

Julie Castañeda Deputy Clerk

Pima County Clerk of the Board

Robin Brigode

Administration Division 130 W. Congress, 5th Floor Tucson, AZ 85701 Phone: (520)724-8449 • Fax: (520)222-0448 Document and Micrographics Mgt. Division 1640 East Benson Highway Tucson, Arizona 85714 Phone: (520) 351-8454 • Fax: (520) 791-6666

December 29, 2016

Aric Kaiser Mussman Sazerac 4020 N. Via de Cuerns Tucson, AZ 85718

RE:

Arizona Liquor License No.: 12104478

d.b.a. Sazerac

Dear Mr. Mussman:

Enclosed is a copy of the Affidavit of Posting relative to your Liquor License Application for a Series 12, Restaurant, which was received in our office on November 29, 2016. The Hearing before the Pima County Board of Supervisors has been scheduled for Tuesday, January 17, 2017, at 9:00 a.m. or thereafter, at the following location:

Pima County Administration Building Board of Supervisors Hearing Room 130 W. Congress, 1st Floor Tucson, AZ 85701

For your information, enclosed is a copy of the Sheriff's Report. Any questions pertaining to the enclosed report should be-directed to the Pima County Sheriff's Department at (520) 351-6999. If you have any questions pertaining to the above referenced hearing, please contact this office at (520) 724-8449.

Sincerely,

Robin\Brigode
Clerk of the Board

Enclosure

c: Pima County Sheriff Investigative Support Unit



Date of Posting: 12-1-16

Sazerac

Arizona Department of Liquor Licenses and Control 800 W Washington 5th Floor Phoenix, AZ 85007-2934 www.azliquor.gov (602) 542-5141

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12-27-16

AFFIDAVIT OF POSTING

Date of Posting Removal:

Applicant's Name: Mussman	Aric		Kaiser
Last	First		Middle
Business Address: 4340 N. Campbell Avenue,	, No. 164	Tucson	85718
Street		City	Zip
License #: 12104478			
I hereby certify that pursuant to A.R.S. 4-201, I posted licensed by the above applicant and said notice was			ed to be
Armande Terrasos#769 Y Print Name of City/County Official	O Process So	rved 520-3	06-860 e Number
1 1 F7697	9	12-2	7-16
Signature		Dat	e Signed

Return this affidavit with your recommendations (i.e., Minutes of Meeting, Verbatim, etc.) or any other related documents. If you have any questions please call (602) 542-5141 and ask for the Licensing Division.



Pima County Clerk of the Board

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TO:	Development Services, Zoning Division
FROM:	Ricci Romero D Administrative Support Specialist
DATE:	November 29, 2016
RE:	Zoning Report - Application for Liquor License
Attached is	the application of:
Aric Kaiser d.b.a. Saze 4340 N. Ca Tucson, AZ	rac mpbell Avenue, No. 164
Arizona Liq Series <u>12, I</u> New Licens Person Tra Location Tr	se X nsfer
ZONING RI	EPORT DATE: 2 22 6
Will current	zoning regulations permit the issuance of the license at this location?
Yes D	No 🗆
If No, pleas	e explain:
	Rima County Zoning Inspector

When complete, please return to cob mail@pima.gov

STEMMOSTRONE BE





Arizona Department of Liquor Licenses and Control 800 W Washington 5th Floor

Phoenix, AZ 85007-2934 www.azliquor.gov (602) 542-5141

<u>16-28-927</u>

Application for Liquor License
Type or Print with Black Ink

APPLICATION FEE AND INTERIM PERMIT FEES (IF APPLICABLE) ARE NOT REFUNDABLE
A service fee of \$25 will be charged for all dishonored checks (A.R.S. § 44-6852)

A service ree of \$25 will be charged for	dii disnonored Checks (A.K.S. § 44-6	9971
SECTION 1 This application is for a: Interim Permit (Complete Section 5) New License (Complete Sections 2, 3, 4, 13, 14, 15, 16) Person Transfer (Complete Section 2, 3, 4, 12, 13, 14, 16) Location Transfer (Bars and Liquor Stores Only) (Complete Section 2, 3, 4, 11, 13, 14, 16) Probate/ Will Assignment/ Divorce Decree (Complete Sections 2, 3, 4, 9, 13, 14, 16) [Fee not required) Government (Complete Sections 2, 3, 4, 10, 13, 16) Seasonal	SECTION 2 Type of Ownership J.T.W.R.O.S. (Complete Section Individual (Complete Section Partnership (Complete Section (on 6) fron 6) fron 6) ction 7) ete Section 7)
SECTION 3 Type of license 1. Type of License: Series 12	LICENSE #17104	478
SECTION 4 Applicants 1. Individual Owner/Agent's Name: Black floof LLC Cownership name for type of ownership checked on section 2 3. Business Name: Sazerac	city state Tucson AZ City State vtime Contact Phone: 520 - 4 om he above city or town? Yes I for a City or Town but is actually in ocated in:	the boundaries
Fees: Steeling State Inspection		\$ \$358.55 Total of All Fees DNO 21.04438

SECTION 5 Interim Permit

- If you intend to operate business when your application is pending you will need an interim permit pursuant to ARS § 4-203.01
- There **MUST** be a valid license of the same type you are applying for currently issued to the location or for the replacement of a Hotel/Motel license with a Restaurant license pursuant to A.R.S. § 4-203.01.

1. Enterlice	ense number cu	mently at the loca	ation: 1210	34 <u>346</u>				
2. Is the lic	ense currently ir	n use?⊠Yes□ N	lo If no, ho	w long has i	it been out of use?			
	opy of the licen: attacked (Print Full N		declare the	A CONTRACTOR OF THE PARTY OF TH	CURRENT OWNER, AC	GENT, OR CON	TROLLING	PERSON or
		Individual Owner/Agent)	State of ₋	The foregoing instru		edged belo	re me this
My commissi	ion expires on: <u> </u>	Date		Day		Month of NOTARY PUBLIC		Year
		ership, J.T.W.R.O.S, IT A COMPLETED QUES		• .	PE FINGERPRINT CARD	AND \$22 PROCE	SSING FEE	FOR EACH
<u>Individual</u>								
			re in profit/loss		Mailing Address usiness? Yes . Use additional she		State Ory	Zip Code
Last	First	Middle				Code	Phone	*
Partnership Name of Pa	ortnership:							
General-Limite	d Last	First	Middle	%Owned	Mailing Address	City	State	Лір Code
	(Joint Tenant wit I.W.R.O.S:	h Rights of Survivo	rship)					
Last	Fest		Middle	Maii	ng Address	City	State	Zip Code

SECTION 6 - continued

TRUST Name of Trust:					
Last First	Middle	Mailing Address	City	State	Iip Code
TRIBE Name of Tribal Ownership:					
Last First	Middle	Mailing Address	City	State	Zip Code
SECTION 7 Corporations/ Limited Liability Corporations Limited Liability Corporation Complete Quest CARD. Corporation Complete Quest L.L.C. Date Incorporated/Organized: \$\frac{\psi}{13}\$/3. AZ Corporation or AZ L.L.C file No: \$\frac{\psi}{2}\$ 4. Is Corp/L.L.C. Non Profit? Yes No 5. List Directors, Officers, Members in Corporations.	lestionnaire, an "appl dons 1, 2, 3, 4, 5, 6, a lions 1, 2, 3, 4, 5, 6, a noof LLC 16 state w	ind 7 ind 7 here Incorporated/C Date authorized to c	organized: _/ No Business in	Arizona AZ: 6115,	116
Last First Middle	Title	Mailing Address	City	State	Zip Code
NAC Holding Company	Member	4020 N. VIO			
Kaiser Suzanne Ruth Mussman Joshua Kaiser	manager manager	4020 N. Vie	o lueras	The con A	2 85718
Mussman Aric Kaiser	mana ger	4020 N. Via E 1280 N. SAId	ROCK Pla	16 Tueson	42 85749
	(Attach additional sheet if r				
6. List all Stockholders / percentage owners	who own 10% or m	ore:			
Last First Middle	%Owned	Mailing Address	City	State	Zip Code
NAC Holding Company	100	4020 N. Via	De Cuern	g Tucson	Az 85718
	(Attach additional sheet if a	necessory)			

7. If the corporation/ L.L.C are owned by another entity, attach an Organizational **FLOWCHART** showing the structure of the ownership. Attach additional sheets as needed in order to disclose the Officers, Directors, Members, Managers, Partners, Stockholders and percentage owners of those entities.

716 NOV 23 Lig., Lic. M 8 15

NAC HOLDING COMPANY, LLC B105 7736

Members
Aric Mussman 38%
Joshua Mussman 38%
The Kaiser Wagman Revocable Trust 24%

8 1044795

Managers
Aric Mussman
Joshua Mussman
Suzanne Kaiser
Kichand Wagman

Black Hoof LLC. dba Sazerac (Member)
Owned 100% by NAC Holding Co. LLC
Managed by
Aric Mussman
Joshua Mussman
Suzanne Kaiser

SECTION 8 Club Applicants

EACH PERSON LISTED MUST SUBMIT A COMPLETED QUESTIONNAIRE, AN "APPLICANT" TYPE FINGERPRINT CARD AND \$22 PROCESSING FEE FOR EACH CARD

State Zip Co
DECREE
ntact Phone #
ntact Phone #
IS SERVED.
IS SERVED.

SECTION 12 Person to Person Transfer Questions to be completed by Current Licensee (Bar and Liquor Stores Only- Series, 06, 07, and 09) 1. Individual Owner / Agent Name: ___ Entity First Middle (individual, Agent, Etc.) 2. Ownership Name; ___ (Exactly as it appears on license) 3. Business Name: _ (Exactly as it appears on license) 4. Business Location Address: _____ State City 5. License Type: __ License Number 6. Current Mailing Address: State 7. Have all creditors, lien holders, interest holders, etc. been notified? Yes No 8. Does the applicant intend to operate the business while this application is pending? Yes No If yes, complete Section 5 (Interim Permit) of this application; attach fee, and current license to this application. hereby authorize the department to process this Application to 9. l, (Print Full Name) transfer the privilege of the license to the applicant provided that all terms and conditions of sale are met. Based on the fulfillment of these conditions, I certify that the applicant now owns or will own the property rights of the license by the date of issue. , declare that I am the CURRENT OWNER, MEMBER, PARTNER l, (Print Fuli Name) _ STOCKHOLDER or LICENSEE of the stated license. I have read the above Section 12 and confirm that all statements are true, correct, and complete. **NOTARY** (Signature of CYRRENT Individual Owner/Agent) My commission expires on: DEBORAH A. NELSON Notary Public - Arizona Signature of NOTARY PUBLIC Pima County My Comm. Expires Apr 26, 2018

<u>SECTION 13</u> Proximity to Church or School Questions to be completed by all in-state applicants.

		-,					
applicat (300) hot or within	ion is received by rizontal feet of a p	that no retailer's li the director, withi public or private sc 20) horizontal feet ES NOT apply to:	in three hundred hool building with	(200) horizontal fe kindergarten pro	eet of a churc grams or grac	h, within thr les one (1) i	ree hundred through (12)
b) Ho c) Mi	estaurant license (§ 4-2 otel/motel license (§ 4 licrobrewery Series 3 raft Distillery Series 18			e) Government lice f) Fenced playing o g) Wholesaler Serie h) Farm Winery Seri	reaofagolfcour s 4 es 13	se (§ 4-207 (B)	
1. Distand (If less tha	ce to negrest Scho on one (1) mile note foot	ol: 2640 oge)		Name of School: Address: 135(Eleme	entary Sch Pr.
	ce to nearest Chur an one (1) mile note fool			Name of Church: Address: <u>444</u> 1		llips npbell A	₩ <i>ℓ</i> ,
SECTION	14 Business Financ	ials					
1. I am th	e: 🔀 Lessee	Sub-lessee	Owner	Purcha	ser 🔲	Manageme	nt Company
2. If the p	remise is leased gi	ve lessors:	Name: 58	P Invest		LC 1-	
		Enna ne	Address: 4760	N. Campbell	City City	State	85718
3. Monthly	y Rent/Lease Rate	=: \$ <u>5000.06</u>	<u> </u>			\sim	
	_	ngth of the lease?	_		Months	<u> </u>	
5. What is	the penalty if the	lease is not fulfilled	d? \$ <u>200,000.</u>	00 or Other	: ch additional sheet i		
		or the Business not i			en agamona sneer		
Last	First	Middle	Amount Owed	Mailing Address	City	State	Zip
	54. · · · · · · · · · · · · · · · · · · ·						
		(Attach	additional sheet if nece	ssary)			
7. What t		I this license be use	ed for (be specific	;)\$		j	
<u></u>	A creale	restaurant	t with tal	ble service	, a bar	and a	patio.
	cense or a transfe Yes X No If yes, at	r license for the pr tach explanation.	emises on this app	olication been de	nied by the sto	ate with in t	he past (1)
		manufacture, who	lesaler, or employe	ee have an intere	st in your busine	ess?□YesD	 INo
		icense with a liquo			ar	— • • 7	_
		ınd licensee's nam	,			JM	
		Individual Ow		Sara A	Fitzsi ictiy as il appears or	myhons Mense)	

SECTION 15 Restaurant or hotel/motel license applicants

1. Is there an existing Restaurant or Hotel/Motel Liquor License at the proposed location? XYes No 2. If the answer to Question 1 is YES, you may qualify for an Interim Permit to operate while your application is pending; consult A.R.S. § 4-203.01; and complete SECTION 5 of this application. 3. All Restaurant and Hotel/Motel applicants must complete a Restaurant Operation Plan form provided by the Department of Liquor Licenses and Control. 4. As stated in A.R.S. § 4-205.02. (H)(2), a Restaurant is an establishment which derives at least forty (40) percent of its gross revenue from the sale of food. Gross revenue is the revenue derived from sales of food and spirituous liquor on the licensed premises. By applying for this Restaurant 🔲 Hotel/Motel, I certify that I understand that I must maintain a minimum of forty (40) percent food sales based on these definitions and have included the Restaurant Hotel/Motel Records Required for Audit form with this application. (Applicant's Signature) 5. I understand it is my responsibility to contact the Department of Liquor Licenses and Control to schedule an inspection when all tables and chairs are on site, kitchen equipment, and, if applicable, patio barriers are in place on the licensed premises. With the exception of the patio barriers, these items are not required to be properly installed for this inspection. Failure to schedule an inspection will delay issuance of the license. If you are not ready for your inspection 90 days after filing your application, please request an extension in writing; specify why the extension is necessary; and the new inspection date you are requesting. (Applicant's initials)

SECTION 16 Diagram of Premises

Check ALL boxes that apply to your business:

			Month/D	my/Vant		
If ye	s, what is your estimated	l com	pletion date?			
ls yc	our licensed premises cu	urrent	ly closed due to construction, r	renovation	or rec	lesign? 🗌 Yes 🔀 No
	Walk-up windows		Drive-through windows			Non Contiguous
M	Entrances/Exits	X	Liquor storage areas	Patio:	X	Contiguous

- Restaurants and Hotel/Motel applicants are required to draw a detailed floor plan of the kitchen and dining areas including the locations of all kitchen equipment and dining furniture. Place for diagram is on section 16 number 6.
- The diagram (a detailed floor plan) you provide is required to disclose only the area(s) where spirituous liquor is to be sold, served, consumed, dispensed, possessed or stored on the premises unless it is a restaurant (see # 3 above).
- 4. Provide the square footage or outside dimensions of the licensed premises. Please do not include non-licensed premises such as parking lots, living quarters, etc.
- 5. As stated in A.R.S. § 4-207.01 (B), I understand it is my responsibility to notify the Department of Liquor Licenses and Control when there are changes to the boundaries, entrances, exits, added or deleted doors, windows, service windows or increase or decrease to the square footage after submitting this initial diagram.

(Applicant's initials)

1.

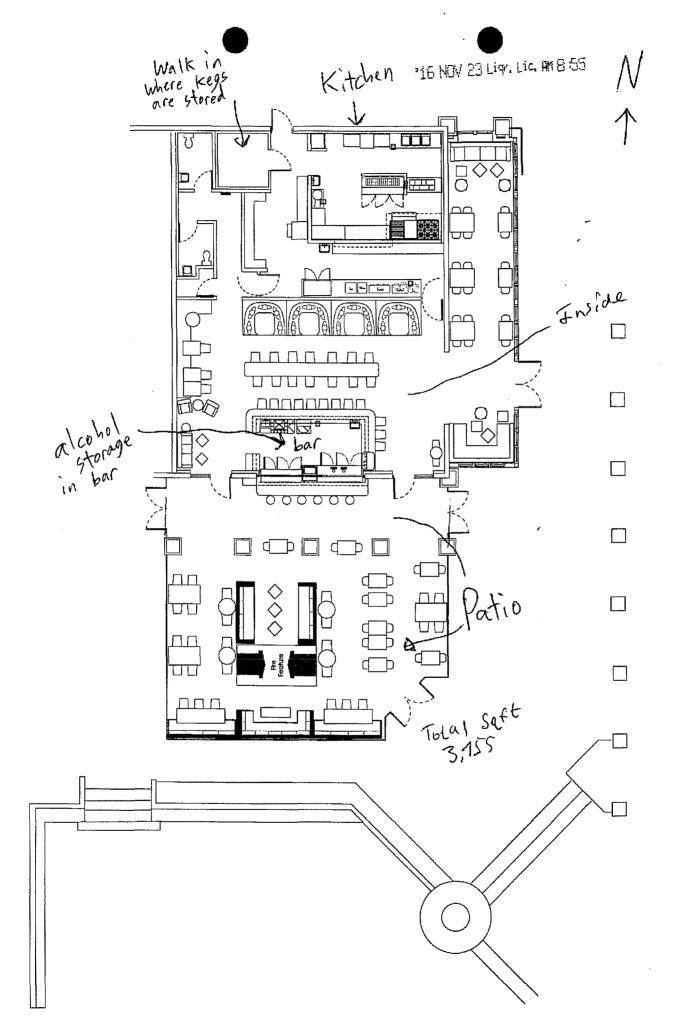
SECTION 16 Diagram of Premises - continued

6. On the diagram please show only the areas where spirituous liquor is to be sold, served, consumed, dispensed, possessed or stored. It must show all entrances, exits, interior walls, bars, hi-top tables, dining tables, dining chairs, dance floor, stage, game room, and the kitchen. DO NOT include parking lots, living quarters, etc. When completing diagram, North is up 1.

If a legible copy of a rendering or drawing of your diagram of the premises is attached to this application, please write the words "DIAGRAM ATTACHED" in the box provided for the diagram on the application.

DIAGRAM OF PREMISES

Viagram Attached



SECTION 17 SIGNATURE BLOCK

<u>NO</u>	TARY
I. (Print Full Name) Aric Kalser Mussman, his stated in Section 4 # 1. I have read this application and verify X (Signature of CURRENT Individual Owner/Agent) My commission expires on: DEBORAH A. NELSON Notary Public - Arizona Pima County My Comm. Expires Apr 26, 2018	ereby declare that I am the Owner/Agent filing this application as a call statements to be true, correct and complete. State of All 2011A County of All The foregoing instrument was acknowledged before me this Of Month Year Signature of NOTARY PUBLIC

A.R.S. § 41-1030. Invalidity of rules not made according to this chapter; prohibited agency action; prohibited acts by state employees; enforcement; notice

- B. An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition.
- D. THIS SECTION MAY BE ENFORCED IN A PRIVATE CIVIL ACTION AND RELIEF MAY BE AWARDED AGAINST THE STATE. THE COURT MAY AWARD REASONABLE ATTORNEY FEES, DAMAGES AND ALL FEES ASSOCIATED WITH THE LICENSE APPLICATION TO A PARTY THAT PREVAILS IN AN ACTION AGAINST THE STATE FOR A VIOLATION OF THIS SECTION.
- E. A STATE EMPLOYEE MAY NOT INTENTIONALLY OR KNOWINGLY VIOLATE THIS SECTION. A VIOLATION OF THIS SECTION IS CAUSE FOR DISCIPLINARY ACTION OR DISMISSAL PURSUANT TO THE AGENCY'S ADOPTED PERSONNEL POLICY.
 - F. THIS SECTION DOES NOT ABROGATE THE IMMUNITY PROVIDED BY SECTION 12-820,01 OR 12-820,02.

. 12 MON 53 Lier, Lic, 18 8 55



Arizona Department of Liquor Licenses and Control 800 W Washington 5th Floor Phoenix, AZ, 85007-2934 www.azliquor.gov (602) 542-5141

RESTAURANT OPERATION PLAN

ist by Make, Model, and Capa provide the following Items:)	acity of your: (<u>If you attached a leaible copy of your equipment li</u>
Grill	24" American Range Grill
Oven	2 48" Vulcan Ovens Dne 6 burner on
Freezer	2 Door reach in True freezer
Refrigerator	Kold Walk in Cooler
Sink	3 compartment, Landsinks, PRP and D
Dish Washing Facilities	One under counter bar, one full rack K
Food Preparation Counter (Dimensions)	2 eight tables, one six foot
Other	
ist the <u>seating capacity</u> for:	nner, and Nonalcoholic beverages).

one project	or + Screen		
	entertainment or dancing? 🔼 YES and how often (example: DJ-2 x a wee		, Live Band-1 x a month,
etc.)	4 x a month		
CIVY BUNDI	TAW FURTH		
Use space below	to list how many employees for each	position to fully staff you	r business.
	Position	How many	٦
	Cooks	8	7
	Bartenders	Ч	
	Hostesses	3	
	Managers	3	-
	Servers	19	1
	Other (Disk)	3	1
	Other (-
	Other (-
		1	_
shua Muss	man hereby o	declare that I am the A	PPLICANT filing this applic
(Print full name) read this applica	ation and the contents and all statem	nents true, correct and	complete.
Il Music			
(Signature of APF	PLICANT)		DEBORAH A. NELSON
			Notary Public - Arizona
	NOTARY		Pima County My Comm. Expires Apr 26, 2018
. 1111/1-24	1ACounty of PINA		



Arizona Department of Liquor Licenses and NOV 23 Ligr. Lic. #18 55 Control

800 W Washington 5th Floor Phoenix, AZ 85007-2934 www.azliquor.gov (602) 542-5141

RECORDS REQUIRED FOR AUDIT Applies to Series 11 (Hotel/Motel W/Restaurant) & Series 12 (Restaurant) Only

MAKE A COPY OF THIS DOCUMENT AND KEEP IT WITH YOUR DLLC RECORDS

In the event of an audit, you will be asked to provide to the Department any documents necessary to determine compliance with A.R.S. §4-205.02(G). Such documents requested may include however, are not limited to:

- 1. All invoices and receipts for the purchase of food and spirituous liquor for the licensed premises.
- 2. A list of all food and liquor vendors
- 3. The restaurant menu used during the audit period
- 4. A price list for alcoholic beverages during the audit period
- 5. Mark-up figures on food and alcoholic products during the audit period
- 6. A recent, accurate inventory of food and liquor (taken within two weeks of the Audit Interview Appointment)
- 7. Monthly Inventory Figures beginning and ending figures for food and liquor
- 8. Chart of accounts (copy)
- 9. Financial Statements-Income Statements-Balance Sheets
- 10. General Ledger
 - A. Sales Journals/Monthly Sales Schedules
 - 1) Daily sales Reports (to include the name of each waitress/waiter, bartender, etc. with sales for that day)
 - 2) Daily Cash Register Tapes Journal Tapes and Z-tapes
 - 3) Dated Guest Checks
 - 4) Coupons/Specials/Discounts
 - 5) Any other evidence to support income from food and liquor sales
 - B. Cash Receipts/Disbursement Journals
 - 1) Daily Bank Deposit Slips
 - 2) Bank Statements and canceled checks
- 11. Tax Records
 - A. Transaction Privilege Sales, Use and Severance Tax Return (copies)
 - B. Income Tax Return city, state and federal (copies)
 - C. Any supporting books, records, schedules or documents used in preparation of tax returns
- 12. Payroll Records
 - A. Copies of all reports required by the State and Federal Government
 - B. Employee Log (A.R.S. §4-119)
 - C. Employee time cards (actual document used to sign in and out each work day)
 - D. Payroll records for all employees showing hours worked each week and hourly wages

- 13. Off-site Catering Records (must be complete and separate from restaurant records)
 - A. All documents which support the income derived from the sale of food off the license premises.
 - B. All documents which support purchases made for food to be sold off the licensed premises.
 - C. All coupons/specials/discounts

The sophistication of record keeping varies from establishment to establishment. Regardless of each licensee's accounting methods, the amount of gross revenue derived from the sale of food and liquor must be substantially documented.

REVOCATION OF YOUR LIQUOR LICENSE MAY OCCUR IF YOU FAIL TO COMPLY WITH A.R.S. §4-210(A)7 AND A.R.S. §4-205.02(G).

A.R.S. §4-210(A)7

The licensee fails to keep for two years and make available to the department upon reasonable request all invoices, records, bills or other papers and documents relating to the purchase, sale and delivery of spirituous liquors and, in the case of a restaurant or hotel-motel licensee, all invoices, records, bills or other papers and documents relating to the purchase, sale and delivery of food.

A.R.S. §4-205.02(G)

For the purpose of this section:

1. "Restaurant" means an establishment which derives at least forty percent (40%) of its gross revenue from the sale of food 2. "Gross revenue" means the revenue derived from all sales of food and spirituous liquor on the licensed premises, regardless of whether the sales of spirituous liquor are made under a restaurant license issued pursuant to this section or under any other license that has been issued for the premises pursuant to this article.

	Markops Commission Expires PRIL 20, 2020
	JAJESANHEA
I, (Prim Full Nome) Joshua Mussman	have read and understand all aspects of this statement
X (Signature) The Mase Controlling Person / Agent	State of AnZana_County of Man was the foregoing instrument was acknowledged before my this
My commission expires on: <u> </u>	Day of North Year Chia U. Chrisp Signature of NOTARY PUBLIC

MAKE A COPY OF THIS DOCUMENT AND KEEP IT WITH RECORDS REQUIRED BY THE STATE