the Single Audit Act, then Subrecipient will provide notice of the completion of any required audits and provide access to such audits upon request.

---

**Program for Enhancement of Contractor Employee Protections (41 U.S.C 4712)**

Subrecipient is hereby notified that they are required to: inform their employees working on any federal award that they are subject to the whistleblower rights and remedies of the program; inform their employees in writing of employee whistleblower protections under 41 U.S.C §4712 in the predominant native language of the workforce; and include such requirements in any agreement made with a subcontractor or subgrantee.

---

The Subrecipient shall require that the language of the certifications above in this Attachment 1 be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

---

**Use of Name**

Neither party shall use the other party's name, trademarks, or other logos in any publicity, advertising, or news release without the prior written approval of an authorized representative of that party. The parties agree that each party may use factual information regarding the existence and purpose of the relationship that is the subject of this Subaward for legitimate business purposes, to satisfy any reporting and funding obligations, or as required by applicable law or regulation without written permission from the other party. In any such statement, the relationship of the parties shall be accurately and appropriately described.

**Attachment 2**  
**Federal Award Terms and Conditions**

Subaward Number

13850169

**Required Data Elements**

The data elements required by Uniform  
Guidance are incorporated in the attached Federal Award.

Awarding Agency Institute (If Applicable)

Centers for Disease Control and Prevention, CDC

Federal Award Issue Date FAIN CFDA No.

02/15/15

U48DP006413

93.135

CFDA Title

Centers for Research and Demonstration for Health Promotion and Disease Prevention

Key Personnel Per NOA

**This Subaward Is:**



Research & Development



Subject to FFATA

**General Terms and Conditions**

By signing this Subaward, Subrecipient agrees to the following:

1. To abide by the conditions on activities and restrictions on expenditure of federal funds in appropriations acts that are applicable to this Subaward to the extent those restrictions are pertinent. This includes any recent legislation noted on the Federal Awarding Agency's website:

<http://grants.nih.gov/policy/notices.htm>

2. 2 CFR 200 and 45 CFR Part 75.

3. The Federal Awarding Agency's grants policy guidance, including addenda in effect as of the beginning date of the period of performance or as amended found at:

<http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>

4. Research Terms and Conditions, including any Federal Awarding Agency's Specific Requirements found at:

<https://www.nsf.gov/awards/managing/rtc.jsp>

except for the following :

- a. No-cost extensions require the written approval of the PTE. Any requests for a no-cost extension shall be directed to the Financial Contact shown in Attachment 3A, not less than 30 days prior to the desired effective date of the requested change.
- b. Any payment mechanisms and financial reporting requirements described in the applicable Federal Awarding Agency Terms and Conditions and Agency-Specific Requirements are replaced with Terms and Conditions (1) through (4) of this Subaward; and
- c. Any prior approvals are to be sought from the PTE and not the Federal Awarding Agency.
- d. Title to equipment as defined in 2 CFR 200.33 that is purchased or fabricated with research funds or Subrecipient cost sharing funds, as direct costs of the project or program, shall vest in the Subrecipient subject to the conditions specified in 2 CFR 200.313.
- e. Prior approval must be sought for a change in Subrecipient PI or change in Key Personnel (defined as listed on the NOA).

5. Treatment of program income: Additive

**Special Terms and Conditions:**

**Data Sharing and Access:**

Subrecipient agrees to comply with the Federal Awarding Agency's data sharing and/or access requirements as reflected in the NOA or the Federal Awarding Agency's standard terms and conditions as referenced in General Terms and Conditions 1-4 above.

Attached is a Data Management and/or Sharing Plan that incorporates additional requirements as submitted to the Federal Awarding Agency.

**Data Rights:**

Subrecipient grants to PTE the right to use data created in the performance of this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its PTE Federal Award.

**Copyrights:**

Subrecipient Grants to PTE an irrevocable, royalty-free, non-transferable, non-exclusive right and license to use, reproduce, make derivative works, display, and perform publicly any copyrights or copyrighted material (including any computer software and its documentation and/or databases) first developed and delivered under this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its PTE Federal Award.

Subrecipient grants to PTE the right to use any written progress reports and deliverables created under this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its Federal Award.

**Promoting Objectivity in Research (COI):**

Subrecipient must designate herein which entity's Financial Conflicts of Interest policy (COI) will apply: PTE

If applying its own COI policy, by execution of this Subaward, Subrecipient certifies that its policy complies with the requirements of the relevant Federal Awarding Agency as identified herein: NIH - 42 CFR Part 50 Subpart F

Subrecipient shall report any financial conflict of interest to PTE's Administrative Representative or COI contact, as designated on Attachment 3A. Any financial conflicts of interest identified shall, when applicable, subsequently be reported to Federal Awarding Agency. Such report shall be made before expenditure of funds authorized in this Subaward and within 45 days of any subsequently identified COI.

---

**Work Involving Human or Vertebrate Animals** (Select Applicable Options)

☒ No Human or Vertebrate Animals

This section left intentionally blank.

---

**Human Subjects Data** (Select One)

Applicable

Human Subjects Data will be exchanged under this Subaward (check all that apply):

- ☒ From Subrecipient to PTE  
☒ From PTE to Subrecipient

The PTE will set forth the terms of the exchange of Human Subjects Data (Select One):

Via a separate Data Use Agreement

---

**NIH Terms and Conditions**

The Clinical Trial Indicator in Section IV of the PTE's NOA is stated as: No ?

---

**Multiple PIs (MPI)**

This subaward is not subject to an MPI Leadership Plan.

?

---

**Certificate of Confidentiality:**

The Parties agree that this research funded in whole or in part by the National Institutes of Health ("NIH"), is subject to NIH Policy NOT-OD-17-109 (the "Policy") and therefore is deemed under the Policy to be issued a Certificate of Confidentiality ("Certificate") should the conditions outlined within the Policy apply. Accordingly, the subrecipients who collect or receive identifiable, sensitive information are is required to adhere to the Policy and protect the privacy of individuals who are subjects of such research in accordance with the Policy and subsection 301(d) of the Public Health Service Act (the "PHS Act").

---

**Additional Terms**

**Attachment 3A**  
**Pass-Through Entity (PTE) Contacts**

Subaward Number:

13850169

**PTE Information**

Entity Name: Arizona Board of Regents, University of Arizona

Legal Address: 888 North Euclid Avenue  
Room 510  
Tucson, AZ 85719-4824

Website: <http://rgw.arizona.edu>

**PTE Contacts**

Central Email: SPS-Subawards@email.arizona.edu

Principal Investigator Name: Scott C Carvajal

Email: [scott.carvajal@arizona.edu](mailto:scott.carvajal@arizona.edu)

Telephone Number: 520-626-9026

Administrative Contact Name: Sponsored Projects & Contracting Services - Subawards

Email: SPS-Subawards@email.arizona.edu

Telephone Number: 520-626-600

COI Contact email (if different to above): COI@email.arizona.edu

Financial Contact Name: Jackie Assini

Email: [jassini@email.arizona.edu](mailto:jassini@email.arizona.edu)

Telephone Number: 520-626-3235

Email invoices? ☒ Yes ☐ No Invoice email (if different):

Authorized Official Name: Sponsored Projects & Contracting Services

Email: CRS-ORD@email.arizona.edu

Telephone Number: 520-626-3050

**PI Address:**

Mel & Enid Zuckerman College of Public Health  
1295 N. Martin Ave., BLD 202A  
PO Box 210202  
Tucson, Arizona 85724

**Administrative Address:**

Sponsored Projects & Contracting Services  
University Services Building  
888 North Euclid Avenue, Room 510  
Tucson, AZ 85719-4824

**Invoice Address:**

Mel & Enid Zuckerman College of Public Health  
1295 N. Martin Ave., BLD 202A  
P.O. Box 245163  
Tucson, Arizona 85724



**Attachment 3B****Subrecipient Contacts**

Subaward Number:

13850169

**Subrecipient Information for FFATA reporting**

Entity's DUNS Name: Pima County, on behalf of the Pima County Health Department

EIN No.: 866000543

Institution Type: Public/State Controlled Inst. of Higher Ed.

DUNS: 144733792

Currently registered in SAM.gov: ☒ Yes ☐ NoExempt from reporting executive compensation: ☒ Yes ☐ No (if no, complete 3Bpg2)

Parent DUNS:

This section for U.S. Entities:

Zip Code Look-up

Place of Performance Address

Congressional District: AZ 1,2,3

Zip Code+4:

85714-2226

3950 S. Country Club Road, Suite 100  
Tucson, AZ 85714**Subrecipient Contacts**

Central Email:

Website:

[http://webcms.pima.gov/government/health\\_department/](http://webcms.pima.gov/government/health_department/)

Principal Investigator Name: Donald Gates

Email: Donald.Gates@pima.gov

Telephone Number: 520-724-7843

Administrative Contact Name: Sharon Grant

Email: Sharon.Grant@pima.gov

Telephone Number: 520-724-7843

Financial Contact Name: Candy Moore

Email: Candy.Moore@pima.gov

Telephone Number: 520-724-7783

Invoice/Payment Email:

Authorized Official Name: Donald Gates

Email: Donald.Gates@pima.gov

Telephone Number: 520-724-7843

**Legal Address:**3950 S. Country Club Road, Suite 100  
Tucson, AZ 85714**Administrative Address:**3950 S. Country Club Road, Suite 100  
Tucson, AZ 85714**Payment Address:**130 W Congress, Floor 4  
Tucson, AZ 85701

**Attachment 3B-2**  
**Highest Compensated Officers**

Subaward Number:

13850169

**Subrecipient:**

Institution Name: Pima County, on behalf of the Pima County Health Department

PI Name: Donald Gates

**Highest Compensated Officers**

The names and total compensation of the five most highly compensated officers of the entity(ies) must be listed if the entity in the preceding fiscal year received 80 percent or more of its annual gross revenues in Federal awards; and \$25,000,000 or more in annual gross revenues from Federal awards; and the public does not have access to this information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. §§ 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. See FFATA § 2(b)(1) Internal Revenue Code of 1986.

Officer 1 Name:

Officer 1 Compensation:

Officer 2 Name:

Officer 2 Compensation:

Officer 3 Name:

Officer 3 Compensation:

Officer 4 Name:

Officer 4 Compensation:

Officer 5 Name:

Officer 5 Compensation:

**Attachment 4**  
**Reporting and Prior Approval Terms**

Subaward Number:

13850169

Subrecipient agrees to submit the following reports (PTE contacts are identified in Attachment 3A):

**Technical Reports:**

- ☐ Monthly technical/progress reports will be submitted to the PTE's Financial Contact within 15 days of the end of the month.
- ☐ Quarterly technical/progress reports will be submitted within 30 days after the end of each project quarter to the PTE's Financial Contact.
- ☒ Annual technical / progress reports will be submitted within 60 days prior to the end of each budget period to the PTE's Financial Contact. Such report shall also include a detailed budget for the next Budget Period, updated other support for key personnel, certification of appropriate education in the conduct of human subject research of any new key personnel, and annual IRB or IACUC approval, if applicable.
- ☒ A Final technical/progress report will be submitted to the PTE's Financial Contact within 60 days of the end of the Project Period or after termination of this award, whichever comes first.
- ☒ Technical/progress reports on the project as may be required by PTE's Financial Contact in order for the PTE to satisfy its reporting obligations to the Federal Awarding Agency.

**Prior Approvals:**

Carryover:

Carryover is restricted for this subaward by the: Federal Awarding Agency

*Carryover instructions and requirements are as stated by the Federal Awarding Agency guidance or as shown below.*

Submit carryover requests to the Financial Contact.

**Other Reports:**

- ☒ In accordance with 37 CFR 401.14, Subrecipient agrees to notify both the Federal Awarding Agency via iEdison and PTE's Principal Investigator within 60 days after Subrecipient's inventor discloses invention(s) in writing to Subrecipient's personnel responsible for patent matters. The Subrecipient will submit a final invention report using Federal Awarding Agency specific forms to the PTE's Principal Investigator within 60 days of the end of the Project Period to be included as part of the PTE's final invention report to the Federal Awarding Agency.

A negative report is required: Yes

- ☐ Property Inventory Report (only when required by Federal Awarding Agency), specific requirements below.

**Additional Technical and Reporting Requirements:**

Attachment 5

Statement of Work, Cost Sharing, Indirects & Budget

Subaward Number:

13850169

Statement of Work

☐ Below ☒ Attached, 

1

 pages

If award is FFATA eligible and SOW exceeds 4000 characters, include a *Subrecipient Federal Award Project Description*

Budget Information

Indirect Information	Indirect Cost Rate (IDC) Applied	<div>10</div> %	Cost Sharing	<div>No</div>
Rate Type:	<div>Salaries &amp; Wages</div>		If Yes, include Amount: \$	<div></div>

Budget Details

☐ Below ☒ Attached, 

3

 pages

Budget Totals

Direct Costs	\$	<div>55,320.00</div>
Indirect Costs	\$	<div>4,680.00</div>
Total Costs	\$	<div>60,000.00</div>

All amounts are in United States Dollars

Pima County Health Department  
Unidos Statement of Work  
2019-2020

Pima County Health Department is the primary entity protecting and promoting the health of Arizona's 2<sup>nd</sup> most populous county. Pima CHD seeks to ensure the health, safety, and well-being of the community through leadership, collaboration, and education. Pima CHD has also worked closely with the AzPRC on the Pima County Putting Prevention to Work project, such as evaluating efforts to increase healthy snacks as part of the Pima CHD Community Health Improvement Plan. Pima CHD has 8 offices that offer the following services: consumer health and food safety, education, and outreach, preventive health, and behavioral health

Pima County Public Health Services was selected as a partner for the AzPRC Core Research Project based on the criteria: 1) is a county health departments responsible for working with ADHS in administering public health and chronic disease prevention programs; 2) is located in a county with a large Mexican origin population which is the focus of the study. 3) Is located in a county in which a CHC had agreed to participate in the research and provide clinical data; 4) worked collaboratively to develop the research project; and 5) expressed interest in partnering in the research.

The SOW for Pima County Public Health Services is:

- Participate in the AzPRC CAB Research Committee that will meet monthly and be responsible for guiding overall research and interpreting and disseminating research outcomes;
- Work collaboratively with the CAB research committee and AzPRC research team to refine Unidos, a CHW community-clinical linkage model that will provide social support to individuals at risk for chronic disease and help them address the social determinants of health;
- Employ a CHW or equivalent position trained in CHW Core Competencies to implement the community program;
- Participate in CHW training related to the research intervention;
- Collect survey data relevant to the community program being implemented by CHWs in the community;
- Participate in qualitative data collection activities such as focus groups with staff and group meetings; and
- Participate in dissemination and scale up activities as appropriate.

Centers for Disease Control and Prevention	
Health Promotion and Disease Prevention Research Centers	
RFA	RFA-DP-19-001
Project Begin Date	09/30/19
Project End Date	09/29/24
Number of Years	5

Personnel

Name	Title	Appt Type	% Effort	Months	Base Salary	Rqsted Salary	Fringe Rate	Fringe	Year 1	Year 2	Year 3	Year 4	Year 5
TBN	Community Health Worker		50.0%	6.00	\$ 31,200	\$ 15,600		\$ -	\$ 15,600	\$ 15,600		\$ -	\$ -
TBN	Community Health Worker		50.0%	6.00	\$ 31,200	\$ 15,600		\$ -	\$15,600	\$ 15,600			\$ -
TBN	Community Health Worker		50.0%	6.00	\$ 31,200	\$ 15,600		\$ -	\$ 15,600	\$ 15,600	\$ 15,600		\$ -
	Program Coordinator		10.0%			\$ 4,925		\$ 1,486		\$ -	\$ -	\$ 6,411	\$ 6,411
				0.00		\$ -		\$ -		\$ -	\$ -	\$ -	\$ -
				0.00		\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total									\$ 46,800	\$ 46,800	\$ 15,600	\$ 6,411	\$ 6,411

Travel

One CAB Meeting out of Pima County (mileage, lodging and perdiem)	\$ 237	\$ 237			
Local Mileage to sites around Pima County and to partner meeting (4,000 miles per year at .445/mi = \$ 1,780 Years 1-3; 500 miles in Years 4-5)	\$ 1,780	\$ 1,780	\$ 1,780	\$ 223	\$ 223
Travel to attend in state professional development activities (conferences) related to subject matter (hotel 2 nights at 130/ni = 260, per diem at	\$ 983	\$ 983			
Total	\$ 3,000	\$ 3,000	\$ 1,780	\$ 223	\$ 223

Materials and Supplies

Health Promotion Materials(books, water bottles, etc) to assist in recruitment and promotion of the model	\$ 3,520	\$ 3,520	\$ 500		
Office Supplies (copy paper, printer ink, copy machine toner, pens, general supplies, etc.)	\$ 1,000	\$ 1,000	\$ 200	\$ 725	\$ 725
Total	\$ 4,520	\$ 4,520	\$ 700	\$ 725	\$ 725

Other Expenses

Printing program forms, materials, and brochures	\$ 500	\$ 500	\$ 360		
Development costs for program materials (Pima County communications/print shop)	\$ 500	\$ 500			
Total	\$ 1,000	\$ 1,000	\$ 360	\$ -	\$ -

Totals

Total Direct Costs	\$ 55,320	\$ 55,320	\$ 18,440	\$ 7,359	\$ 7,359
10.00% Total Indirect Costs	\$ 4,680	\$ 4,680	\$ 1,560	\$ 641	\$ 641
Total cost requested	\$ 60,000	\$ 60,000	\$ 20,000	\$ 8,000	\$ 8,000

Total cost for all years	\$ 155,999
--------------------------	------------

Budget	\$ 60,000	\$ 60,000	\$ 20,000	\$ 8,000	\$ 8,000
Under/(Over)	\$ -	\$ -	\$ -	\$ 1	\$ 1

Pima County Health Department

Unidos Project

Budget and Budget Justification

**Budget**

Category	Year 1 (60,000)	Year 2 (60,000)	Year 3 (20,000)	Year 4 (8,000)	Year 5 (8,000)	
<b>Personnel</b>						
Salary	\$46,800	\$46,800	\$15,600	\$4,925	\$4,925	
Fringe				\$1,486	\$1,486	
<b>Total Salary/ERE</b>	\$46,800	\$46,800	\$15,600	\$6,411	\$6,411	
<b>Travel</b>						
Out-of-County CAB Meetings	\$237	\$237				
Local Mileage	\$1,780	\$1,780	\$1,780	\$223	\$223	
In-State Professional Development	\$983	\$983				
<b>Total Travel</b>	\$3,000	\$3,000	\$1,780	\$223	\$223	
<b>Materials and Supplies</b>						
Health Promotion Materials	\$3,520	\$3,520	\$500			
Office Supplies	\$1,000	\$1,000	\$200	\$725	\$725	
<b>Total Materials and Supplies</b>	\$4,520	\$4,520	\$700	\$725	\$725	
<b>Other Expenses</b>						
Printing program forms	\$500	\$500	\$360			
Development costs for program materials	\$500	\$500				
<b>Total Other Expenses</b>	\$1,000	\$1,000	\$360			
<b>Total Direct Costs</b>	\$55,320	\$55,320	\$18,440	\$7,359	\$7,359	
<b>Total Indirect Costs</b>	\$4,680	\$4,680	\$1,560	\$641	\$641	
<b>Total Project Costs</b>	<b>\$60,000</b>	<b>\$60,000</b>	<b>\$20,000</b>	<b>\$8,000</b>	<b>\$8,000</b>	<b>\$155,999</b>

**Budget Justification:**

**Personnel:** Three part-time Community Health Workers (CHWs), at \$15,600 each, will be hired for years 1-3. One CHW will be retained for year 3. The community-based CHWs will be housed in the Pima County Health Department (PCHD) to connect participants to health promotion resources, provide individual and group-based support, as well as leverage community resources to address participant's

needs. A .10 FTE Program Coordinator will be funded in years 4-5 to attend Community Action Board (CAB) Research Committee meetings and participate in other oversight activities as needed.

**Travel Expenses:** \$3,000 is allocated for travel in years 1-2. This includes attending one CAB meeting outside Pima County during years 1-2 (mileage, lodging and per-diem), as well as local mileage to sites around Pima County (4,000 miles per year at .445/mi = \$ 1,780 for years 1-3; 500 miles in Years 4-5). Travel is also included for two in-state professional development activities, such as conferences, which equates to \$983 in years 1-2 (hotel 2 nights at 130/night = 260, per diem at 49/day x 2 days = \$98, mileage 300mi/.445=133.5). Professional development travel will not be necessary in years 3-5.

**Materials and Supplies:** \$4,520 is budgeted for materials and supplies for years 1-2. These costs taper off for years 3-5 to approximately \$700. The materials and supplies purchased for this project include health promotion materials, such as books, water bottles, and other relevant incentives, which will assist in recruitment and promotion. Office supplies will support program efforts and include items such as copy paper, printer ink, copy machine toner, pens, and other general supplies.

**Other Expenses:** \$1,000 for years 1-2 and \$360 for year 3 will cover all other expenses. Printing of program forms, materials, and brochures is necessary for ensuring community members can participate in the project as well as have access to information about workshops, services, and other resources provided by PCHD and community-based organizations. Printed materials will also be used for recruitment purposes. Development of program materials, such as recruitment flyers/brochures, is also included; the Pima County Communications and Pima County Health Department will design materials. Special printing projects may be needed at times and will also be covered under this section.

#### **Indirect Costs**

Indirect costs for years 1-5 represent 10% of personnel/ERE costs.



## **Attachment 6**

### **Notice of Award (NOA) and any additional documents**



The following pages include the NOA and if applicable any additional documentation referenced throughout this Subaward.



Not incorporating the NOA or any additional documentation to this Subaward.

## **Data and Resources Sharing Plan**

The Arizona Prevention Research Center has a long history of sharing findings to diverse stakeholders, making data available to others, and freely distributing curricula and evaluation tools. This has and will continue to include in kind technical assistance for those curricula and tools. We will continue to do this through the support of our website as part of the communication core as well through other core activities. Additionally, the University of Arizona, with passage on April 4, 2016 by the Faculty Senate, supports an “Open Access Policy” for peer-review manuscripts generated by University researchers. The policy reads, “The Faculty of the University of Arizona is committed to disseminating its research and scholarship as widely as possible” and seeks to increase the impact of UA research by making it more widely available and supports our institution’s UA’s land grant mission. A UA Campus Repository is now established to share scholarship as freely and widely as possible, and is supported indefinitely by the University Libraries. All peer reviewed manuscripts that are accepted for publication will also be submitted to the digital archive PubMed Central as applicable.

Additionally, as allowed by the Centers for Disease Control Prevention, current law (federal and Arizona) and the UA’s Institutional Review Board, we will make de-identified, analysis ready datasets (supported by our data manager) from all research activities of the Center available to external parties within three and half years of the data being collected. Also within 3.5 years of their creation, all instruments, materials, manuals and protocols will also be made available to external parties. We believe such effort contribute to the expedited translation of research results into knowledge, products, and procedures to improve human health.

Our processes and findings will also be shared in a timely way to a diverse range of stakeholders. This includes conference presentations, publications, community presentations and meetings with valued stakeholders such as patients/participants, government officials (federal/state/local), community health centers and other primary care providers (networks and individuals), health professional workforce development organizations and networks including those focused on community health workers, community-based organizations, and local/stake coalitions addressing cross-cutting chronic disease problems like diabetes, heart disease and cancer. As part of all these dissemination activities we will continue our track record and extend them by closely engaging community action board members in the scientific and policy dissemination process, and seek to develop capacity in chronic disease prevention and control-related research with all collaborating individuals and organizations of our Center.

1. DATE ISSUED MM/DD/YYYY 08/30/2019		1a. SUPERSEDES AWARD NOTICE dated 02/15/2019 except that any additions or restrictions previously imposed remain in effect unless specifically rescinded	
2. CFDA NO. 93.135 - Centers for Research and Demonstration for Health Promotion and Disease Prevention			
3. ASSISTANCE TYPE Cooperative Agreement			
4. GRANT NO. 6 U48DP006413-01-01 Formerly		5. TYPE OF AWARD Other	
4a. FAIN U48DP006413		5a. ACTION TYPE Post Award Amendment	
6. PROJECT PERIOD MM/DD/YYYY From 09/30/2019		Through MM/DD/YYYY 09/29/2024	
7. BUDGET PERIOD MM/DD/YYYY From 09/30/2019		Through MM/DD/YYYY 09/29/2020	
8. TITLE OF PROJECT (OR PROGRAM) Health Promotion and Disease Prevention Research Centers - 2019			

DEPARTMENT OF HEALTH AND HUMAN SERVICES  
Centers for Disease Control and Prevention  
CDC Office of Financial Resources

2939 Brandywine Road  
Atlanta, GA 30341

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)  
Section 1706 of the Public Health Services Act, as amended, 42 U.S.C.  
300u-5. academic health centers. 42 U.S.C 300u-5(d) and Section 799B

9a. GRANTEE NAME AND ADDRESS University Of Arizona 888 N Euclid Ave Rm 510 Tucson, AZ 85719-4824	9b. GRANTEE PROJECT DIRECTOR Dr. Scott C. Carvajal 1295 NORTH MARTIN AVENUE DRACHMAN HALL A254 University of Arizona TUCSON, AZ 85724-0158
10a. GRANTEE AUTHORIZING OFFICIAL Ms. Kimberly Ogden 888 N EUCLID AVE RM 510 Tucson, AZ 85719-4824 Phone: 5206266000	10b. FEDERAL PROJECT OFFICER Ms. Natalie Darling 4770 Buford Highway Atlanta, GA 30341 Phone: 7704885740

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)		12. AWARD COMPUTATION	
I Financial Assistance from the Federal Awarding Agency Only		a. Amount of Federal Financial Assistance (from item 11m) 1,389,914.00	
II Total project costs including grant funds and all other financial participation I		b. Less Unobligated Balance From Prior Budget Periods 0.00	
a. Salaries and Wages 437,821.00		c. Less Cumulative Prior Award(s) This Budget Period 1.00	
b. Fringe Benefits 127,056.00		d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION 1,389,913.00	
c. Total Personnel Costs 564,877.00		13. Total Federal Funds Awarded to Date for Project Period 1,389,914.00	
d. Equipment 0.00		14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):	
e. Supplies 11,578.00		YEAR TOTAL DIRECT COSTS YEAR TOTAL DIRECT COSTS	
f. Travel 18,896.00		a. 2 d. 5	
g. Construction 0.00		b. 3 e. 6	
h. Other 15,645.00		c. 4 f. 7	
i. Contractual 416,695.00		15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:	
j. TOTAL DIRECT COSTS 1,027,691.00		a. DEDUCTION	
k. INDIRECT COSTS 362,223.00		b. ADDITIONAL COSTS	
I. TOTAL APPROVED BUDGET 1,389,914.00		c. MATCHING	
m. Federal Share 1,389,914.00		d. OTHER RESEARCH (Add / Deduct Option)	
n. Non-Federal Share 0.00		e. OTHER (See REMARKS)	
		16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDOING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:	
		a. The grant program legislation	
		b. The grant program regulations.	
		c. This award notice including terms and conditions, if any, noted below under REMARKS.	
		d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.	
		In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.	

REMARKS (Other Terms and Conditions Attached - ☒ Yes ☐ No)

GRANTS MANAGEMENT OFFICIAL:

Angie Willard, Team Lead  
2920 Brandywine Rd  
Mailstop K75  
Atlanta, GA 30341-5539  
Phone: 2863.0

17.OBJ CLASS	41.41	18a. VENDOR CODE	1742652689A1	18b. EIN	742652689	19. DUNS	806345617	20. CONG. DIST.	03
FY-ACCOUNT NO.		DOCUMENT NO.		ADMINISTRATIVE CODE		AMT ACTION FIN ASST		APPROPRIATION	
21. a.	9-939ZTGP	b.	19U48DP006413	c.	DP	d.	\$749,999.00	e.	75-19-0948
22. a.	9-939ZRBX	b.	19U48DP006413	c.	DP	d.	\$299,917.00	e.	75-19-0948
23. a.	9-939ZRFA	b.	19U48DP006413	c.	DP	d.	\$339,997.00	e.	75-19-0948

## **AWARD ATTACHMENTS**

Arizona Board of Regents, University of Arizona

6 U48DP006413-01-01

---

1. ARIZONA PRC + SIPs TERMS AND CONDITIONS
2. SIP19-003 SUMMARY STATEMENT
3. SIP19-005 SUMMARY STATEMENT

## AWARD INFORMATION

**Incorporation:** In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Research awards at <https://www.cdc.gov/grants/federalregulationspolicies/index.html>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number • as may be amended, which are hereby made a part of this Research award hereinafter referred to as the Notice of Award (NOA).

- **DP19-001**, entitled "Health Promotion and Disease Prevention Research Centers" , and application dated June 25, 2018.
- **SIP 19-003**. Entitled "Managing Epilepsy Well 2.0 (MEW) Network - Collaborating Center" and application dated February 26, 2019.
- **SIP 19-005**: Cancer Prevention and Control Research Network - Collaborating Center" and application dated February 25, 2019.

**Note:** Due to grants management administration system parameters, the budget category names on Page 1 of this NOA may be altered from the budget categories submitted with the SF-424 Research and Related Budget application.

**Approved Funding:** Total funding in the amount of **\$1,389,913.00** is approved for the Year 1 budget period, which is September 30, 2019 through September 29, 2020. **For the Core and SIP19-003, and SIP19-005.** All future year funding will be based on satisfactory programmatic progress and the availability of funds.

Funding Category	CORE APPROVED	SIP 19-003 APPROVED	SIP 19-005 APPROVED	TOTAL APPROVED AWARD	AMOUNT OF 10% RESTRICTION OF TOTAL AWARD
Salary & Wages	\$390,204.00	\$79,605.00	\$102,363.00	\$572,172.00	\$57,217.20
Fringe Benefits	\$136,475.00	\$24,582.00	\$29,811.00	\$190,868.00	\$19,086.80
Travel	\$5,834.00	\$4,000.00	\$3,596.00	\$13,430.00	\$1,343.00
Equipment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Supplies	\$15,144.00	\$7,500.00	\$300.00	\$22,944.00	\$2,294.40
Contractual	\$3,000.00	\$3,200.00	\$70,000.00	\$76,200.00	\$7,620.00
Other	\$14,684.00	\$360.00	\$5,000.00	\$20,044.00	\$2,004.40
Consultants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Direct Charges	\$565,341.00	\$303,325.00	\$211,070.00	\$1,079,736.00	\$107,973.60
Indirect Charges	\$184,658.00	\$36,672.00	\$88,847.00	\$310,177.00	\$31,017.70
TOTAL ALL	\$749,999.00	\$339,997.00	\$299,917.00	\$1,389,913.00	\$138,991.30

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third party in-kind contribution when applicable.

**Note:** Refer to the Payment Information section for draw down and Payment Management System (PMS) subaccount information.

### **Financial Assistance Mechanism:** Cooperative Agreement

**Substantial Involvement by CDC:** It is anticipated that CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

**Key Personnel:** In addition to the Principal Investigator/Project Director identified in this Notice of Award, the application and work plan included individuals considered key personnel. In accordance 45 CFR Part 75.308, the recipient must request prior approval from CDC to change the Principal Investigator or Authorized Official position.

**Budget Revision Requirement:** By **October 31, 2019** the recipient must submit a revised budget with a narrative justification. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the Staff Contacts section of this notice before the due date.

**Response to Summary Statement:** By **October 31, 2019** the recipient must submit a response to the Summary Statement attached to this award. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the Staff Contacts section of this notice before the due date.

**Expanded Authority:** The recipient permitted expanded authorities in the administration of the award.

The expanded authority selected below apply to this NoA.

### **CARRYOVER OF UNOBLIGATED FUNDS**

Unobligated funds can only be used to support an activity in a previously approved annual action plan and budget/budget justification.

- Unobligated balances exceeding 25% of an individual award (direct and indirect) will require prior approval.
- Unobligated funds cannot be used across awards – Core and SIPs.
- Carryover of unobligated balances from one budget period to a subsequent budget period. Unobligated funds may be used for purposes within the scope of the project as originally approved. Recipients will report use, or intended use, of unobligated funds in Section 12 “Remarks” of the annual Federal Financial Report.

### **SPECIAL TERMS AND CONDITIONS**

#### **FOR PRC CORE**

- **PRC Center Component:** At least thirty percent (30%) of funding (direct and indirect costs) provided through DP19-001 should be used to support Center Component activities.
- **PRC Center Component:** Not more than seven percent (7%) of funding (direct and indirect costs) provided through DP19-001 can be allocated to support training activities. Academic instruction and large scale public health training programs are not supported under this NOFO.
- **PRC Core Research Project Component:** At least fifty percent (50%) of the funding (direct and indirect costs) provided through DP19-001 should be used to support the design, development,

implementation, dissemination, and translation of the Core Research Project Component activities. A portion of Core Research Project funding may include costs of developing translation products.

- PRC CORE Research Plan: Your Research plan and/ or budget and justification will require revision to address weaknesses and recommendations noted in the Summary Statement and further revision may be required upon technical assistance with CDC.

#### **FOR PRC Core and SIP-003, and SIP19-005**

- PRC SIP - Acknowledgement Statement: Communications produced under a CDC cooperative agreement must bear an acknowledgment and disclaimer, as appropriate. This requirement applies to PRC Core and Special Interest Projects awards. The award document recommends the following statements: This publication (report, peer-reviewed manuscript, peer-reviewed presentation, tool, etc.) is a product of a Health Promotion and Disease Prevention Research Center supported by Cooperative Agreement Number (DP19-001) from the Centers for Disease Control and Prevention. The findings and conclusions in this report [peer-reviewed manuscript, etc.] are those of the author (s) and do not necessarily represent the official position of the Centers for Disease Control and Prevention.
- A Data Management Plan must be submitted within 30 days of award. Refer to AR-25 Additional Requirement – 25 | Additional Requirements | Grants
- Documentation for key personnel and other significant contributors involved in the design or conduct of research, should be provided within 30 days of award, demonstrating completion of an education program in the protection of human subjects.

#### **FUNDING RESTRICTIONS AND LIMITATIONS**

**Human Subjects Restriction(s):** Research involving human subjects cannot begin until a copy of the IRB approval letter for each of the following institution sites is submitted to the Grants Management Specialist; A 10% funding restriction in the amount of **\$138,991.30** is placed on this award pending receipt of Certification of IRB approval of the project(s) protocol(s).

Human subjects' research is prohibited until this Certification (documentation) has been obtained, submitted, and approved by OGS.

**Certificate of Confidentiality:** Institutions and investigators are responsible for determining whether research they conduct is subject to Section 301(d) of the Public Health Service (PHS) Act. Section 301(d), as amended by Section 2012 of the 21st Century Cures Act, P.L. 114-255 (42 U.S.C. 241(d)), states that the Secretary shall issue Certificates of Confidentiality (Certificates) to persons engaged in biomedical, behavioral, clinical, or other research activities in which identifiable, sensitive information is collected. In furtherance of this provision, CDC supported research commenced or ongoing after December 13, 2016 in which identifiable, sensitive information is collected, as defined by Section 301(d), is deemed issued a Certificate and therefore required to protect the privacy of individuals who are subjects of such research. Certificates issued in this manner will not be issued as a separate document, but are issued by application of this term and condition to this award. See Additional Requirement 36 to ensure compliance with this term and condition. The link to the full text is at: <https://www.cdc.gov/grants/additionalrequirements/ar-36.html>

**Indirect costs PRC CORE :** The University of Arizona indirect rate agreement approved by DHHS on May 8, 2018 is 53.5% based on Modified Total Direct Costs (MTDC). Equipment, capital expenditures, tuition remission, rental costs, participant support, scholarships and fellowships, and the portion of subgrants and subcontracts in excess of \$25,000 are excluded from MTDC.

**Indirect Cost for SIP19-003 :** The University of Arizona indirect rate agreement approved by DHHS on May 8, 2018 is 53.5% based on Modified Total Direct Costs (MTDC). Equipment, capital expenditures, tuition remission, rental costs, participant support, scholarships and fellowships, and the portion of subgrants and subcontracts in excess of \$25,000 are excluded from MTDC

**Indirect Cost for SIP19-005:** The University of Arizona indirect cost rate for on-campus research is 53.5% of Modified Total Direct Costs (MTDC). Equipment, capital expenditures, patient care costs, tuition remission, rental costs, participant support, scholarships and fellowships, and the portion of subcontracts in excess of \$25,000 are excluded from MTDC base used to calculate indirect costs. A copy of the University's DHHS-approved rate agreement dated May 8, 2018 is available online at:  
[https://rgw.arizona.edu/sites/default/files/rate\\_agreement\\_050818.pdf](https://rgw.arizona.edu/sites/default/files/rate_agreement_050818.pdf)

## REPORTING REQUIREMENTS

Customer Service For External Users -eCommons:

Phone: 1-866-504-9552

E-mail: [commons@od.nih.gov](mailto:commons@od.nih.gov)

Web: <http://grants.nih.gov/support/index.html>

### **Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS):**

Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services  
Manal Ali, Grants Management Specialist  
Centers for Disease Control and Prevention  
Global Health Security Branch  
Email : [MALI@CDC.GOV](mailto:MALI@CDC.GOV)  
Tel : 770 488 2706

AND

U.S. Department of Health and Human Services  
Office of the Inspector General  
ATTN: Mandatory Grant Disclosures, Intake Coordinator  
330 Independence Avenue, SW  
Cohen Building, Room 5527  
Washington, DC 20201  
Fax: (202)-205-0604  
Email: [MandatoryGranteeDisclosures@oig.hhs.gov](mailto:MandatoryGranteeDisclosures@oig.hhs.gov)

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award. Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371.



Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

#### **PAYMENT INFORMATION**

**Payment Management System Subaccount:** Funds awarded in support of approved activities have been obligated in a newly established subaccount in the PMS, herein identified as the " **P Account**". Funds must be used in support of approved activities in the NOFO and the approved application. All award funds must be tracked and reported separately.

The grant document number identified on the first page of the NOA must be known in order to draw down funds.

#### **CDC STAFF CONTACTS**

**Grants Management Specialist:** The GMS is the federal staff member responsible for the day-to-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards. Many of the functions described in the GMO section are performed by the GMS, on behalf of the GMO.

**GMS Contact:**

Manal Alli, Grants Management Specialist  
Centers for Disease Control and Prevention  
**Global Health Security Branch**  
Telephone: 770 488 2706  
Email: [MALI@CDC.GOV](mailto:MALI@CDC.GOV)

**Scientific Program Official:** The SPO is the federal official responsible for the programmatic, scientific, and/or technical aspects of grants and cooperative agreements.

**Scientific Program Official (SPO)**

Natalie Darling  
ERPOS, serving NCCDPHP and NCBDDD  
4770 Buford Hwy. N.E., Mailstop F-80  
Atlanta, GA 30341  
Tel: 770.488.5740  
Email: [ndarling@cdc.gov](mailto:ndarling@cdc.gov)

**Project Officer - CORE**

Colleen Shaw  
Public Health Advisor  
Tel : 770 488 8333  
Email : [CGD9@CDC.GOV](mailto:CGD9@CDC.GOV) Phone: 404-498-5131  
Email [nsk6@cdc.gov](mailto:nsk6@cdc.gov)

**Grants Management Officer:** The GMO is the federal official responsible for the business and other non-

programmatic aspects of grant awards. The GMO is the only official authorized to obligate federal funds and is responsible for signing the NOA, including revisions to the NOA that change the terms and conditions. The GMO serves as the counterpart to the business officer of the recipient organization.

**GMO Contact:**

Angie Willard, Grants Management Officer, Team Lead  
Global Health Security Branch  
CDC/OCOO/OFR/OGS  
Telephone: (770) 488-2863  
Email: [aen4@cdc.gov](mailto:aen4@cdc.gov)

1. DATE ISSUED MM/DD/YYYY 02/15/2019		1a. SUPERSEDES AWARD NOTICE dated except that any additions or restrictions previously imposed remain in effect unless specifically rescinded	
2. CFDA NO. 93.135 - Centers for Research and Demonstration for Health Promotion and Disease Prevention			
3. ASSISTANCE TYPE Cooperative Agreement			
4. GRANT NO. 1 U48DP006413-01-00 Formerly		5. TYPE OF AWARD Other	
4a. FAIN U48DP006413		5a. ACTION TYPE New	
6. PROJECT PERIOD MM/DD/YYYY From 09/30/2019		Through MM/DD/YYYY 09/29/2024	
7. BUDGET PERIOD MM/DD/YYYY From 09/30/2019		Through MM/DD/YYYY 09/29/2020	
8. TITLE OF PROJECT (OR PROGRAM) Health Promotion and Disease Prevention Research Centers - 2019			

DEPARTMENT OF HEALTH AND HUMAN SERVICES  
Centers for Disease Control and Prevention  
CDC Office of Financial Resources

1600 Clifton Road  
Atlanta, GA 30329

NOTICE OF AWARD  
AUTHORIZATION (Legislation/Regulations)  
[AWARD AUTHORITY NOT DEFINED FOR DP06-601.U58DP]

9a. GRANTEE NAME AND ADDRESS University Of Arizona Alternate Name: Arizona Board of Regents, University of Arizona 888 N Euclid Ave Rm 510 Tucson, AZ 85719-4824	9b. GRANTEE PROJECT DIRECTOR Dr. Scott C. Carvajal 1295 NORTH MARTIN AVENUE DRACHMAN HALL A254 University of Arizona TUCSON, AZ 85724-0158
10a. GRANTEE AUTHORIZING OFFICIAL Ms. Kimberly Ogden 888 N EUCLID AVE RM 510 Tucson, AZ 85719-4824 Phone: 5206266000	10b. FEDERAL PROJECT OFFICER Natalie Darling 1600 Clifton Rd Atlanta, GA 30333 Phone: 4049189767

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)		12. AWARD COMPUTATION	
I Financial Assistance from the Federal Awarding Agency Only		a. Amount of Federal Financial Assistance (from item 11m) 1.00	
II Total project costs including grant funds and all other financial participation		b. Less Unobligated Balance From Prior Budget Periods 0.00	
a. Salaries and Wages 0.00		c. Less Cumulative Prior Award(s) This Budget Period 0.00	
b. Fringe Benefits 0.00		d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION 1.00	
c. Total Personnel Costs 0.00		13. Total Federal Funds Awarded to Date for Project Period 1.00	
d. Equipment 0.00		14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):	
e. Supplies 0.00		YEAR TOTAL DIRECT COSTS YEAR TOTAL DIRECT COSTS	
f. Travel 0.00		a. 2 b. 3 c. 4 d. 5 e. 6 f. 7	
g. Construction 0.00		15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:	
h. Other 1.00		a. DEDUCTION	
i. Contractual 0.00		b. ADDITIONAL COSTS	
j. TOTAL DIRECT COSTS 1.00		c. MATCHING	
k. INDIRECT COSTS 0.00		d. OTHER RESEARCH (Add / Deduct Option)	
l. TOTAL APPROVED BUDGET 1.00		e. OTHER (See REMARKS)	
m. Federal Share 1.00		16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDOING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:	
n. Non-Federal Share 0.00		a. The grant program legislation	
		b. The grant program regulations.	
		c. This award notice including terms and conditions, if any, noted below under REMARKS.	
		d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.	
		In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.	

REMARKS (Other Terms and Conditions Attached - ☒ Yes ☐ No)

GRANTS MANAGEMENT OFFICIAL:

Angie Willard, Team Lead  
2920 Brandywine Rd  
Mailstop K75  
Atlanta, GA 30341-5539  
Phone: 2863.0

17.OBJ CLASS 41.41	18a. VENDOR CODE 1742652689A1	18b. EIN	19. DUNS 806345617	20. CONG. DIST. 90
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
21. a. 9-939ZTGP	b. 19U48DP006413	c. DP	d. \$1.00	e. 75-19-0948
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.

## AWARD ATTACHMENTS

Arizona Board of Regents, University of Arizona

1 U48DP006413-01-00

---

1. TERMS AND CONDITIONS

Funding Opportunity Announcement Number: **DP19-001**

Award Number: **DP006413-0**

Award Type: Cooperative Agreement

Applicable Regulations: 45 Code of Federal Regulations (CFR) Part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards

**45 CFR Part 75 supersedes regulations at 45 CFR Part 74 and Part 92**

#### **AWARD INFORMATION**

**Purpose:** The purpose of this award is to approve the recipient application submitted in response to the notice of funding opportunity (NOFO) DP19-001, Health Promotion and Disease Prevention Research Centers. This notice of award is only to serve as a placeholder in the eRA Commons system. As a result, the institution is eligible to apply for any upcoming competitive Special Interest Project supplemental announcement under this NOFO, DP19-001.

The approved funding amount is not available at this time and is pending CDC program receipt of final FY 2019 budget allocations. The project period planned for this award is 9/30/2019 through 9/29/2024. A subsequent Notice of Award will be issued that will include the approved funding levels and specific terms and conditions. Pre-award costs are not allowed. As a result, no activities can be commenced or charged to CDC before the actual start date of the award.

The institution must not make any external or official announcements until the subsequent Notice of Award (NOA) document, which includes approved funding and terms and conditions, has been awarded. Approval of the pending award is subject to availability of funds.

#### **CDC STAFF CONTACTS**

**Grants Management Specialist:** The GMS is the federal staff member responsible for the day-to-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards. Many of the functions described in the GMO section are performed by the GMS, on behalf of the GMO.

**GMS Contact:**

Manal Ali, Grants Management Specialist  
Centers for Disease Control and Prevention  
**Global Health Security Branch, Research, Team 1**  
Telephone: 770 488 2706  
Email: mali@cdc.gov

**Scientific Program Official/Project Officer:** The SPO is the federal official responsible for the programmatic, scientific, and/or technical aspects of grants and cooperative agreements.

**PROGRAMMATIC CONTACT:**

Natalie Darling, Scientific Program Official/Project Officer

Centers for Disease Control and Prevention  
Telephone: 770 488 5740  
Email: NDH6@CDC.GOV

**Grants Management Officer:** The GMO is the federal official responsible for the business and other non-programmatic aspects of grant awards. The GMO is the only official authorized to obligate federal funds and is responsible for signing the NOA, including revisions to the NOA that change the terms and conditions. The GMO serves as the counterpart to the business officer of the recipient organization. GMO contact information appears on Page 1 of the NOA.

**ATTACHMENT 7**

**PIMA COUNTY ADDENDUM  
TO  
SUBAWARD AGREEMENT BETWEEN  
THE UNIVERSITY OF ARIZONA  
AND  
THE PIMA COUNTY HEALTH DEPARTMENT**

The terms and conditions of the Cost Reimbursement Subaward Agreement #541282, Health Promotion and Disease Prevention Research Centers, from the Arizona Board of Regents on Behalf of the University of Arizona, effective September 30, 2019, are amended as follows:

**ADD:** The following paragraphs are added:

**1. Cancellation for Conflict of Interest**

This Contract is subject to cancellation for conflict of interest pursuant to ARS § 38-511, the pertinent provisions of which are incorporated into this Contract by reference.

**2. Compliance with Employment Regulations**

The parties will comply with all applicable state and federal immigration and employment laws and will require subcontractors, if any, to do the same, including, but limited to, A.R.S. § 23-214 (A), Arizona Executive Order 2009-09, Public Law 101-336, 42 § U.S.C. 12101-12213 and all applicable federal regulations under the Americans with Disabilities Act, including 28 CFR Parts 35 and 36.