



Contract Number: CT-CS *15-409
Effective Date: 5-1-15
Term Date: 4-30-16
Cost: \$105,167.00
Revenue: _____
Total: _____
Action: _____ NTE: _____
Renewal By: _____
Term: 2-1-16
Reviewed by: [Signature] 4-30-16

BOARD OF SUPERVISORS AGENDA ITEM SUMMARY

Requested Board Meeting Date: April 14, 2015

ITEM SUMMARY, JUSTIFICATION &/or SPECIAL CONSIDERATIONS:

Background

Pima County has been awarded funding by the U.S. Department of Housing and Urban Development (HUD) to administer a CASA project. Compass Affordable Housing, Inc. will assist homeless individuals in achieving self-sufficiency, stable housing and gainful full-time employment by providing case management, transitional housing, supportive services and education and/or employment opportunities.

Per HUD Continuum of Care (CoC) Program requirements, the Pima County CoC determines the distribution of the Grant funds.

Effective Date: 05/01/15

Termination Date: 04/30/16

Contract Amount: \$105,167.00

Contract Officer: Risé Hart, 724-5723

Payment System: AMS

CONTRACT NUMBER (If applicable): CT-CS-15-409

STAFF RECOMMENDATION(S):

To be approved by Board of Supervisors.

CORPORATE HEADQUARTERS: _____

To CoB: 4-1-15
Bos: 4-14-15

17pgs (1)
Vend. 1
Ver. 1

CLERK OF BOARD USE ONLY: BOX M.G.

ITEM NO.

PIMA COUNTY COST: \$105,167.00

and/or REVENUE TO PIMA COUNTY: \$ N/A

FUNDING SOURCE(S): U.S. Department of Housing and Urban Development (HUD)

Advertised Public Hearing:

☐

YES

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NO

Board of Supervisors District:

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All

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IMPACT:

IF APPROVED:

Contractor will be able provide housing and supportive services to assist homeless individuals in the transition from homelessness to independent living; thus reducing the number of homeless in Pima County.

IF DENIED:

Without the funding award Contractor will not be able to provide housing and supportive services to assist homeless individuals in the transition from homelessness to independent living.

DEPARTMENT NAME: Community Services

CONTACT PERSON: Risé Hart

TELEPHONE NO.: 724-5723

[illegible]

Program Name: HUD Continuum of Care (CoC) Program – CASA

Contractor: Compass Affordable Housing, Inc.
2835 North Stone Ave.
Tucson, AZ 85705
(520) 237-4001

DUNS: 8302394270000

Program Description: Provide transitional housing with supportive services to homeless people in Pima County's Continuum of Care (CoC) Program

Contract Term: May 1, 2015 to April 30, 2016

Contract Amount: \$105,167.00

Funding: United States Housing and Urban Development (USHUD)-Continuum of Care (CoC) Program

USHUD Contract No. AZ0027L9TO11407

Award Date: 2015

CFDA	Program Description	National Funding	Pima County Award
14.267	Continuum of Care Homeless Assistance – CASA	\$1,800,000,000.00	\$436,617.00

Is this a Research and Development Contract: No

This Contract is made by and between Pima County, a body politic and corporate of the State of Arizona ("County") and Compass Affordable Housing, Inc., ("Contractor"), a non-profit corporation authorized to do business in the State of Arizona ("Contractor").

RECITALS

WHEREAS, pursuant to the requirements for receiving funds to reduce homelessness from the U.S. Department of Housing and Urban Development Continuum of Care Program ("HUD CoC Program"), the Pima County CoC, a continuum of care for the homeless, was formed; and

WHEREAS, the Pima County CoC coordinates services to the homeless through a community planning process; and

WHEREAS, County has been awarded a grant for transitional housing, employment and training assistance, and other necessary supportive services for the homeless through the HUD CoC Program ("the Grant"); and

WHEREAS, County is the fiscal agent and lead grantee in a four agency consortium composed of Tucson Salvation Army, Tucson Preparatory School, Compass Affordable Housing, Inc., and Sullivan Jackson Employment Center; and

WHEREAS, the consortium is tasked with providing services under the Grant; and

WHEREAS, pursuant to the HUD CoC Program requirements, the Pima County CoC determines the distribution of the Grant funds among the consortium members; and

WHEREAS, the Pima County CoC has designated Contractor for the provision of case management, leasing assistance and supportive services to homeless clients of Pima County Sullivan Jackson Employment Center.

NOW, THEREFORE, the parties agree as follows:

1.0 TERMS AND EXTENSIONS

- 1.1 This Contract, as awarded by County, shall commence on May 1, 2015, and shall terminate on April 30, 2016, unless sooner terminated or further extended pursuant to the provisions of this Contract. The County shall have the option to renew this Contract for up to three (3) 12-month periods or any portion thereof.
- 1.2 Any modification or extension of the contract termination date shall be by formal written amendment executed by the parties hereto.
- 1.3 Any amendments to the Contract must be approved by the County before any services under the amendment commences.

2.0 SCOPE OF SERVICES

- 2.1 Contractor shall:
 - 2.1.1 Provide the County with the services as described in the attached **Exhibit A** in accordance with the terms and conditions of this Contract.
 - 2.1.2 Comply with the standards established by the CoC pursuant to 24 CFR § 578.7(8) & (9).
 - 2.1.3 Employ suitably trained and skilled personnel to perform all services under this Contract.
 - 2.1.4 Perform its duties under this Contract in a humane and respectful manner and in accordance with any applicable professional standards. Contractor shall obtain and maintain all applicable licenses, permits and authority required for its performance under this Contract.
- 2.2 Unless otherwise provided for herein, the personnel delivering Contract services shall:
 - 2.2.1 Be employees or volunteers of the Contractor;
 - 2.2.2 Satisfy any qualifications and carry out any duties set forth in this Contract; and
 - 2.2.3 Be covered by personnel policies and practices of Contractor.
- 2.3 Contractor's employees and volunteers shall not be considered officers, employees or agents of the County.
- 2.4 Contractor certifies that no individual or agent has been employed or retained to solicit or secure this Contract for commission, percentage, brokerage or contingent fee except a bona fide employee maintained by the Contractor to secure business.
- 2.5 No program funded under this Contract shall impair existing contracts for services or collective bargaining agreements or be inconsistent with the terms of a collective bargaining agreement without the written concurrence of the labor organization and employer concerned.

3.0 COMPENSATION AND PAYMENT

- 3.1 In consideration for the services specified in **Exhibit A**, of this contract, County agrees to pay Contractor in an amount **not-to-exceed \$105,167.00**.
- 3.2 Funding is from the Grant received under the HUD CoC Program.
- 3.3 Funding and services of grant-funded programs are limited to the amount of funds allocated to and made available to County for this program. The maximum funding under this Contract is subject to availability and continuation of grant funding. This amount may be decreased at any time due to reduction, termination, or any other change in funding.
- 3.4 Requests for payments must be submitted to the County by the 5th working day of each month for the previous month of service. Invoices must reference this contract number and:
 - 3.4.1 Be approved and signed by an authorized representative of the Contractor.
 - 3.4.2 Be for services and costs as identified in **Exhibit A**.
 - 3.4.3 Be verifiable by County representatives.
 - 3.4.4 Comply with the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 CFR Part 200.
 - 3.4.5 Be only for properly enrolled, eligible, and documented participants. (County shall determine the eligibility of each program participant.)
 - 3.4.6 Be only for authorized expenses which are not paid or reimbursed by another Federal or grant revenue source.
 - 3.4.7 Include a calculation of administration/indirect costs, evidencing that such costs do not exceed the 3.5 % maximum of the total reimbursement provided under this contract.
- 3.5 **REQUEST FOR FINAL PAYMENT** for compensation earned and/or eligible costs incurred shall be submitted to the County within 15 working days **after the end of the contract term** on invoices that meet the requirements set forth in Paragraph 3.4 above.
- 3.6 Payment by County will generally occur thirty (30) days from the date of invoice. Contractor should budget their cash needs accordingly.
- 3.7 Contractor shall report to the County:
 - 3.7.1 Accrued expenditures;
 - 3.7.2 Program income, as defined in 29 CFR Part 97.25; and
 - 3.7.3 All other fiscal resources applied to expenses incurred in providing services under this Contract
- 3.8 Changes between budget line items may only be made as follows:
 - 3.8.1 Changes of LESS than 15% of the total budget amount may be granted by and at the sole discretion of the Director of Community Services, Employment and Training (CSET) or his designee. Contractor must submit a written request and show that any proposed increase is offset by a decrease of equal value to the remaining line items. No increase to the total operating budget will be allowed. **The change will not be effective, nor will compensation under the change be provided, until the date set forth in the written approval of the Director or his designee.**
 - 3.8.2 Changes of MORE than 15% of the total budget will require a contract amendment. **The change will not be effective, nor will compensation under the change be provided, until the contract amendment is fully executed by both parties.**
- 3.9 No payments will be made to Contractor, until all of the following conditions are met:
 - 3.9.1 Contractor has completed and submitted a W-9 Taxpayer Identification Number form;

3.9.2 Contractor has registered as a Pima County Vendor at the following web address -- <https://secure.pima.gov/procurement/vramp/login.aspx>; and

3.9.3 This Contract is fully executed.

- 3.10 Advances: County may advance funds allocated under this Contract only if the Director of CSET finds that the advance is justified by extraordinary circumstances. Contractor's report of cumulative and projected expenditures and earnings in performance of this Contract, verified by County staff, shall accompany and support Contractor's written request for an advance. Advance payments are a debt of Contractor to County. County shall not pay for activities and expenditures billed by Contractor under this Contract until the total amount payable by County exceeds the amount of outstanding advance payments. Unless, within thirty days after an advance payment, activities and expenditures billed by Contractor and payable by County under this Contract equal or exceed the amount of the advance payment, Contractor shall immediately repay the difference to County.
- 3.11 Within 30 days of a request from County, Contractor shall submit to the County the portion of any payment, which exceeds the amount owed under this Contract, except as provided in Paragraph 3.10 above.
- 3.12 Interest income: Any Interest income in excess of \$250.00 earned on funds advanced pursuant to Paragraph 3.9 above and deposited in interest bearing accounts shall be remitted annually. Interest income earned in excess of \$250.00 annually must be returned in accordance with requirements at 29 CFR Part 95.22(l) for non-profit organizations and institutions of higher education. For state, local governments, and tribal governments, interest income earned in excess of \$100.00 annually must be remitted at least quarterly in accordance with 29 CFR Part 97.21(l).
- 3.13 Disallowed Charges or Cost principles shall be as follows:
- 3.13.1 The cost principle set forth in the Code of Federal Regulations (CFR), Title 48, Chapter 1, Part 31.201-6(e), (October 1, 1991), as modified by amendments and additions, on file with the Secretary of State and incorporated herein by reference, shall be used to determine the allowability of incurred costs for the purpose of reimbursing costs under Contract provisions which provide for the reimbursements of costs. Those costs which are specifically defined as unallowable therein will not be submitted for reimbursement by the Contractor and may not be reimbursed with Department funds.
- 3.13.2 Contractor shall reimburse County for improper, unallowable or unsubstantiated costs discovered as a result of audit or otherwise within thirty (30) days following demand for reimbursement by County.
- 3.14 For the period of record retention required under 21.0 Books and Records, County reserves the right to question any payment made under made to Contractor and to require reimbursement by setoff or otherwise for payments determined to be improper or contrary to the Contract or law.

4.0 INSURANCE

- 4.1 Pima County in no way warrants that the minimum limits contained herein are sufficient to protect the Contractor from liabilities that might arise out of the performance of the work under this Contract by the Contractor, its agents, representatives, employees or subcontractors, and Contractor is free to purchase additional insurance.
- 4.2 Minimum Scope and Limits of Insurance: Contractor shall provide coverage with limits of liability not less than those stated below.
- 4.2.1 Commercial General Liability – Occurrence Form
- 4.2.1.1 Policy shall include bodily injury, property damage, personal injury and broad form contractual liability.

General Aggregate	\$2,000,000.00
Products – Completed Operations Aggregate	\$1,000,000.00
Personal and Advertising Injury	\$1,000,000.00
Blanket Contractual Liability – Written and Oral	\$1,000,000.00
Fire Legal Liability	\$ 50,000.00
Each Occurrence	\$1,000,000.00

4.2.1.2 The policy shall be endorsed to **include coverage for sexual abuse and molestation.**

4.2.1.3 The policy shall be endorsed to include the following additional insured language: **"Pima County is named an additional insured with respect to liability arising out of the activities performed by or on behalf of the Contractor".**

4.2.1.4 Policy shall contain a waiver of subrogation against Pima County, its departments, agencies, boards, commissions, and its officers, officials, agents, and employees for losses arising from work performed by or on behalf of the Contractor.

4.2.2 Automobile Liability

Bodily Injury and Property Damage for any owned, hired, and/or non-owned vehicles used in the performance of this Contract.

4.2.2.1 Combined Single Limit (CSL) \$1,000,000.00

4.2.2.2 The policy shall be endorsed to include the following additional insured language: **"Pima County is named an additional insured with respect to liability arising out of the activities performed by or on behalf of the Contractor, involving automobiles owned, leased, hired or borrowed by the Contractor".**

4.2.2.3 Policy shall contain a waiver of subrogation against Pima County, its departments, agencies, boards, commissions and its officers, officials, agents, and employees for losses arising from work performed by or on behalf of the Contractor.

4.2.3 **Worker's Compensation and Employers' Liability**

4.2.3.1 Workers' Compensation Statutory

4.2.3.2 Employers' Liability:

Each Accident	\$ 500,000.00
Disease – Each Employee	\$ 500,000.00
Disease – Policy Limit	\$1,000,000.00

4.2.3.3 Policy shall contain a waiver of subrogation against Pima County, its departments, agencies, boards, commissions and its officers, officials, agents, and employees for losses arising from work performed by or on behalf of the Contractor.

4.2.3.4 This requirement shall not apply to: Separately, EACH contractor or subcontractor exempt under A.R.S. 23-901, AND when such contractor or subcontractor executes the appropriate waiver (Sole Proprietor/Independent Contractor) form.

- 4.3 **Additional Insurance Requirements:** The policies shall contain, or be endorsed to contain, the following provisions:
- 4.3.1 Pima County, wherever additional insured status is required, shall be covered to the full limits of liability purchased by the Contractor, even if those limits of liability are in excess of those required by this Contract.
 - 4.3.2 The Contractor's insurance coverage shall be primary insurance and non-contributory with respect to all other available sources.
 - 4.3.3 Coverage provided by the Contractor shall not be limited to the liability assumed under the indemnification provisions of this Contract.
- 4.4 **Notice of Cancellation:** Each insurance policy required by the insurance provisions of this Contract shall provide the required coverage and shall not be suspended, voided, canceled, or reduced in coverage or in limits except after thirty (30) days prior written notice has been given to Pima County. Such notice shall be sent directly to the **Department Director, Arthur Eckstrom, 2797 E. Ajo Way, Tucson, AZ 85713** and shall be sent by certified mail, return receipt requested. The Project Name/Contract Number and project description shall be noted on the Certificate of Insurance.
- 4.5 **Acceptability of Insurers:** Insurance is to be placed with duly licensed or approved non-admitted insurers in the state of Arizona with an "A.M. Best" rating of not less than A- VII. The State of Arizona in no way warrants that the above-required minimum insurer rating is sufficient to protect the Contractor from potential insurer insolvency. All Certificates of Insurance are to be received and approved by Pima County before work commences.

5.0 INDEMNIFICATION

- 5.1 Contractor shall indemnify, defend, and hold harmless County, its officers, employees and agents from and against any and all suits, actions, legal administrative proceedings, claims or demands and costs attendant thereto, arising out of any act, omission, fault or negligence by the Contractor, its agents, employees or anyone under its direction or control or on its behalf in connection with performance of this Contract.
- 5.2 Contractor warrants that services provided under this Contract are non-infringing. Contractor will indemnify, defend and hold County harmless from any claim of infringement arising from services provided under this Contract or from the provision, license, transfer or use for their intended purpose of any services provided under this Contract.

6.0 COMPLIANCE WITH LAWS

- 6.1 Contractor shall comply with all federal, state, and local laws, rules, regulations, standards and Executive Orders, without limitation to those designated within this Contract. The laws and regulations of the State of Arizona shall govern the rights of the parties, the performance of this Contract, and any disputes hereunder. Any action relating to this Contract shall be brought in a court of the State of Arizona in Pima County. Any changes in the governing laws, rules, and regulations during the terms of this Contract shall apply, but do not require an amendment.
- 6.2 In addition, Contractor, as Subcontractor, warrants compliance with all applicable laws, regulations, requirements and special provisions, in carrying out its obligations pursuant to this Contract, as set forth in **Exhibit B, Subcontractor's Warranties**.

7.0 INDEPENDENT CONTRACTOR

The status of Contractor shall be that of an independent contractor. Neither Contractor nor Contractor's officers, agents, or employees shall be considered an employee of Pima County or be entitled to receive any employment-related fringe benefits under the Pima County Merit System. Contractor shall be responsible for payment of all federal, state and local taxes associated with the compensation received pursuant to this Contract and shall indemnify and hold

County harmless from any and all liability which County may incur because of Contractor's failure to pay such taxes. Contractor shall be solely responsible for its program development, operation, and performance.

8.0 SUBCONTRACTOR

Contractor will be fully responsible for all acts and omissions of any subcontractor and of persons directly or indirectly employed by any subcontractor and of persons for whose acts, any of them, may be liable to the same extent that the Contractor is responsible for the acts and omissions of persons directly employed by it. Nothing in this contract shall create any obligation on the part of County to pay or see to the payment of any money due any subcontractor, except as may be required by law.

9.0 ASSIGNMENT

Contractor shall not assign its rights to this Contract in whole or in part, without prior written approval of the County. Approval may be withheld at the sole discretion of the County, provided that such approval shall not be unreasonably withheld.

10.0 NON-DISCRIMINATION

- 10.1 Contractor agrees to comply with all provisions and requirements of Arizona Executive Order 2009-09 including flow down of all provisions and requirements to any subcontractors.
- 10.2 During the performance of this contract, Contractor shall not discriminate against any employee, client or any other individual in any way because of that person's age, race, creed, color, religion, sex, disability or national origin.

11.0 AMERICANS WITH DISABILITIES ACT

Contractor shall comply with all applicable provisions of the Americans with Disabilities Act (Public Law 101-336, 42 U.S.C. 12101-12213) and all applicable federal regulations under the Act, including 28 CFR Parts 35 and 36. If Contractor is carrying out a government program or services on behalf of County, then Contractor shall maintain accessibility to the program to the same extent and degree that would be required by the County under 28 CFR Sections 35.130, 35.133, 35.149 through 35.151, 35.160, 35.161 and 35.163. Failure to do so could result in the termination of this Contract.

12.0 AUTHORITY TO CONTRACT

Contractor warrants its right and power to enter into this Contract. If any court or administrative agency determines that County does not have authority to enter into this Contract, County shall not be liable to Contractor or any third party by reason of such determination or by reason of this Contract.

13.0 FULL AND COMPLETE PERFORMANCE

The failure of either party to insist on one or more instances upon the full and complete performance of any of the terms or conditions of this Contract to be performed on the part of the other, or to take any action permitted as a result thereof, shall not be construed as a waiver or relinquishment of the right to insist upon full and complete performance of the same, or any other covenant or condition, either in the past or in the future. The acceptance by either party of sums less than may be due and owing it at any time shall not be construed as an accord and satisfaction.

14.0 CANCELLATION FOR CONFLICT OF INTEREST

This Contract is subject to cancellation for conflict of interest pursuant to ARS § 38-511, the pertinent provisions of which are incorporated into this Contract by reference. In addition,

Contractor agrees to comply with all applicable conflict of interest provisions contained in Federal and State laws and regulations that govern specific funding sources identified in the contract, including, but not limited to, those governing nepotism.

15.0 TERMINATION/SUSPENSION

- 15.1 Termination for Convenience: County reserves the right to terminate this Contract at any time and without cause by serving upon Contractor 30 days advance written notice of such intent to terminate. In the event of such termination, the County's only obligation to Contractor shall be payment for services rendered prior to the date of termination.
- 15.2 Insufficient Funds: Notwithstanding Paragraph A above, if any state or federal grant monies used to pay for performance under this Contract are either reduced or withdrawn, County shall have the right to either reduce the services to be provided and the total dollar amount payable under this Contract or terminate the Contract. To the extent possible, County will endeavor to provide fifteen (15) days written notice of such reduction or termination. In the event of a reduction in the amount payable, County shall not be liable to Contractor for more than the reduced amount. In the event of a termination under this paragraph, County's only obligation to Contractor shall be payment for services rendered prior to the date of termination to the extent that grant funds are available.
- 15.3 Termination for Cause: This Contract may be terminated at any time without advance notice and without further obligation by the County when the Contractor is found by County to be in default of any provision of this Contract.
- 15.4 Non-Appropriation: Notwithstanding any other provision in this Contract, this Contract may be terminated if for any reason, there are not sufficient appropriated and available monies for the purpose of maintaining County or other public entity obligations under this Contract. In the event of such termination, County shall have no further obligation to Contractor, other than for services rendered prior to termination.
- 15.5 Suspension: County reserves the right to suspend Contractor's performance and payments under this Contract immediately upon notice delivered to contractor's designated agent in order to investigate Contractor's activities and compliance with this Contract. In the event of an investigation by County, Contractor shall cooperate fully and provide all requested information and documentation. At the conclusion of the investigation, or within forty-five (45) days, whichever is sooner, Contractor will be notified in writing that the contract will be immediately terminated or that performance may be resumed.

16.0 NOTICE

- 16.1 Contractor shall give written notice of any change of corporate or entity status as promptly as possible and, in any event, within fifteen days after the change is effective. A change in corporate or entity status includes, but is not limited to, change from unincorporated to incorporated status and vice versa and any suspension or termination of corporate status based on failure to comply with all applicable federal, state, and local reporting requirements.
- 16.2 Any notice required or permitted to be given under this Contract shall be in writing and shall be served by delivery or by certified mail upon the other party as follows:

County:

Arthur Eckstrom, Director
Pima County Community Services
2797 E. Ajo Way
Tucson, AZ 85713

Contractor:

Compass Affordable Housing, Inc.
Maryann Beerling, Chief Executive Officer
2835 North Stone Avenue
Tucson, AZ 85705

17.0 NON-EXCLUSIVE CONTRACT

Contractor understands that this Contract is nonexclusive and is for the sole convenience of County. County reserves the right to obtain like services from other sources for any reason.

18.0 OTHER DOCUMENTS

Contractor and County in entering into this Contract have relied upon information provided in the Continuum of Care Homeless Assistance Grant Application to HUD, and other information and documents submitted by the Contractor to County in response to said grant application. These documents are hereby incorporated into and made a part of this Contract as if set forth in full herein, to the extent not inconsistent with the provisions of this Contract.

19.0 REMEDIES

Either party may pursue any remedies provided by law for the breach of this Contract. No right or remedy is intended to be exclusive of any other right or remedy and each shall be cumulative and in addition to any other right or remedy existing at law or at equity or by virtue of this Contract.

20.0 SEVERABILITY

Each provision of this Contract stands alone, and any provision of this Contract found to be prohibited by law shall be ineffective to the extent of such prohibition without invalidating the remainder of this Contract.

21.0 BOOKS AND RECORDS

- 21.1 Contractor shall keep and maintain proper and complete books, records and accounts, which shall be open at all reasonable times for inspection and audit by duly authorized representatives of County.
- 21.2 In addition, Contractor shall retain all records relating to this contract at least 5 years after Contractor submits its single or last expenditure report or until completion of any action and resolution of all issues which arise from any related litigation, claim, negotiations, audit or other action involving the records that was started before the expiration of the 5-year period, whichever is later.

22.0 AUDIT REQUIREMENTS

- 22.1 Contractor shall:
 - 22.1.1 Establish and maintain a separate, identifiable accounting of all funds provided by County pursuant to this Contract. Such records shall record all expenditures which are used to support invoices and requests for payment from the County under this Contract.
 - 22.1.2 Establish and maintain accounting records which identify the source and application of any funds not provided under this Contract used to support these Contract activities.
 - 22.1.3 All accounting records must meet the requirements of the Federal, State, County, and generally accepted accounting principles laws and regulations.
 - 22.1.4 Upon written notice from County, provide a program-specific or financial audit. Such notice from County will specify the period to be covered by the audit, the type of audit and the deadline for completion and submission of the audit.
 - 22.1.5 Assure that any audit conducted pursuant to this Contract is performed by a qualified, independent accounting firm and submitted to County within six (6) months of completion of the audit required pursuant to this Article, unless a different time is specified by County. The audit submitted must include Contractor responses, if any, concerning any audit findings.

22.1.6 Pay all costs for any audit required or requested pursuant to this Article, unless the cost is allowable for payment with the grant funds provided pursuant to this Contract under the appropriate federal or state grant law and the cost was specifically included in the Contractor grant budget approved by County.

22.1.7 County audit requirements applicable to all contracts are as follows:

22.1.7.1 If total expenditures are GREATER than \$100,000, but less than \$500,000, then a program-specific audit in accordance with generally accepted auditing standards, which includes compliance testing, is required annually.

22.1.7.2 If total expenditures are LESS than \$100,000, but at least \$50,000, then a program-specific audit in accordance with generally accepted auditing standards, which includes compliance testing, is required bi-annually.

22.1.7.3 Agencies with total expenditures LESS than \$50,000 do not have an annual federal audit requirement.

22.2 Timely submit the required or requested audit(s) to:

Arthur Eckstrom, Director
Community Services, Employment & Training Dept.
2797 E. Ajo Way, 3rd Floor
Tucson, AZ 85713

22.3 Contractor status:

22.3.1 If Contractor is a "nonprofit corporation" that meets the definition of "corporation" in A.R.S. §10-3140, Contractor shall comply with the applicable audit requirements set forth in A.R.S. § 11-624, "Audit of Non-Profit Corporations Receiving County Monies."

22.3.2 If Contractor is a government entity, Contractor shall comply with federal single audit requirements and, upon request from County, provide County with a copy of the required audit document within ninety (90) days following the end of Contractor's fiscal year.

22.3.3 If Contractor is receiving federal funds under this Contract, and Contractor is a state or local government or non-profit organization, Contractor shall provide an annual audit which complies with the requirements of the most recent version of OMB Circular A-133 "Audits of State and Local Governments and Non-Profit Organizations."

23.0 CONFIDENTIALITY

Contractor shall maintain all client and applicant files confidential and shall provide access to these files only to persons properly authorized. Contractor shall observe and abide by all applicable State and Federal statutes and regulations regarding use or disclosure of information including, but not limited to, information concerning applicants for and recipients of contract services.

24.0 COPYRIGHT

Neither, Contractor nor its officers, agents or employees shall copyright any materials or products developed through contract services provided or contract expenditures made under this Contract without prior written approval by the County. Upon approval, the County shall have a non-exclusive and irrevocable license to reproduce, publish or otherwise use or authorize the use of any copyrighted material.

25.0 PROPERTY OF THE COUNTY

25.1 Contractor is not the agent of County for any purpose and shall not purchase any materials, equipment or supplies on the credit of the County.

25.2 Any materials, including reports, computer programs and other deliverables, created under this Contract are the sole property of the County. The Contractor is not entitled to a patent or

copyright on those materials and may not transfer the patent or copyright to anyone else. The Contractor shall not use or release these materials without the prior written consent of the County.

26.0 DISPOSAL OF PROPERTY

Termination shall not relieve any party from liabilities or costs already incurred under this Contract, nor affect any ownership of property pursuant to this Contract.

27.0 COORDINATION

On matters relating to the administration of this Contract, County shall be Contractor's contact with all Federal, State and local agencies that provide funding for this Contract.

28.0 ACCOUNTABILITY

To the greatest extent permissible by law, County, and any authorized federal, state or local agency, including, but not limited to, the State of Arizona, the U.S. Department of Labor, and the Comptroller of the United States shall at all reasonable times have the right of access to Contractor's facility, books, documents, papers, or other records which are pertinent to this Contract, in order to make audits, examinations, excerpts and transcripts for the purpose of evaluating Contractor's performance and Contractor's compliance with this Contract. This provision shall be included in all contracts between Contractor and its subcontractors providing goods or services pursuant to this Contract. Contractor shall be responsible for subcontractors' compliance with this provision and for any disallowances or withholding of reimbursements resulting from noncompliance of said subcontractors with this provision.

29.0 PUBLIC INFORMATION

- 29.1 Pursuant to A.R.S. § 39-121 *et seq.*, all information submitted in response to this solicitation, including, but not limited to, pricing, product specifications, work plans, and any supporting data becomes public information and, upon request, is subject to release and/or review by the general public including competitors.
- 29.2 Any records submitted in response to this solicitation that respondent believes constitute proprietary, trade secret or otherwise confidential information must be appropriately and prominently marked as CONFIDENTIAL by respondent prior to the close of the solicitation.
- 29.3 Notwithstanding the above provisions, in the event records marked "CONFIDENTIAL" are requested for public release pursuant to A.R.S. § 39-121 *et seq.*, County shall release records marked CONFIDENTIAL ten (10) business days after the date of notice to the respondent of the request for release, unless respondent has, within the ten day period, secured a protective order, injunctive relief or other appropriate order from a court of competent jurisdiction, enjoining the release of the records. For the purposes of this paragraph, the day of the request for release shall not be counted in the time calculation. Respondent shall be notified of any request for such release on the same day of the request for public release or as soon thereafter as practicable.
- 29.4 County shall not, under any circumstances, be responsible for securing a protective order or other relief enjoining the release of records marked CONFIDENTIAL, nor shall County be in any way financially responsible for any costs associated with securing such an order.

30.0 ELIGIBILITY FOR PUBLIC BENEFITS

Contractor shall comply with the applicable provisions of A.R.S. §§1-501 and 1-502 regarding public benefits, which are hereby incorporated as provisions of this Contract.

31.0 LEGAL ARIZONA WORKERS ACT COMPLIANCE

- 31.1 Contractor hereby warrants that it will at all times during the term of this Contract comply with all federal immigration laws applicable to Contractor's employment of its employees, and with the

requirements of A.R.S. § 23-214 (A) (together the "State and Federal Immigration Laws"). Contractor shall further ensure that each subcontractor who performs any work for Contractor under this contract likewise complies with the State and Federal Immigration Laws.

- 31.2 County shall have the right at any time to inspect the books and records of Contractor and any subcontractor in order to verify such party's compliance with the State and Federal Immigration Laws.
- 31.3 Any breach of Contractor's or any subcontractor's warranty of compliance with the State and Federal Immigration Laws, or of any other provision of this section, shall be deemed to be a material breach of this Contract subjecting Contractor to penalties up to and including suspension or termination of this Contract. If the breach is by a subcontractor, and the subcontract is suspended or terminated as a result, Contractor shall be required to take such steps as may be necessary to either self-perform the services that would have been provided under the subcontract or retain a replacement subcontractor, (subject to County approval if MWBE preferences apply) as soon as possible so as not to delay project completion.
- 31.4 Contractor shall advise each subcontractor of County's rights, and the Subcontractor's obligations, under this Article by including a provision in each subcontract substantially in the following form:
- "Subcontractor hereby warrants that it will at all times during the term of this contract comply with all federal immigration laws applicable to Subcontractor's employees, and with the requirements of A.R.S. § 23-214 (A). Subcontractor further agrees that County may inspect the Subcontractor's books and records to insure that Subcontractor is in compliance with these requirements. Any breach of this paragraph by Subcontractor will be deemed to be a material breach of this contract subjecting Subcontractor to penalties up to and including suspension or termination of this contract."
- 31.5 Any additional costs attributable directly or indirectly to remedial action under this Article shall be the responsibility of Contractor. In the event that remedial action under this Article results in delay to one or more tasks on the critical path of Contractor's approved construction or critical milestones schedule, such period of delay shall be deemed excusable delay for which Contractor shall be entitled to an extension of time, but not costs.

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32.0 ENTIRE AGREEMENT

This document constitutes the entire agreement between the parties pertaining to the subject matter hereof, and all prior or contemporaneous agreements and understandings, oral or written, are hereby superseded and merged herein. This Contract may be modified, amended, altered or extended only by a written amendment signed by the parties.

IN WITNESS THEREOF, the parties have affixed their signatures to this Contract on the date written below.

PIMA COUNTY

Chair, Board of Supervisors

Date

ATTEST

Clerk, Board of Supervisors

CONTRACTOR

Maryann Beerling
Authorized Officer Signature

Maryann Beerling
Please print name

Chief Executive Director
Title

3-16-15
Date

APPROVED AS TO CONTENT

[Signature]
Community Services, Employment
& Training Director

APPROVED AS TO FORM

[Signature]
Karen S. Friar, Deputy County Attorney

EXHIBIT A

SCOPE OF WORK

CONTRACTOR: Compass Affordable Housing, Inc.

PROGRAM: CASA - Coalition Assisting Self-Sufficiency Attainment

I. Program Overview.

- A. Contractor and County shall work cooperatively to assist homeless individuals in achieving self-sufficiency, stable housing and gainful full-time employment by providing case management, transitional housing, supportive services and education and/or employment opportunities. Contractor shall provide services to homeless individuals referred by the Pima County Sullivan Jackson Employment Center (individually "program participant").
- B. Program participants must:
 - 1. Be homeless at the time of referral; and
 - 2. Not have dependent children under the age of 18.

II. Program Activities.

- A. Contractor shall provide case management and tenant-based rental assistance for program participants referred to Contractor by County as follows:
 - 1. Case Management. For each program participant, Contractor shall:
 - a. Assess individual and household needs.
 - b. Develop the following plans:
 - i. **Case plan.** The case plan must include clearly defined goals and outcomes focusing on achieving permanent employment and self-sufficiency; and
 - ii. **Housing plan.** The housing plan must map out a path to permanent housing stability.
 - c. Develop a realistic household budget, that includes a savings plan, to ensure that the participant can maintain permanent housing after completing the program.
 - d. Help arrange and coordinate access to necessary resources to support the defined goals and objectives.
 - e. Meet a minimum of twice per month, at the program participant's housing, to monitor and evaluate progress towards goals and outcomes established in the case plan and adjust plan goals as warranted to ensure success.
 - f. Provide information about and referrals to other providers, when warranted.
 - g. Enter client information into the Homeless Management Information System (HMIS) and any other databases specified by County within three working days of an activities occurrence.

2. Housing. For each program participant, Contractor shall:

- a. Assist in the selection of transitional housing that is appropriate to the individual's household size, needs, and potential earned income.
- b. After housing is selected, inspect housing for compliance with the applicable housing quality standards (HQS) set forth in 24 CFR 982.401 and, while the program participant resides in the housing, inspect for HQS compliance annually.
- c. Ensure that program participant enters into a lease agreement with the landlord. The initial term of the lease must be for at least one (1) month and must be automatically renewable upon expiration, except on prior notice by either party, up to a maximum of 24 months.
- d. Pursuant to 24 CFR 578.77(c), calculate each program participant's contribution to housing costs ("resident rent") and insure that participant pays rent monthly. **Rental payments by program participants are not to be considered "match" with regards to the cash match requirements of Continuum of Care programs.**
- e. Pay rent directly to landlord for portion of the rent that the program participant is not required to pay. No rental assistance payments may be made to the program participant or any member of the program participant's household.
- f. Report rents paid by participant (with copy of check) as match on a monthly basis.

B. County shall:

1. Determine eligibility of applicants and, when County deems appropriate, refer individuals eligible to participate to Contractor.
2. Enroll applicants it determines eligible into the Continuum of Care Program established pursuant to 24 CFR 578.
3. Provide, or arrange for, education services, employment assistance and job training, and life skills training as determined necessary and appropriate for each program participant.

C. Contractor and County shall:

1. Refer individuals without dependent children to Pima County Sullivan Jackson Employment Center for eligibility determination and enrollment into appropriate programs and services.
2. Meet at least once each month to:
 - a. Review and evaluate each program participant's case plan and progress towards achieving the goals and outcomes;
 - b. Coordinate resources being offered to each program participant;
 - c. Avoid duplication of service; and
 - d. Provide program participants with information about, and referrals to, other service providers.

III. Number Served. Contractor shall provide services to a minimum of 9 individuals.

IV. Performance Outcomes. The following outcomes shall be met by the conclusion of an individual's participation in the program:

A. Participants shall have an economic plan and a plan for Housing Stability:

1. Ninety percent (90%) of all program participants will develop a realistic budget based upon household income.
2. Ninety percent (90%) of all program participants will have a savings account with a balance consistent with their case plan objectives.
3. Eighty percent (80%) of all program participants will secure all non-cash benefits for which they are eligible.
4. One-hundred percent (100%) of program participants will be actively involved in developing and revising their case plans throughout the time enrolled in the program.

B. Seventy-five percent (75%) of all program participants that complete and exit the program will have moved into permanent, unsubsidized housing.

V. BUDGET

BUDGET LINE ITEM	AMOUNT
Rental Assistance	\$53,500.00
Case Management	\$46,000.00
In-State Travel	\$2,111.00
Administrative Costs (3.5%)	\$3,556.00
Total Program Budget	\$105,167.00

END OF EXHIBIT A

EXHIBIT B
SUBCONTRACTOR'S WARRANTIES

Contractor certifies, as evidenced by the signature (initials), that in carrying out its obligations pursuant to this Contract, it shall comply with applicable laws, regulations, requirements and special provisions, as follows:

Requirements	
	1. HUD Regulations 24 CFR Part 578, Continuum of Care (CoC) Program
N/A	2. OMB Circular A-87, Cost Principles for State/Local Governments and Indian Tribes
N/A	3. OMB Circular A-21, Cost Principles for Institutions of Higher Education
	4. 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards
	5. 29 CFR Part 96 and 99, Single Audit Act
	6. 29 CFR Part 95, Uniform Administrative Requirements for Institutions of Higher Education, Hospitals, and other Non-Profit Organizations
N/A	7. 29 CFR Part 97, Uniform Administrative Requirements for State/local Governments and Indian Tribes
N/A	8. Arizona Department of Economic Security Special Terms and Conditions
N/A	9. Workforce Investment Act, P.L. 105-220, and regulations adopted pursuant to that Act, including 20 CFR Part 652, et al, and
	10. Child labor laws, including, but not limited to A.R.S. § 23-230 <i>et seq</i> , to the extent that such provisions are applicable due to statute, case law, County contract or other legal authority.
	11. Fingerprinting, including but not limited to A.R.S. § 46-141, to the extent that such provisions are applicable due to statute, case law, County contract or other legal authority.
	12. 29 CFR Part 98, Debarment and Suspension; Drug Free Workplace - Contractor certifies that neither it nor its principals are presently debarred, suspended, proposed for Debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
	13. 29 CFR Part 93 Lobbying Certification - Contractor certifies that no federal funds have been paid or will be paid, by or on behalf of the contractor to any person or employee of an agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
	14. 29 CFR Parts 30, 31, 32, 33, 34, 36 and 37 Nondiscrimination and Equal Opportunity Requirements
	15. Wagner-Peyser Act
	16. Contractor certifies that no funds provided pursuant to this Contract shall be used for any partisan or non-partisan political activity or to further the election or defeat of any candidate for public office. No funds provided pursuant to this Contract shall be used to transport voters or prospective voters to and from the polls or render similar assistance in connection with any such election or any voter registration activity.

END OF EXHIBIT B