



BOARD OF SUPERVISORS AGENDA ITEM REPORT
CONTRACTS / AWARDS / GRANTS

☐ Award ☐ Contract ☒ Grant

Requested Board Meeting Date: June 18, 2019

* = Mandatory, information must be provided

or Procurement Director Award ☐

***Contractor/Vendor Name/Grantor (DBA):**

Pima Council on Aging

***Project Title/Description:**

Primary Nursing Care for the Elderly - health maintenance, promotion and screening at congregate meal sites.

***Purpose:**

The purpose of this program is to address the preventive health needs of low-income elderly individuals in Pima County by providing blood pressure screenings, health education, and case management services.

***Procurement Method:**

This grant / revenue contract is a non-Procurement contract and not subject to Procurement rules.

***Program Goals/Predicted Outcomes:**

The goal of the Nursing Care for the Elderly program is to provide health maintenance, promotion, and screening services to socially and economically at risk clients 60 years of age or older at congregate meal sites.

***Public Benefit:**

In the contract year 2017-2018, over 1800 screenings were provided to 1,400 clients in seven congregate meal sites. All of the programs attendees are provided monthly blood pressure screenings and approximately 10% of the program attendees screened were referred to medical service providers for follow up care or additional examination. Clients also received information on a variety of health and wellness topics to include prevention and safety education as well as referral to community resources.

***Metrics Available to Measure Performance:**

Program metrics tracked monthly include the number of clients seen and number of client contacts at each site. Metrics tracked quarterly include the number of referrals and educational activities provided. On an annual basis, clients are provided an opportunity to complete a confidential patient service satisfaction questionnaire which is used to evaluate client feedback on services provided.

***Retroactive:**

No.

JUN 13 19 03 43 PC CLK OF PD
MK

Contract / Award Information

Document Type: _____ Department Code: _____ Contract Number (i.e., 15-123): _____

Effective Date: _____ Termination Date: _____ Prior Contract Number (Synergen/CMS): _____

☐ Expense Amount: \$* _____ ☐ Revenue Amount: \$ _____***Funding Source(s) required:**Funding from General Fund? ☐ Yes ☐ No If Yes \$ _____ % _____Contract is fully or partially funded with Federal Funds? ☐ Yes ☐ No**If Yes, is the Contract to a vendor or subrecipient?**Were insurance or indemnity clauses modified? ☐ Yes ☐ No*If Yes, attach Risk's approval.*Vendor is using a Social Security Number? ☐ Yes ☐ No*If Yes, attach the required form per Administrative Procedure 22-73.***Amendment / Revised Award Information**

Document Type: _____ Department Code: _____ Contract Number (i.e., 15-123): _____

Amendment No.: _____ AMS Version No.: _____

Effective Date: _____ New Termination Date: _____

Prior Contract No. (Synergen/CMS): _____

☐ Expense or ☐ Revenue ☐ Increase ☐ Decrease Amount This Amendment: \$ _____Is there revenue included? ☐ Yes ☐ No If Yes \$ _____***Funding Source(s) required:**Funding from General Fund? ☐ Yes ☐ No If Yes \$ _____ % _____**Grant/Amendment Information** (for grants acceptance and awards) ☒ Award ☐ Amendment

Document Type: GTAW Department Code: HD Grant Number (i.e., 15-123): 19-110

Effective Date: 07/01/2019 Termination Date: 06/30/2020 Amendment Number: 00

☐ Match Amount: \$ _____ ☒ Revenue Amount: \$ 22,922.00***All Funding Source(s) required:** Department of Health and Human Services***Match funding from General Fund?** ☐ Yes ☒ No If Yes \$ _____ % _____***Match funding from other sources?** ☐ Yes ☒ No If Yes \$ _____ % _____***Funding Source:** _____***If Federal funds are received, is funding coming directly from the Federal government or passed through other organization(s)?** Federal funding received via Pima Council on Aging

Contact: Sharon Grant

Department: Health Telephone: 724-7842

Department Director Signature/Date: *Marjorie H. Hays* 5.31.2019 *Don S. Jones* 5.31.19Deputy County Administrator Signature/Date: *aur* 6/3/2019County Administrator Signature/Date: *C. DeBortoli* 6/11/19
(Required for Board Agenda/Addendum Items)

GRANT APPLICATION APPROVAL REQUEST

Instructions: Fill out the top section of this form completely. Contact the program Grants Management & Innovation (GMI) Lead if you require assistance (724-2240). Email your completed request to: GMI@pima.gov. Your request will be forwarded to County Administration for review. Notification of approval requests should be submitted at least 15 business days prior to the application's submission deadline (AP 5-1 Procedure).

Form: 2989-0002 Grant Application Approval Request (05132019)

GRANT COST/BENEFIT ANALYSIS

To be completed by GMI staff

CFDA No. 93.044

Competitive Criteria:

Noncompetitive

Other Factors:

County is a subrecipient, based on responsibility for outreach, eligibility determination, and intake.

Number of Awards:

Total amount to be awarded:

Match Required: ☐ Yes ☒ No If required what is the amount/percent: _____

Terms Notes (e.g. unusual restrictions, reporting burdens, etc.):

Monthly financial report
Monthly social services report
Monthly activity reports
Quarterly activity reports and annual statistical report
Annual customer satisfaction survey of clients served
Requires each PHN social security number to be submitted to DES for Central Registry for Background Check on an annual basis.

Will this project require additional office/project space?

☐ Yes ☒ No

Will this project require staff time that cannot be paid for by the grant?

☒ Yes ☐ No

Will your project require any equipment items over \$5,000 per item?

☐ Yes ☒ No

Does the proposal use a fixed price contract?

☐ Yes ☒ No

Is this project subject to Human Subjects compliance?

☐ Yes ☒ No

Does this project involve subrecipients?

☐ Yes ☒ No

Is there a Statutory Funding Preference from the funding agency?

☐ Yes ☒ No

Allowable Indirect Rate: no cap If Indirect is not allowed, attach documentation.

List any other proposal or funder specific requirements:

JAW:

GMI notes & recommendations:

This is a very burdensome grant (see "Terms Notes" above) for a tiny amount. I leave this up to you and would like to discuss this at future point with you + DR. GMI4. (PK)

By:

GMI Director

Date:

6/6/19

County Administrator Approval Request

Approved: ☒

Not Approved: ☐

Subject to Further Review: ☐ Yes ☐ No

If your project is subject to further review, please contact your GMI Lead to discuss necessary revisions prior to resubmission of the Grant Approval Application Request.

By:

County Administrator or Designee

Date:

6-6-2019

REQUEST FOR WAIVER OF INDIRECT COSTS

Requestor (Department Lead)	Sharon Grant
Project Title	Nursing Care for the Elderly
Sponsor Due Date:	List of sites and personnel was due 3/22/2019
GMI Lead	

Pima County's Indirect Cost Waiver Policy:

Refer to County Administrator's March 5, 2019 directive regarding recovery of indirect costs:
"No grant acceptance on behalf of the County is to be processed unless there is a clear indication that indirect costs can and are being reimbursed" (insert link to memo).

Instructions for Requesting Indirect Cost Waiver

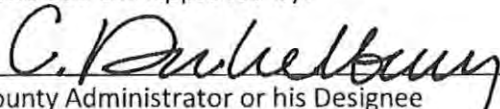
Please be aware the request for waiver must be submitted with the Grant Application Approval Request. All requests for waiver of indirect costs must present a compelling reason. Reasons that do not meet the "compelling reason" justification include:

- ✓ An application will be more competitive without indirect costs requested
- ✓ A budget cut is anticipated in future years

We are seeking a waiver of indirect costs for this project based on the following:

This is a long standing contract where funding is received based on a fixed rate per hour of clinical services provided. The amount of funding available has been the same for at least the past five years. A couple of years ago PCHD was able to negotiate an increase in the hourly rate, but it resulted in a decrease of hours that can be provided rather than an increase in the total. PCHD does not recover all of our costs on this program but it provides an important service to the community.

Reviewed and Approved by:


County Administrator or his Designee

Date: 6/6/19

PIMA COUNCIL ON AGING

Contract between the Area Agency on Aging, Pima Council on Aging ("AAA-PCOA") and Pima County, for and on behalf of Pima County Health Department, 3950 S. Country Club, Suite 100, Tucson Arizona 85714-2056 (the "Contractor")

- WHEREAS the Contractor is:
- [] a Non-profit Organization as defined in the Office of Management and Budget (OMB) Circular A-122:
- [] A for-profit Organization.
- [x] Other Pima County Government

WHEREAS The AAA-PCOA is duly authorized to execute and administer contracts under A.R.S. §41-1954.1

THEREFORE the AAA-PCOA and Contractor agree to abide by all the terms and conditions set forth in this Contract.

FOR AND ON BEHALF OF THE
AREA AGENCY ON AGING,
PIMA COUNCIL ON AGING:

Signature

W. Mark Clark
Typed Name

President & CEO
Title

Date

Pima County Health Department FY 19-20
Contract I.D. No.

PIMA COUNTY

Chairman, Board of Supervisors

Date

ATTEST:

Clerk of the Board

Date

FOR AND ON BEHALF OF THE
CONTRACTOR

Signature

Marcy Flanagan
Typed Name
Director

Title

Date

5.31.2019

APPROVED AS TO FORM:

Deputy County Attorney

Date

5/30/19

**PIMA COUNTY ADDENDUM
TO
THE CONTRACT 2019-2020, BETWEEN
PIMA COUNCIL ON AGING
AND
THE PIMA COUNTY HEALTH DEPARTMENT**

The Contract effective July 1, 2019 is amended as follows:

- 1) The INDEMNIFICATION CLAUSE of the Contract (#40 of the Uniform Terms and Conditions) is amended as follows:

The parties to this contract agree that, to the extent permitted by law, the State of Arizona and the Department of Economic Security (DES) and Pima Council on Aging (PCOA) shall be indemnified and held harmless by Contractor for the vicarious liability of the State and/or DES or PCOA as a result of entering into this contract. However, the parties further agree that the State of Arizona, DES and PCOA shall each be responsible for its own negligence. Each party to this contract is responsible for its own negligence.

- 2) The Payment Recoupment clause, #51 of the Uniform Terms and Conditions, is amended as follows:

h. If AAA-PCOA determines by an audit that the contractor has improperly spent any monies paid to it under this contract, the contractor agrees that AAA-PCOA may deduct from future payments any amounts paid or reimbursed in excess of the contract or service reimbursement ceiling. If the audit occurs after the final payment, Contractor will reimburse PCOA for the amount improperly spent.

- 3) The following paragraph is added to the UNIFORM TERMS AND CONDITIONS:
82. Cancellation for Conflict of Interest: This Contract is subject to cancellation for conflict of interest pursuant to ARS § 38-511, the pertinent provisions of which are incorporated into this Contract by reference.

UNIFORM TERMS AND CONDITIONS

1. As used in these terms and conditions, the following terms have the following meaning:
 - a. **"Aging Information Management System"** (AIMS) is the Department's client tracking and payment processing system.
 - b. **"Annex A"** is the annex to this Contract which contains a description of the services to be delivered pursuant to this Contract.
 - c. **"Annex B"** is the annex to this Contract which contains the approved budget, level of service and any conditions for payment for the delivery of services pursuant to this Contract.
 - d. **"Attachment"** means any item the Solicitation requires the Offeror to submit as part of the Offer.
 - e. **"Begin Date"** means the date that the contractor may start to provide services under this contract. The contractor will not be paid or reimbursed for contract services provided prior to the Begin Date. However, payments or reimbursements shall not be made under this contract until the effective date of this contract.
 - f. **"Compensation"** means that part of this contract which contains the approved method of payment or reimbursement which may include a budget or fee or rate for the delivery of services pursuant to this contract. Compensation also means Cost or Price.
 - g. **"Contract"** means the combination of the Solicitation, including the Uniform and Special Instructions to Offerors, The Uniform and Special Terms and Conditions, and the Specifications and Statement or Scope of Work; the Offer and any Best and Final Offers; and any Solicitation Amendments or Contract Amendments.
 - h. **"Contract Amendment"** means a written document signed by the Procurement Officer that is issued for the purpose of making changes in the Contract.
 - i. **"Contract Expenditures"** means expenditures made by the Contractor during the term of this Contract and pursuant to the approved budget for Contract Services set forth in Annex B.
 - j. **"Contract Services"** means the services to be delivered by the Contractor which are so designated in Annex A. Services will be provided in a manner to ensure they are delivered throughout the entire contract period.
 - k. **"Contractor"** means any person who has a contract with PCOA.
 - l. **"Days"** means calendar days unless otherwise specified.
 - m. **"Department"** means the Arizona Department of Economic Security (ADES).
 - n. **"Effective Date"** means the date the Contractor is to start delivering services. The Effective Date is specified in Annex, A, 4.0 Contract Term.

- o. "Eligible Persons" means the persons determined eligible for contract services in accordance with the criteria set forth herein.
- p. "Equipment" means all vehicles, furniture, machinery, electronic data processing (EDP) equipment, software and all other equipment costing \$5,000.00 or more including all normal and necessary expenses incurred to make the equipment ready for its intended use, (e.g. taxes, freight, installation, assembly and testing charges, etc.) and with a useful life of greater than one year. Equipment as used herein does not include real property (e.g. land, buildings, structures, or facilities improvements).
- q. "Exhibit" means any item labeled as an Exhibit in the Solicitation or placed in the Exhibits section of the Solicitation.
- r. "Gratuity" means a payment, loan, subscription, advance, deposit of money, services, or anything of more than nominal value, present or promised, unless consideration of substantially equal or greater value is received.
- s. "Materials" means all property, including equipment, supplies, printing, insurance and leases of property but does not include land, a permanent interest in land or real property or leasing space.
- t. "May" indicates something that is not mandatory but permissible.
- u. "Offer" means bid, proposal or quotation.
- v. "Offeror" means a vendor who responds to any type of solicitation.
- w. "Procurement Officer" means the AAA-PCOA Area Agency on Aging Chief Executive Officer or his or her designee who is duly authorized to enter into and administer contracts and make written determinations with respect to the contract.
- x. "PCOA" and "AAA-PCOA" means the Pima Council on Aging, the Area Agency on Aging for Pima County, Region II.
- y. "Reimbursement Ceiling" means the amount so designated in Annex B and is the maximum amount payable by AAA-PCOA to the contractor under this contract.
- z. "Scope of Work" means the description of service(s) to be provided pursuant to this contract. Scope of Work also means "Service."
- aa. "Services" means the furnishing of labor, time or effort by a contractor or subcontractor which does not involve the delivery of a specific end product other than required reports and performance, but does not include employment agreements or collective bargaining agreements.
- bb. "Shall Must" indicates a mandatory requirement. Failure to meet these mandatory requirements may result in the rejection of a proposal as non-responsive.
- cc. "Should" indicates something that is recommended but not mandatory. If the Contractor

fails to provide recommended information, PCOA may, at its sole option, ask the Contractor to provide the information.

dd. "**Solicitation**" means an invitation for bids, a request for proposals, or a request for quotation.

ee. "**Solicitation Amendment**" means a written document that is authorized by the Procurement Officer and issued for the purpose of making changes to the Solicitation.

ff. "**State**" means the State of Arizona and the Department or Agency of the State that executes the Contract.

gg. "**State Fiscal Year**" means the period beginning with July 1 and ending June 30.

hh. "**Subcontract**" means any Contract, express or implied, between the Contractor and another party or between a subcontractor and another party delegating or assigning, in whole or in part, the making or furnishing of any material or any service required for the performance of the Contract.

ii. "**Vulnerable adult**" means an individual who is eighteen years of age or older who is unable to protect himself from abuse, neglect or exploitation by others because of a physical or mental impairment.

2. Advertising, Publishing and Promotion of Contract. The contractor shall not use, advertise, promote or publish information for commercial benefit concerning this contract without the prior written approval of the procurement officer. The Contractor shall provide to AAA-PCOA for review and approval all reports or publications (written, visual or sound) which are funded or partially funded under this contract, a minimum of fifteen (15) calendar days prior to public release.

All reports and publications whether written, visual or verbal, shall contain the following statement: "This program was funded through a contract with the Pima Council on Aging utilizing funding from the Arizona Department of Economic Security. Points of view are those of the author and do not necessarily represent the official position or policies of the Pima Council on Aging or the Arizona Department of Economic Security."

3. Amendments. This contract is issued under the authority of the Procurement Officer who signed this contract. The Contract may be modified only through a Contract Amendment within the scope of the Contract. Changes to the Contract, including the addition of work or materials, the revision of payment terms, or the substitution of work or materials, directed by a person who is not specifically authorized by the procurement officer in writing or made unilaterally by the Contractor are violations of the contract and of applicable law. Such changes including unauthorized written Contract Amendments shall be void and without effect and the Contractor shall not be entitled to any claim under this Contract based on those changes. Any change to this contract except non-material changes requires a written contract amendment.

- a. A written amendment to this contract shall be required whenever there is a material change in the content to include, but not limited to the following:

(1) Reimbursement Ceiling:

- (2) Contract term if extended and/or reduced without terminating the contract;
 - (3) Service delivery plan, the scope of work, or the level of service to be provided;
 - (4) Rate paid per unit of service or deliverable;
 - (5) Ownership or legal entity responsible for the contract;
 - (6) Personnel of the contractor when the individual is indicated by name or qualifications in the contract;
 - (7) For any other change in the terms and conditions of the contract which AAA-PCOA deems substantial; or,
 - (8) Except where contract special terms and conditions provide otherwise, in fixed price with price adjustment contracts, whenever there is an increase or decrease in any budget category by an amount equal to or greater than 10 percent.
- b. The contractor shall give written notice to AAA-PCOA of any non-material change, such as but not limited to the following:
- (1) Change of address;
 - (2) Change of telephone number;
 - (3) Change of Contract Authorized Signatory or his/her designee;
 - (4) Changes in the name and/or address of the person to whom notices are to be sent;
 - (5) Changes in contract-related personnel positions of the contractor which do not affect staffing ratios or staff qualifications or specific individuals required under this contract;
 - (6) Change in the name of the contractor, where the ownership or responsible entity remains the same; or,
 - (7) In a fixed price with price adjustment contract, a written amendment shall not be necessary to shift costs among budget categories. The Contractor shall give written notice to the AAA-PCOA that includes justification for the changes and receive written approval from AAA-PCOA. Any such increase must be offset by an equal value decrease in any budget category or categories.
- c. Where a change does not fall in any of the categories listed in paragraph B, the contractor must obtain approval from the procurement officer prior to effecting the change.

4. Applicable Law.

- a. Arizona Law. The Arizona law applies to this contract including, where applicable, the Uniform Commercial Code as adopted by the State of Arizona and the Arizona Procurement Code, Arizona Revised Statutes ("A.R.S.") Title 41, Chapter 23, and its implementing rules, Arizona Administrative Code ("A.A.C.") Title 2 Chapter 7.

- b. Implied Contract Terms. Each provision of law and any terms required by law to be in this contract are a part of this contract as if fully stated in it.
- c. Contract Order of Precedence. In the event of a conflict in the provisions of the Contract, as accepted by AAA-PCOA and as they may be amended, the following shall prevail in the order set forth below:
 - 1. Special Terms and Conditions;
 - 2. Uniform Terms and Conditions;
 - 3. Statement or Scope of Work;
 - 4. Specifications;
 - 5. Attachments;
 - 6. Exhibits; and
 - 7. Documents referenced or included in the Solicitation.
- d. Federal Legislation. The Older Americans Act of 1965, as amended, PL 100-75; and the Code of Federal Regulations, Title 45, Part 74, Administration of Grants.

For Social Services Block Grant (SSBG) services the Contractor shall comply with the laws, rules, regulations and standards contained within 42 U.S.C. 1397 and 42 U.S.C. 1397 a, d, and e; Title 45 Code of Federal Regulations, Parts 74 and 96; and the Arizona Title XX Social Services State Plan.

For Older Americans Act services the Contractor shall comply with laws, rules, regulations and standards contained in or promulgated under Title III of the Older Americans Act, as amended; 42 U.S.C. 3001 through 42 U.S.C. 3058ee(b); Title 45 Code of Federal Regulations, Part 74 (except Subpart N); Title 45 Code of Federal Regulations, Parts 1321 AND 1326. In accordance with Title 45 Code of Federal Regulations, Part 1321.5, the State agency shall afford the Contractor an opportunity for a hearing when required by the provisions of this part.

- e. Compliance with Applicable Laws. The Contractor shall comply with all applicable state and federal statutes and regulations. This shall include A.R.S. §23-722.01 as may be amended relating to new hire reporting, A.R.S. §23-722.02 as may be amended relating to wage assignment orders to provide child support, and A.R.S. §25-535 as may be amended relating to administrative or court-ordered health insurance coverage for children.

The materials and services supplied under this contract shall comply with all applicable federal, state and local laws, and the contractor shall maintain all applicable licenses and permits. Any changes in the governing laws, rules and regulations during the term of this contract shall apply but do not require an amendment to this contract.

The Contractor shall comply with the provisions of A.R.S. Sections §46-251 through §46-253, Supplemental Payments Programs.

In accordance with A.R.S. §36-557 as may be amended (Purchase of community developmental disabilities services; application, contracts; limitation), as applicable, all recipients of contract services shall have all of the same specified rights as they would have if enrolled in a service program operate directly by the State.

The laws and regulations of the state shall govern the rights of the parties, the performance of this contract and any disputes thereunder. Any action relating to this contract shall be brought in Arizona court.

The contractor shall comply with the requirements related to reporting to a peace officer or child protective services incidents or crimes against children as specified in A.R.S. §13-3620 as may be amended.

The contractor shall comply with Public Law 101-121, Section 319 (31 USC 1352) as may be amended, 29 CFR Part 93 as may be amended which prohibits the use of federal funds for lobbying and which stipulates, in part: Except with the express authorization of Congress, the contractor, its employees or agents, shall not utilize any federal funds under the terms of this contract to solicit or influence, or to attempt to solicit or influence, directly or indirectly, any member of Congress regarding pending or prospective legislation. Indian tribes, tribal organizations and other Indian organizations are exempt from these lobbying restrictions with respect to expenditures that are specifically permitted to other federal law.

Nothing in this contract shall be construed as a waiver of an Indian tribe's sovereign immunity; nothing shall be construed as an Indian tribe's consent to be sued or as consent by an Indian tribe to the jurisdiction of any State Court.

The Contractor shall comply with P.L. 105-285, Section 678(a) which prohibits the use of funds for the purchase of improvement of land, or purchase, construction or permanent improvement (other than low-cost residential weatherization or other energy-related home repairs) of any building or other facility.

The Contractor shall comply with P.L. 105-285, Section 678(b) which prohibits the use of CSBG funds for the provision of services or the employment or assignment of personnel in a manner supporting any bi-partisan or non-partisan political activity or any political activity associated with a candidate or contending faction or group, in an election for public or party office, any activity to provide transportation to the polls or similar assistance in connection with and such election, and voter registration activity.

5. Applicable Taxes.

- a. Applicable Taxes. AAA-PCOA will pay only the rate and/or amount of taxes identified in the Offer and in any resulting Contract.
- b. State and Local Transaction Privilege Taxes. The State of Arizona is subject to all applicable state and local transaction privilege taxes. Transaction privilege taxes apply to the sale and are the responsibility of the seller to remit. Failure to collect such taxes from the buyer does not relieve the seller from its obligation to remit taxes.

c. Tax Indemnification. Contractor and all subcontractors shall pay all federal, state and local taxes applicable to its operation and any persons employed by the Contractor. Contractor shall, and require all subcontractors to hold AAA-PCOA harmless from any responsibility for taxes, damages and interest, if applicable, contributions required under federal, and/or state and local laws and regulations and any other costs including transaction privilege taxes, unemployment compensation insurance, Social Security and Worker's Compensation.

d. IRS W9 Form. In order to receive payment, Contractor must have a current I.R.S. W9 Form on file with the State of Arizona, unless not required by law.

6. Arbitration. The parties to this Contract agree to resolve all disputes arising out of or relating to this contract through arbitration, after exhausting applicable administrative review, to the extent required by A.R.S. §12-1518, except as may be required by other applicable statutes (Title 41).

7. Assignment and Delegation. The contractor shall not assign any right nor delegate any duty under this contract without the prior written approval of the procurement officer. AAA-PCOA shall not unreasonably withhold approval.

8. Audit.

a. At any time during the term of this contract, and at any time within five (5) years after termination of this contract the contractor's or any subcontractor's books and records shall be subject to audit by AAA-PCOA and, where applicable, the State or Federal Government, to the extent that the books and records relate to the performance of the contract or subcontract.

b. In compliance with the Federal Single Audit Act (31 U.S.C. Sections 7501-7507), as may be amended, contractors designated as subrecipients, as described in the Office of Management and Budget (OMB) Circular A-133, expending Federal funds from all sources totaling \$500,000 or more shall have a yearly audit conducted in accordance with the audit and reporting standards as prescribed in OMB Circular A-133 (A-133) as may be amended. As outlined in A-133 the audit Reporting Package shall include: Financial statements and a Schedule of Expenditures of Federal Awards (SEFA); Summary schedule of prior audit findings; Auditor's Reports (detailed in the A-133); Corrective Action Plan.

AAA-PCOA's contract numbers and award amounts must be included on the Schedule of Federal Financial Assistance. A copy of the Audit Report, Management Letter and Auditors Opinion must be submitted to PCOA within thirty (30) days after completion of the audit or nine (9) months after the audited period and to AAA-PCOA's person designated to receive notices as specified in the Reports Section in the Scope of Work.

c. As prescribed in OMB Circular A-133, for profit subrecipients are subject to compliance requirements established by the Department of Economic Security. Methods to ensure compliance for Federal awards made to for-profit subrecipients may include pre-award audits, PCOA monitoring during the contract, and post-award audits.

- d. All contractors are subject to the programmatic and fiscal monitoring requirements of AAA-PCOA to ensure accountability of the delivery of all goods and services, as required under the Federal Single Audit Act. A minimum fiscal requirement for all contractors designated as vendors is an annual financial audit which includes AAA-PCOA contract numbers and award amounts. The Audit Report, Management Letter and Auditor's Opinion must be submitted to PCOA's person designated to receive notices within thirty (30) days after completion of the audit.
 - e. The financial audit requirements in paragraphs B and C do not pertain to contract holders who are individuals. However, such contract holders do remain subject to the programmatic and fiscal monitoring requirements of each AAA-PCOA program.
 - f. Audits of non-profit corporations receiving Federal or State monies required pursuant to federal or state laws, rules or regulations must be conducted as provided in 31 U.S.C. 7501 et seq. and A.R.S. §35-181.03 as may be amended, and any other applicable statutes, rules, regulations and standards.
9. Authority. This contract is issued under the authority of the PCOA Chief Executive Officer who signed this contract. Changes to the contract, including the addition of work or materials, the revision of payment terms, or the substitution of work or materials, directed by an unauthorized AAA-PCOA employee or made unilaterally by the contractor are violations of the contract and of applicable law. Such changes, including unauthorized written contract amendments, shall be void and without effect, and the contractor shall not be entitled to any claim under this contract based on those changes.
10. Availability of Funds for the Next Fiscal Year. Funds may not presently be available for performance under this contract beyond the current fiscal year. No legal liability on the part of AAA-PCOA for any payment may arise under this contract beyond the current fiscal year until funds are made available for performance of this contract.
11. Availability of Funds for Current Fiscal Year. AAA-PCOA may reduce or terminate this contract without further recourse, obligation or penalty in the event that insufficient funds are appropriated. The PCOA-AAA director shall have the sole and unfettered discretion in determining the availability of funds. PCOA and the Contractor may mutually agree to reduce reimbursement to the Contractor when the payment type is Fixed Price with Price Adjustment by executing a contract amendment
12. Background Checks for Employment through the Central Registry:
- a. If providing direct service to children or vulnerable adults, the following shall apply: The provisions of A.R.S. §8-804 (as may be amended) are hereby incorporated in its entirety as provisions of this Contract.
 - b. Background checks through the Central Registry shall be conducted and the information contained in the Central Registry used as a factor to determine qualifications for positions that provide direct services to children or vulnerable adults for:
 - 1. Any person who applies for a contract with the State and that person's employees;
 - 2. All employees of a contractor
 - 3. A subcontractor of a contractor and the subcontractor's employees; and
 - 4. Prospective employees of the contractor at the request of the prospective employer.

- c. Volunteers who provide direct services to children or vulnerable adults shall have a Central Registry Background Check which is to be used as a factor to determine qualifications for volunteer positions.
- d. A person who is disqualified because of a Central Registry Background Check may apply to the Board of Fingerprinting for a Central Registry exception pursuant to A.R.S. §41-619.57. A person who is granted a Central Registry exception pursuant to A.R.S. §41-619.57 is not entitled to a contract, employment, licensure, certification or other benefit because the person has been granted a Central Registry exception.
- e. Before being employed or volunteering in a position that provides direct services to children or vulnerable adults, persons shall certify on forms that are provided by the Department whether an allegation of abuse or neglect was made against them and was substantiated. The completed forms are to be maintained as confidential.
- f. A person awaiting receipt of the Central Registry Background Check may provide direct services to ADES clients after completion and submittal of the Direct Service Position certification if the certification states:
 - a. The person is not currently the subject of an investigation of child abuse or neglect in Arizona or another state or jurisdiction; and
 - b. The person has not been the subject of an investigation of child abuse or neglect in Arizona, or another state or jurisdiction, which resulted in a substantiated finding. The Certification for Direct Service Position is located at: <https://www.azdes.gov/InternetFiles/InternetProgrammaticForms/doc/ACY-1257AFORFF.doc>.

If the Central Registry Background Check specifies any disqualifying act and the person does not have a Central Registry exception, the person shall be prohibited from providing direct services to ADES clients.

- g. The Contractor shall maintain the Central Registry Background Check results and any related forms or documents in a confidential file for five (5) years after termination of the Contract. The Request for Search of Central Registry for Background Check is located at: <https://www.azdes.gov/InternetFiles/InternetProgrammaticForms/doc/ACY-1288AFORFF.doc>
13. Cancellation for Conflict of Interest. AAA-PCOA may cancel this contract without penalty or further obligation if any person significantly involved in initiating, negotiating, securing, drafting or creating the contract on behalf of AAA-PCOA is or becomes at anytime while the contract or an extension of the contract is in effect an employee of or a consultant to any other party to this contract with respect to the subject matter of the contract. The cancellation shall be effective when the contractor receives written notice of the cancellation unless the notice specifies a later time.
 14. Certification of Cost or Pricing Data. By signing the offer and contract award form or contract signature form or change order or contract amendment or other official form, the contractor is certifying that, to the best of the contractor's knowledge and belief, any cost or pricing data submitted is accurate, complete and current as of the date submitted or other mutually agreed upon date.

Furthermore, the price to AAA-PCOA shall be adjusted to exclude any significant amounts by which AAA-PCOA finds the price was increased because the contractor-furnished cost or pricing data was inaccurate, incomplete or not current as of the date of certification. Such adjustment by AAA-PCOA may include overhead, profit or fees. The certifying of cost or pricing data does not apply when contract rates are set by law or regulation.

15. **Client Fees and Program Income.** Any program income generated by the contractor as a result of service provision during the term of the contract shall be expended as the first dollar during the period in which it was earned and shall be used to expand or enhance the services. Income generated while the contract is in effect shall not be used to meet matching requirements associated with the receipt of federal funds from the contract.

The Contractor must comply with all restrictions or stipulations set by PCOA regarding the collection and use of the income generated regardless if the contract awarded is a Fixed Price with Price Adjustment or a Unit Rate Contract. Program Income refers to the following:

- a. **Cost Sharing** The Contractor may impose cost sharing upon recipients of a certain service if the service is funded with Older Americans Act-Title III-B or III-E, Title XX Social Services Block Grant (SSBG) or State funds, which permit a sliding fee to be charged. If the contractor proposes to initiate a cost sharing policy for the services rendered, said policy must be submitted to PCOA for review and approval prior to implementation. Services excluded from a cost sharing requirement under the Older Americans Act Program are Information & Assistance, Outreach, Benefit Counseling, Case Management, Ombudsman, Elder Abuse Prevention, Legal Assistance, Consumer Protection services, Congregate Meals, Home Delivered Meals and services delivered through a Tribal Organization or to individuals at or below the Federal poverty level.
- b. **Program Income:** Income generated from the sale of tangible personal or real property, equipment, usage of rental fees and patent or copy right royalties acquired for use during the term of the contract.
- c. **Voluntary Contributions:** Income generated as a result of clients voluntarily contributing toward the cost of the services received.

16. **Code of Conduct.** The Contractor shall avoid any action that might create or result in the appearance of:
- a. Inappropriate use of divulging of information gathered or discovered pursuant to the performance of its duties under the contract;
 - b. Acted on behalf of the State or AAA-PCOA without appropriate authorization;
 - c. Provided favorable or unfavorable treatment to anyone;
 - d. Made a decision on behalf of the State or AAA-PCOA that exceeded its authority, could result in partiality, or have a political consequence for the State or AAA-PCOA;

17. Competitive Bidding. Contractor is authorized to purchase the supplies and equipment itemized in the Compensation section of the contract for utilization in the delivery of contract services. Contractor shall procure all such supplies and equipment at the lowest practicable cost and shall purchase all non-expendable items, having a useful life of more than one year and an acquisition cost of \$1,000 or more, through generally accepted and reasonable competitive bidding processes. Any procurement in violation of this provision shall be subject to a financial audit exception.
18. Confidentiality. Contractor shall observe and abide by all applicable state and federal statutes, rules and regulations regarding use or disclosure of information, including but not limited to information concerning applicants for and recipients of contract services. The contractor shall release information to AAA-PCOA, the Department and the Attorney General's office as required by the terms of this contract, by law or upon request. In 2011 State legislation established the Address Confidentiality Program (ACP). The Contractor shall comply with the requirements of Arizona Address Confidentiality Program, A.R.S. §41-161 et. Seq. PCOA will advise the Contractor as to applicable policies and procedures the Arizona Department of Economic Security has adopted for such compliance.
19. Continuation of Performance Through Termination. The Contractor shall continue to perform, in accordance with the requirements of the Contract, up to date of termination, as directed in the termination notice.
20. Contract Claims. All contract claims and controversies under this contract shall be resolved according to A.R.S. Title 41, Chapter 23, Article 9, and rules adopted thereunder.
21. Contract Extension. PCOA has no obligation to extend or renew this contract. However, this contract may be extended or renewed for multiple periods, or may be established as a multi-year contract in its entirety or in part at the sole option of PCOA. Any extension or renewal must be made prior to the end of the contract period specified in this contract.
22. Contract Term. The term of this contract shall be the period of time from the contract begin date to the contract termination date as awarded or extended. The begin date of the contract term is the date that the contractor may start to provide services under this contract. The contractor will not be paid or reimbursed for contract services provided prior to the begin date, or after the end date of the contract. However, payments or reimbursements shall not be made under this contract until the effective date of this contract.
23. Contract Interpretation and Amendment.
 - a. No Parole Evidence. This contract is intended by the parties as a final and complete expression of their agreement. No course of prior dealings between the parties and no usage of the trade shall supplement or explain any term used in this contract and no other understanding either oral or in writing shall be binding.
 - b. No Waiver. Either party's failure to insist on strict performance of any term or condition of the contract shall not be deemed a waiver of that term or condition even if the party accepting or acquiescing in the nonconforming performance knows of the nature of the performance and fails to object to it.

c. Written Contract Amendments. The contract shall be modified only through a written contract amendment within the scope of the contract signed by the procurement officer on behalf of AAA-PCOA and the authorized representative of the contractor.

24. Cooperation. AAA-PCOA may undertake or award other contracts for additional work related to the work performed by the contractor, and the contractor shall fully cooperate with such other contractors and carefully fit its own work to such other contractors' work. The contractor shall not commit or permit any act which will interfere with the performance of work by any other contractor. The contractor shall cooperate as AAA-PCOA deems necessary, with the transfer of work, services, case records and files performed or prepared by the contractor to other contractor(s).

25. Effective Date. The effective date of this contract shall be the date that the AAA-PCOA Chief Executive Officer signs the offer and acceptance form or other official contract form, unless another date is specifically stated in the contract.

26. Equipment. If the contractor is authorized to purchase equipment, it shall be itemized in the Compensation section for utilization in the delivery of contract services. If equipment purchase is authorized the contractor shall maintain complete and up-to-date inventory records for all equipment purchased hereunder.

Equipment specifically designated with this contract to be purchased in whole or part with AAA-PCOA funds, shall be reported in accordance with PCOA inventory policies and procedures. The contractor shall report equipment purchased with contract funds to AAA-PCOA within thirty (30) days of purchase and perform an annual inventory of all capital equipment purchased with AAA-PCOA funds and submit the equipment inventory to AAA-PCOA.

AAA-PCOA shall retain an equitable interest, equal to the purchase price paid, or a fair estimate or appraisal of current market value, whichever is greater, in all equipment purchased under this or a predecessor contract. AAA-PCOA shall be included as a co-insured on any insurance policy that covers equipment purchased under this or a predecessor contract.

The contractor shall not dispose of any equipment purchased under this or a predecessor contract without the prior written consent of AAA-PCOA during and after the contract term. Such consent, if given, may include direction as to means of disposition and utilization of proceeds including any necessary adjustments to the contract.

Upon termination of this contract, any equipment purchased under this or a predecessor contract shall be disposed of as directed by AAA-PCOA and if sold AAA-PCOA shall be compensated in the amount of its equitable interest.

Under a fixed price contract, this section does not apply unless specifically required by federal or state law.

27. Evaluation. AAA-PCOA will be entitled to evaluate, and the contractor shall cooperate in the evaluation of, contract services. Evaluation may assess the quality and impact of contract services, either in isolation or in comparison with other similar services, and will assess the contractor's progress and/or success in achieving the goals, objectives and deliverables set forth in this contract. As requested by AAA-PCOA, the Contractor shall participate in third party evaluation relative to contract impact in support of AAA-PCOA goals.
28. E-Verify The Contractor warrants compliance with all Federal immigration laws and regulations relating to employees and warrants its compliance with Section A.R.S. §23-214 subsection A, as may be amended. (That subsection reads: "After December 31, 2007, every employer, after hiring an employee, shall verify the employment eligibility of the employee through the E-Verify program.")
- a. A breach of a warrant regarding compliance with immigration laws and regulations shall be deemed a material breach of the contract and the Contractor may be subject to penalties up to and including termination of the contract.
 - b. Failure to comply with a State audit process to randomly verify the employment records of contractors and subcontractors shall be deemed a material breach of the contract and the Contractor may be subject to penalties up to and including termination of the contract.
 - c. The AAA-PCOA retains the legal right to inspect the papers of any employee who works on the contract to ensure that the Contractor or subcontractor is complying with the warranty.
29. Fair Hearings and Service Recipient's Grievances. The contractor shall advise all applicants for and recipients of contract services of their right, at anytime and for any reason, to present to the contractor and to AAA-PCOA any grievances arising from the delivery of contract services, including but not limited to ineligibility determination, service reduction, suspension or termination, or quality of service. AAA-PCOA may establish its jurisdiction in the grievance or refer the matter to the appropriate authority.
- The contractor, whenever authorized by law, shall maintain a formal system acceptable to and approved by PCOA for reviewing and adjudicating grievances by service recipients or subcontractors arising from this contract.
30. Federal Immigration and Nationality Act. By entering into the Contract, the Contractor warrants compliance with the Federal Immigration and Nationality Act (FINA) and all other Federal immigration laws and regulations related to the immigration status of its employees. The Contractor shall obtain statements from its subcontractors certifying compliance and shall furnish the statements to the Procurement Officer upon request.

These warranties shall remain in effect through the term of the Contract. The Contractor and its subcontractors shall also maintain Employment Eligibility Verification forms (I-9) as required by the U.S. Department of Labor's Immigration and Control Act, for all employees performing work under the Contract. I-9 forms are available for download at USCIS.GOV.

- a. The State may request verification of compliance for any Contractor or subcontractor performing work under the Contract. Should the State suspect or find that the Contractor or any of its subcontractors are not in compliance, the PCOA/State may pursue any and all remedies allowed by law, including, but not limited to: suspension of work, termination of the Contract for default, and suspension and/or debarment of the Contractor. All costs necessary to verify compliance are the responsibility of the Contractor.

30. Fingerprinting.

The provisions of A.R.S. 46-141 (as may be amended are hereby incorporated in their entirety as provisions of this contract. For reference, these provisions include, but are not limited to, the following.

- a. Contractor shall comply with, and shall ensure that all of Contractor's employees, independent contractors, subcontractors, volunteers and other agents comply with, all applicable (current and future) legal requirements relating to fingerprinting, fingerprint clearance cards, certifications regarding pending or past criminal matters, and criminal records checks that relate to contract performance.
- b. Personnel who are employed by the Contractor whether paid or not, and who are required or allowed to provide services directly to juveniles or vulnerable adults shall submit a full set of fingerprints to the Department for the purpose of obtaining a state and federal criminal records check pursuant to section 41-1750 and Public Law 92-544 or shall apply for fingerprint clearance card within seven working days of employment.
- c. The Contractor shall assume the costs of fingerprint checks and may charge these costs to its fingerprinted personnel.
- d. Except as provided in A.R.S §46-141, this contract may be cancelled or terminated immediately if a person employed by the Contractor and who has contact with juveniles certifies pursuant to the provisions of A.R.S §46-141 (as may be amended) that the person is awaiting trial or has been convicted of any of the offenses listed therein in this State, or of acts committed in another state that would be offenses in this State, or if the person does not possess or is denied issuance of a valid fingerprint clearance card.
- e. Personnel who are employed by any Contractor whether paid or not, and who are required or allowed to provide services directly to juveniles shall certify on forms provided by the Department of Economic Security and notarized whether they are awaiting trial on or have every been convicted of any of the offenses described in A.R.S §46-141 (as may be amended).
- f. Personnel who are employed by an Contractor, whether paid or not, and who are required or allowed to provide services directly to juveniles shall certify on forms provided by the Department of Economic Security and notarized whether they have ever committed any act of sexual abuse of a child, including sexual exploitation and commercial sexual exploitation, or any act of child abuse.

- g. Federally recognized Indian tribes or military bases may submit and the Department of Economic Security shall accept certifications that state no personnel who are employed or who will be employed during the contract term have been convicted of, have admitted committing or are awaiting trial on any offenses as described in §46-141 (H) (as may be amended).

32. Force Majeure.

- a. Except for payment of sums due, neither party shall be liable to the other nor deemed in default under this Contract if and to the extent that such party's performance of this Contract is prevented by reason of force majeure. The term "*force majeure*" means an occurrence that is beyond the control of the party affected and occurs without its fault or negligence. Without limiting the foregoing, force majeure includes acts of God; acts of the public enemy; war; riots; strikes; mobilization; labor disputes; civil disorders; fire; flood; lockouts; injunctions-intervention-acts; or failures or refusals to act by government authority; and other similar occurrences beyond the control of the party declaring force majeure which such party is unable to prevent by exercising reasonable diligence.

Force Majeure shall not include the following occurrences:

1. Late delivery of equipment or materials caused by congestion at a manufacturer's plant or elsewhere, or an oversold condition of the market;
 2. Late performance by a subcontractor unless the delay arises out of a force majeure occurrence in accordance with this force majeure term and condition; or
 3. Inability of either the Contractor or any subcontractor to acquire or maintain any required insurance, bonds, licenses or permits.
- b. If either party is delayed at any time in the progress of the work by force majeure, the delayed party shall notify the other party in writing of such delay, as soon as is practicable and no later than the following working day, of the commencement thereof and shall specify the causes of such delay in such notice. Such notice shall be delivered or mailed certified-return receipt and shall make a specific reference to this article, thereby invoking its provisions. The delayed party shall cause such delay to cease as soon as practicable and shall notify the other party in writing when it has done so. The time of completion shall be extended by contract amendment for a period of time equal to the time that results or effects of such delay prevent the delayed party from performing in accordance with this Contract.
- c. Any delay or failure in performance by either party hereto shall not constitute default hereunder or give rise to any claim for damages or loss of anticipated profits if, and to the extent that such delay or failure is caused by force majeure.

33. General Indemnification. The contractor shall define, indemnify and hold harmless both AAA-PCOA and the state from any claim, demand, suit, liability, judgment and expense (including attorney's fees and other costs of litigation) arising out of or relating to injury, disease, or death of persons or damage to or loss of property resulting from or in connection with the negligent performance of this contract by the contractor, its agents, employees, and subcontractors or anyone for whom the contractor may be responsible. The obligations, indemnities and liabilities assumed by the contractor under this paragraph shall not extend

to any liability caused by the negligence of AAA-PCOA or its employees. The contractor's liability shall not be limited by any provisions or limits of insurance set forth in this contract. AAA-PCOA shall reasonably notify the contractor of any claim for which it may be liable under this paragraph.

34. General Understanding. In order for AAA-PCOA to accomplish a comprehensive and coordinated service response system, some services must be provided through a joint venture between AAA-PCOA and the contractor. AAA-PCOA recognizes the contractor's role as both integral and significant to the delivery of services and understands the importance of a true partnership between itself and the contractor. To this end, AAA-PCOA recognizes the autonomy of the contractor and will try to avoid unnecessary demands on the operations and business practices of the contractor.
35. Gratuities. The procurement officer may, by written notice to the contractor, immediately terminate this contract if AAA-PCOA determines that employment or a gratuity was offered or made by the contractor or a representative of the contractor to any officer or employee of AAA-PCOA for the purpose of influencing the outcome of the procurement or securing the contract, an amendment to the contract, or favorable treatment concerning the contract, including the making of any determination or decision about contract performance.
- AAA-PCOA, in addition, to any other rights or remedies, shall be entitled to recover exemplary damages in the amount of three times the value of the gratuity offered by the contractor. Contractor personnel shall not accept gratuities or "tips" for provision of service.
36. Identification of Funding. All advertisement, publications and printed materials which are produced by the contractor and refer to contract services shall state that such services are funded under contract with AAA-PCOA as part of the Older Americans Act Program.
37. Inclusive Contractor. Contractor is encouraged to make every effort to utilize subcontractors, when specified in the contract, that are small, women-owned and/or minority owned business enterprises. This could include subcontractors for a percentage of the administrative or direct service being proposed. Contractor who is committing a portion of its work to such subcontractors shall do so by identifying the type of service and work to be performed by providing detail concerning the Contractor's utilization of small, women-owned and/or minority business enterprises. Emphasis should be placed on specific areas that are subcontracted and percentage of contract utilization and how this effort will be administered and managed, including reporting requirements.
38. Indemnification - Patent and Copyright. The contractor shall indemnify and hold harmless both AAA-PCOA and the state against any liability, including costs and expenses, for infringement of any patent, trademark or copyright arising out of contract performance or use by AAA-PCOA or the state of materials furnished or work performed under this contract. AAA-PCOA shall reasonably notify the contractor of any claim for which it may be liable under this paragraph. If the contractor is insured pursuant to A.R.S. §41-621 and §35-154, this section shall not apply.
39. Inspection and Testing. The Contractor agrees to permit access to its facilities, subcontractor facilities and the Contractor's processes or services at reasonable times for inspection of the facilities or materials covered under this Contract. AAA-PCOA shall also have the right to test at its own cost the materials to be supplied under this Contract.

Neither inspection at the Contractor's facilities nor materials testing shall constitute final acceptance of the materials or services. If AAA-PCOA determines non-compliance of the materials, the Contractor shall be responsible for the payment of all costs incurred by AAA-PCOA for testing and inspection.

40. Indemnification and Insurance.

INDEMNIFICATION CLAUSE:

The parties to this contract agree that the State of Arizona and the Department of Economic Security (DES) and Pima Council on Aging shall be indemnified and held harmless by Contractor for the vicarious liability of the State and/or DES or PCOA as a result of entering into this contract. However, the parties further agree that the State of Arizona, DES and PCOA shall each be responsible for its own negligence. Each party to this contract is responsible for its own negligence.

INSURANCE REQUIREMENTS:

Contractor and subcontractors shall procure and maintain until all of their obligations have been discharged, including any warranty periods under this Contract, are satisfied, insurance against claims for injury to persons or damage to property which may arise from or in connection with the performance of the work hereunder by the Contractor, his agents, representatives, employees or subcontractors.

The *insurance requirements* herein are minimum requirements for this Contract and in no way limit the indemnity covenants contained in this Contract. The State of Arizona and DES in no way warrant that the minimum limits contained herein are sufficient to protect the Contractor from liabilities that might arise out of the performance of the work under this contract by the Contractor, its agents, representatives, employees or subcontractors, and Contractor is free to purchase additional insurance.

A. MINIMUM SCOPE AND LIMITS OF INSURANCE: Contractor shall provide coverage with limits of liability not less than those stated below.

1. Commercial General Liability – Occurrence Form

Policy shall include bodily injury, property damage, personal injury and broad form contractual liability coverage.

• General Aggregate	\$2,000,000
• Products – Completed Operations Aggregate	\$1,000,000
• Personal and Advertising Injury	\$1,000,000
• Blanket Contractual Liability – Written and Oral	\$1,000,000
• Fire Legal Liability	\$ 50,000
• Each Occurrence	\$1,000,000

- a. The policy shall be endorsed to **include coverage for sexual abuse and molestation.**
- b. The policy shall be endorsed to include the following additional insured language: ***“The State of Arizona, the Department of Economic Security and Pima Council on Aging shall be named as additional insureds with respect to liability arising out of the activities performed by or on behalf of the Contractor”.***

- c. Policy shall contain a waiver of subrogation against the State of Arizona/PCOA its departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees for losses arising from work performed by or on behalf of the Contractor.

This requirement may be satisfied with a policy combining General and Professional Liability, provided that the General Liability section of the policy is written on an occurrence basis, and includes coverage for contractual liability.

2. **Business Automobile Liability**

Bodily Injury and Property Damage for any owned, hired, and/or non-owned vehicles used in the performance of this Contract.

Combined Single Limit (CSL)	\$1,000,000
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- a. The policy shall be endorsed to include the following additional insured language: ***“The State of Arizona, Department of Economic Security and Pima Council on Aging shall be named as additional insureds with respect to liability arising out of the activities performed by or on behalf of the Contractor, involving automobiles owned, leased, hired or borrowed by the contractor”.***
- b. Policy shall contain a waiver of subrogation against the State of Arizona and PCOA, its departments, agencies, boards, commissions, universities and its officers, officials, agents and employees for losses arising from work performed by or on behalf of the Contractor.
- c. This paragraph, **Business Automobile Liability**, shall not be applicable in the event Contractor (or its Subcontractors) does not utilize a vehicle in any manner in the performance of this Contract or if the utilization is used only for commuting purposes. In the event Contractor (or its Subcontractors) subsequently utilizes the vehicle in the performance of the Contract or utilizes it for other than commuting purposes, this paragraph, **Business Automobile Liability**, shall be fully applicable, effective the date the utilization is changed.

3. **Worker's Compensation and Employers' Liability**

Workers' Compensation	Statutory
Employers' Liability	
Each Accident	\$ 500,000
Disease – Each Employee	\$ 500,000
Disease – Policy Limit	\$1,000,000

- a. Policy shall contain a waiver of subrogation against the State of Arizona/PCOA, its departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees for losses arising from work performed by or on behalf of the Contractor.

- b. This requirement shall not apply to: Separately, EACH contractor or subcontractor exempt under A.R.S. 23-901, AND when such contractor or subcontractor executes the appropriate waiver (Sole Proprietor/Independent Contractor) form.

4. Professional Liability (Errors and Omissions Liability)

Each Claim	\$1,000,000
Annual Aggregate	\$2,000,000

- a. In the event that the professional liability insurance required by this Contract is written on a claims-made basis, Contractor warrants that any retroactive date under the policy shall precede the effective date of this Contract; and that either continuous coverage will be maintained or an extended discovery period will be exercised for a period of two (2) years beginning at the time work under this Contract is completed.
- b. The policy shall cover professional misconduct or lack of ordinary skill for those positions defined in the Scope of Work of this contract.

5. Fidelity Bond or Crime Insurance

Bond or Policy Limit	\$100,000
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- a. The bond or policy shall be issued with minimum limits of \$100,000.
- b. The bond or policy shall include coverage for all directors, officers, agents and employees of the Contractor.
- c. The bond or policy shall **include coverage for theft for third party fidelity.**
- d. The bond or policy shall **include coverage for theft and mysterious disappearance.**
- e. The bond or policy shall **contain no requirement for arrest and conviction.**
- f. The bond or policy shall cover loss outside the premises of the **Names Insured.**

B. **ADDITIONAL INSURANCE REQUIREMENTS:** The policies shall include, or be endorsed to include, the following provisions: Pima Council on Aging and the State of Arizona Department of Economic Security wherever additional insured status is required such additional insured shall be covered to the full limits of liability purchased by the Contractor, even if those limits of liability are in excess of those required by this Contract.

- 1. The Contractor's insurance coverage shall be primary insurance with respect to all other available sources.
- 2. Coverage provided by the Contractor shall not be limited to the liability assumed under the indemnification provisions of this contract.

C. **NOTICE OF CANCELLATION:** Each insurance policy required by the insurance provisions of this Contract shall provide the required coverage and shall not be suspended, voided, canceled, or reduced in coverage or in limits except after thirty (30) days prior written notice has been given to the Pima Council on Aging and State of Arizona. Such notice shall be sent directly to **Pima Council on Aging, 8467 E. Broadway, Tucson, AZ 85710 and Department of Economic Security, Office of Procurement, 1789 W. Jefferson St., Site Code 805Z, Phoenix, AZ 85007** and shall be sent by certified mail, return receipt requested.

- D. **ACCEPTABILITY OF INSURERS:** Insurance is to be placed with duly licensed or approved non-admitted insurers in the state of Arizona with an "A.M. Best" rating of not less than A- VII. The State of Arizona in no way warrants that the above-required minimum insurer rating is sufficient to protect the Contractor from potential insurer insolvency.

If the social services program utilizes the Social Service Contractors Indemnity Pool (SSCIP) or other approved insurance pool for insurance coverage, SSCIP or the other approved insurance pool is exempt from the A.M. Best's rating requirements listed in this contract. If the contractor or subcontractor chooses to use SSCIP or another approved insurance pool as its insurance provider, the contract/subcontract would be considered in full compliance with insurance requirements relating to the A.M. Best rating requirements.

- E. **VERIFICATION OF COVERAGE:** Contractor shall furnish Pima Council on Aging and the State of Arizona with certificates of insurance (ACORD form or equivalent approved by the State of Arizona) as required by this Contract. The certificates for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf.

All certificates and endorsements are to be received and approved by DAAS and Pima Council on Aging before work commences. Each insurance policy required by this Contract must be in effect at or prior to commencement of work under this Contract and remain in effect for the duration of the project. Failure to maintain the insurance policies as required by this Contract, or to provide evidence of renewal, is a material breach of contract.

All certificates required by this Contract shall be sent directly to **Pima Council on Aging, 8467 E. Broadway, Tucson, Arizona 85710 unless the Contract specifies otherwise.** Pima Council on Aging's project/contract number and project description shall be noted on the certificate of insurance. Pima Council on Aging/State of Arizona reserves the right to require complete, certified copies of all insurance policies required by this Contract at any time. **DO NOT SEND CERTIFICATES OF INSURANCE TO THE STATE OF ARIZONA'S RISK MANAGEMENT SECTION.**

- F. **SUBCONTRACTORS:** Contractors' certificate(s) shall include all subcontractors as insureds under its policies **or** Contractor shall furnish to PCOA/State of Arizona separate certificates and endorsements for each subcontractor. All coverages for subcontractors shall be subject to the minimum requirements identified above.
- G. **APPROVAL:** Any modification or variation from the *insurance requirements* in this Contract shall be made by the Department of Administration, Risk Management Section, whose decision shall be final. Such action will not require a formal Contract amendment, but may be made by administrative action.
- H. **EXCEPTIONS:** In the event the Contractor or sub-contractor(s) is/are a public entity, then the Insurance Requirements shall not apply. Such public entity shall provide a Certificate of Self-Insurance. If the contractor or sub-contractor(s) is/are a State of Arizona agency, board, commission, or university none of the above shall apply.

In the event that Contractor determines that it may not be able to comply fully with the insurance requirements set forth above in section 40 of the Uniform Terms and Conditions, the Contractor may request that the insurance requirements be modified pursuant to section 40, provided that such request be delivered in writing to PCOA at least ten days prior to the solicitation due date or, if not a solicitation, prior to contract execution. Contractor shall include with such request Contractor's justification for the modification with supporting documentation.

As provided in section 40, the Department of Administration, Risk Management Section, shall decide whether such modification may be permitted. If the Department of Administration, Risk Management, decides to grant permission, the DES Chief Procurement Officer shall then decide whether to approve the modification.

Modifications that are approved will be done so on a case-by-case basis and shall not affect the insurance requirements of other Contractors for whom modifications have not been approved. If a Contractor's request has not been approved or a Contractor fails to deliver its request prior to the applicable deadline, then the Contractor shall be required to comply fully with the insurance requirements set forth in section 40.

41. IT508 Compliance. Unless specifically authorized in the Contract, any electronic or information technology offered to the State of Arizona under this contract shall comply with A.R.S. 41-3531 and 3532 as may be amended and Section 508 of the Rehabilitation Act of 1973, which requires that employees and members of the public shall have access to and use of information technology that is comparable to the access and use by employees and members of the public who are not individuals with disabilities.
42. Levels of Service.
 - A. If the contractor determines service recipient eligibility, the contractor shall maintain and regulate the units or services set forth in this contract to ensure continuity and availability of services to eligible persons during the term of this contract and during any transition to a subsequent contractor.
 - B. PCOA makes no guarantee to purchase specific quantities of goods or services, or to refer eligible persons as may be identified or specified herein. Further, it is understood and agreed that this contract is for the sole convenience of PCOA and PCOA reserves the right to obtain like goods or services from other sources when such need is determined necessary by PCOA.
 - C. Contract services may be moved or expanded to other site locations within the geographic area awarded only by a written contract amendment.
 - D. PCOA makes no guarantee to purchase all of the service units authorized or to provide any number of referrals. If quantities of units are specified, they are estimates only and PCOA may decrease and/or increase them by providing written notice to the contractor.
 - E. When the method of compensation for the service is **Fixed Price with Price Adjustment**, the contract may be amended, by mutual agreement, to purchase additional services by increasing the contract service budget and/or budget summary.

43. Monitoring. AAA-PCOA will be entitled to monitor the contractor or subcontractor and they shall cooperate in the monitoring of services delivered, facilities and records maintained and fiscal practices.
44. Non-Discrimination. The contractor shall comply with state Executive Order No. 99-4 and all other applicable federal and state laws, rules and regulations, including the Americans with Disabilities Act. The contractor shall take affirmative action to ensure that applicants for employment and employees are not discriminated against due to race, creed, color, religion, sex, national origin or disability.

Contractor shall comply with Title VII of the Civil Rights of 1964 as amended, the Age Discrimination in Employment Act. The contractor shall comply with the Rehabilitation Act of 1973, as amended, which prohibits discrimination in the employment or advancement in employment of qualified persons because of physical or mental handicap.

The contractor shall comply with the requirements of the Fair Labor Standards Act of 1938, as amended. The contractor shall also comply with Vietnam Era Veteran's Readjustment Assistance Act of 1974, as amended (38 U.S.C. 4212), Section 503 of the Rehabilitation Act of 1973, as amended and implementing regulations 41 CFR Part 60.

The contractor shall comply with Title VI of the Civil Rights Act of 1964, which prohibits the denial of benefits of or participation in contract services on the basis of race, color, or national origin. The contractor shall comply with the requirements of Section 504 of the Rehabilitation Act of 1973, as amended, which prohibits discrimination on the basis of handicap, in delivering contract services; and with Title II of the Americans With Disabilities Act, and the Arizona Disability Act, which prohibit discrimination on the basis of physical or mental disabilities in the provision of contract programs, services and activities. The contractor shall comply with AAA-PCOA's policy regarding Equal Employment Opportunity and Affirmative Action. If contractor is an Indian Tribal government, contractor shall comply with the Indian Civil Rights Act of 1968. It shall be permissible for an Indian Tribal contractor to engage in Indian preference in hiring.

The following shall be included in all publications, forms, flyers, etc. that are distributed to recipients of contract services: "under Titles VI and VII of the Civil Rights Act of 1964 (Title VI and VII) and the Americans with Disabilities Act of 1990 (ADA) Section 504 of the Rehabilitation Act of 1973 and the Age Discrimination Act of 1975 (*insert Contractor name here*) prohibits discrimination in admissions, programs, services, activities or employment based on race, color, religion, sex, national origin, age and disability. The (*insert Contractor name here*) must make a reasonable accommodation to allow a person with a disability to take part in a program, service, or activity. Auxiliary aids and services are available upon request to individuals with disabilities. For example, this means that if necessary, the (*insert Contractor name here*) must provide sign language interpreters for people who are deaf, a wheelchair accessible location, or enlarged print materials. It also means that the (*insert Contractor name here*) will take any other reasonable action that allows you to take part in and understand a program or activity, including making reasonable changes to an activity.

If you believe that you will not be able to understand or take part in a program or activity because of your disability, please let us know of your disability needs in advance if at all possible. To request this document in alternative format or for further information about this policy please contact: *(insert Contractor contact person and phone number here)*” Para obtener este documento en otro formato u obtener informacion adicional sobre esta politica, *(insert Contractor contact person and phone number here)*”

45. Nonconforming Tender. Materials or services supplied under this contract shall fully comply with the Contract. The delivery of materials or services or a portion of the materials or services that do not fully comply constitutes a breach of contract. On delivery of nonconforming materials or services, AAA-PCOA may terminate the Contract for default under applicable termination clauses in the Contract, exercise any of its rights and remedies under the Uniform Commercial Code, or pursue any other right or remedy available to it.
46. Nonexclusive Remedies. The rights and the remedies of AAA-PCOA under this contract are not exclusive.
47. Notices. All notices under this contract shall be directed in writing to the persons and addresses specified for such purpose in this contract, or to such other persons and/or addresses as either party may designate to the other by notice. In the event that no person is designated to receive notices then notices shall be sent to the contract signatory.

The Contractor shall give written notice to AAA-PCOA of changes to the following, and a written amendment to the contract shall not be necessary:

1. Change of telephone number;
 2. Changes in the name and/or address of the person to whom notices are to be sent;
 3. Changes in contract-related personnel positions of the Contractor which do not affect staffing rations, staff qualifications or specific individuals required under this contract; or
 4. In a fixed price with price adjustment contract, a written amendment shall not be necessary to shift costs among budget categories. The Contractor shall give written notice to the AAA-PCOA that includes justification for the changes and receive written approval from AAA-PCOA. Any such increase must be offset by an equal value decrease in any budget category or categories.
48. Offshore Performance of Work Prohibited. Due to security and identity protection concerns, direct services under this contract shall be performed within the borders of the United States. Any services that are described in the specifications or scope of work that directly serve the State of Arizona or its clients and may involve access to secure or sensitive data or personal client data or development or modification of software for the State shall be performed within the borders of the United States.

Unless specifically stated otherwise in the specifications, this definition does not apply to indirect or “overhead” services, redundant back-up services or services that are incidental to the performance of the contract. This provision applies to work performed by subcontractors at all tiers.

49. Pandemic Contractual Performance:

- a. PCOA/the State shall require a written plan that illustrates how the contractor shall perform up to contractual standards in the event of a pandemic. PCOA/the state may require a copy of the plan at anytime prior or post award of a contract. At a minimum, the pandemic performance plan shall include:
 1. Key succession and performance planning if there is a sudden significant decrease in contractor's workforce.
 2. Alternative methods to ensure there are services or products in the supply chain.
 3. An up to date list of company contacts and organizational chart.
- b. In the event of a pandemic, as declared by the Governor of Arizona, U.S. Government or the World Health Organization, which makes performance of any term under this contract impossible or impracticable, PCOA/the State shall have the following rights:
 1. After the official declaration of a pandemic, PCOA/the State may temporally void the contract(s) in whole or specific sections if the contractor cannot perform to the standards agreed upon in the initial terms.
 2. PCOA/the State shall not incur any liability if a pandemic is declared and emergency procurements are authorized by the Director of the Arizona Department of Administration per A.R.S. § 41-2537 of the Arizona Procurement Code.
 3. Once the pandemic is officially declared over and/or the contractor can demonstrate the ability to perform, PCOA/the State, at its sole discretion may reinstate the temporarily voided contract(s).

50. Payments. The contractor shall be paid as specified in the contract. The payment must comply with requirements of A.R.S. Title 35 and 41.

Payments shall be made according to the methods of compensation defined as follows:

- a. Rate or Fixed Price - The contractor is paid a specified amount for each unit of service or deliverable as designated in the contract, not to exceed the maximum number of authorized units indicated for each contract service.

By signing the offer and acceptance form or contract signature form or change order or contract amendment or other official form the contractor is certifying that to the best of their knowledge and belief that any cost or pricing data submitted was accurate, complete and current.

Furthermore, the price to AAA-PCOA shall be adjusted to exclude any significant amounts by which AAA-PCOA finds the price was inaccurate because the contractor-furnished cost or pricing data was inaccurate, incomplete or not current. Such adjustment by AAA-PCOA may include profit or fees. The contractor shall keep all cost or pricing data submitted current and shall report any changes to AAA-PCOA. AAA-PCOA shall be allowed to recoup such amounts of unallowable costs as determined by a financial audit.

- b. Fixed price with price adjustment - Reimbursement to the contractor is in accordance with actual, allowable costs incurred consistent with each Service Budget not to exceed the service reimbursement ceiling. The contractor shall furnish AAA-PCOA with an accounting of actual costs incurred consistent with the categories set forth in the service budget. Budget categories to include cost items in a category, may be deleted, added, or modified by a contract amendment, provided that the total PCOA Service Cost shall not increase unless a price increase is permitted by renewal or extension of the contract.

Any change to the service reimbursement ceiling shall be reflected in a contract amendment.

The contractor shall report contract expenditures to AAA-PCOA in the manner prescribed by the "Reporting Requirements" section of these terms and conditions. Upon receipt of applicable, accurate and complete reports, AAA-PCOA shall authorize payment or reimbursement in accordance with the method(s) indicated by this contract.

If the contractor is in any manner in default in the performance of any obligation under this contract, or if audit exceptions are identified, AAA-PCOA, at its option and in addition to other available remedies, can either adjust the amount of payment or withhold payment until satisfactory resolution of the default or exception.

Under no circumstances shall AAA-PCOA authorize payment to the contractor that exceeds the contract or service reimbursement ceiling without an amendment to this contract, nor shall AAA-PCOA authorize payment to the contractor until after receipt of reports in accordance with the "Reporting Requirements" section of these terms and conditions. Under no circumstances shall PCOA make payment to the Contractor for services performed prior to or after the term of the contract without timely extension or renewal of the contract.

The Contractor may offer a price reduction adjustment at any time during the term of the contract. Any price reduction shall be executed by a contract amendment.

51. Payment Recoupment. Contractor must reimburse AAA-PCOA upon demand or AAA-PCOA may deduct from future payments the following:

- a. Any amounts received by contractor from AAA-PCOA for contract services which have been inaccurately reported or are found to be unsubstantiated;
- b. Any amounts paid by the contractor to a subcontractor not authorized in writing by AAA-PCOA;
- c. Any amount or benefit paid directly or indirectly to an individual or organization not in accordance with the "Substantial Interest" section of these terms and conditions;
- d. Any amounts paid by AAA-PCOA for services which duplicate services covered or reimbursed by other specific grants and contracts, or payments;
- e. Any amounts expended for items or purposes determined unallowable by AAA-PCOA. See the "Unallowable Costs" section of these terms and conditions;

- f. Any amounts paid by AAA-PCOA for which the contractor's books, records, and other documents are not sufficient to clearly substantiate that those amounts were used by the contractor to perform contract services;
- g. Any amounts identified as a financial audit exception;
- h. Any amounts paid or reimbursed in excess of the contract or service reimbursement ceiling.

If AAA-PCOA determines by an audit that the contractor has improperly spent any monies paid to it under this contract, the contractor agrees that AAA-PCOA immediately may record or obtain a lien in the amount of such determination against real property and any other assets of the contractor, and the contractor shall sign all documents the AAA-PCOA deem necessary to perfect such liens. Failure to sign any such documents shall be a material breach of this contract.

- i. Any payment made for services rendered before the contract begin date or after the contract termination date; and.
- j. Any amounts paid to the contractor which are subsequently determined to be defective pursuant to the "Certification of Cost or Pricing Data" section of these Uniform Terms and Conditions.

52. Personnel. The contractor's personnel shall satisfy any qualifications and carry out any duties, and shall work the hours and receive the Compensation set forth in this contract. Personnel policies and practices shall be uniform for all employees of the contractor. If contract services are not delivered by employees or volunteers of the contractor, any agreement between the contractor and anyone ultimately delivering the direct service shall be in writing pursuant to Section 7 Assignment and Delegation.

53. Predecessor and Successor Contracts. The execution or termination of this contract shall not be considered a waiver by AAA-PCOA of any and all rights it may have for damages suffered through a breach of this or prior contract with the contractor.

54. Professional Standards. The contractor shall deliver contract services in a humane and respectful manner and in accordance with any and all applicable professional accreditation standards. Levels of staff qualifications and professionalism and numbers of staff and individuals identified by name must be maintained as presented in the contract.

The Contractor will be required to utilize a standardized assessment process including an instrument which meets, at a minimum, certain functional status requirements established by Pima Council on Aging. Prior to implementation or usage of an assessment instrument, Pima Council on Aging will review and approve the instrument to be employed.

55. Property of AAA-PCOA. Any materials, including reports, computer programs and other deliverables, created under this contract are the sole property of AAA-PCOA. The contractor is not entitled to a patent or copyright on those materials and may not transfer the patent or copyright to anyone else. The contractor shall not use or release these materials without the prior written consent of AAA-PCOA.

Any and all intellectual property, including but not limited to copyright, invention, trademark, trade name, service mark, and/or trade secrets created or conceived pursuant to or as a result of this contract and any related subcontract ("Intellectual Property"), shall be work made for hire and the State shall be considered the creator of such Intellectual Property.

The agency, department, division, board or commission of the State of Arizona requesting the issuance of this contract shall own (for and on behalf of the State) the entire right, title and interest to the Intellectual Property throughout the world. Contractor shall notify the State, within thirty (30) days, of the creation of any Intellectual Property by it or its subcontractor(s). Contractor, on behalf of itself and any subcontractor(s), agrees to execute any and all document(s) necessary to assure ownership of the Intellectual Property vests in the State and shall take no affirmative actions that might have the effect of vesting all or part of the Intellectual Property in any entity other than the State. The Intellectual Property shall not be disclosed by contractor or its subcontractor(s) to any entity not the State without the express written authorization of the agency, department, division, board or commission of the State of Arizona requesting the issuance of this contract.

56. Rate or Budget Certification. Contractor certifies that the contract Rate or Budget set forth in this contract was prepared in accordance with applicable AAA-PCOA guidelines and procedures. The contractor further certified that:
- a. The information which was provided to AAA-PCOA by the contractor for use as a basis in approving the compensation budget or rate is accurate and in accordance with the "Unallowable Costs" section of these terms and conditions;
 - b. Similar types of costs were accorded consistent accounting treatment in the development of the compensation section; and
 - c. No costs are included as both direct and indirect costs. The contractor agrees that the funds received under this contract will be expended to achieve the purposes of this contract and to meet costs defined as allowable in applicable federal or state laws, rules, regulations and guidelines. Any costs deemed unallowable as determined by a financial audit shall be subject to recoupment pursuant to paragraph 51. The contractor shall be entitled to appeal such determination through AAA-PCOA's appeal process.
57. Records. Under A.R.S. §35-214 and 35-215, the contractor shall retain and shall contractually require each subcontractor to retain all data and other records ("records") relating to the acquisition and performance of the contract for a period of five years after the completion of the contract. All records shall be subject to inspection and audit by AAA-PCOA at reasonable times. Upon request, the contractor shall produce a legible copy of any or all such records. Contract service records will be maintained in accordance with prescribed AAA-PCOA policies and procedures.
- Records shall, as applicable, meet the following standards:
- a. Adequately identify the service provided and each service recipient's application for contract and subcontract activities;
 - b. Include personnel records which contain applications for employment, job titles and descriptions, hire and termination dates, copy of fingerprint clearance card, wage rates, effective dates of personnel actions affecting any of these items;

- c. Include time and attendance records for individual employees to support all salaries and wages paid;
- d. Include records of the source of all receipts and the deposit of all funds received by the contractor;
- e. Include original copies of invoices, statements, sales tickets, billings for services, deposit slips, etc., and a cash disbursement journal and canceled checks to reflect all disbursements applicable to the contract;
- f. Include a complete general ledger with accounts for the collection of all costs and/or fees applicable to the contract;
- g. Include a copy of a written, approved cost allocation plan to reflect the manner in which costs were to be charged to the contract; and,
- h. Include copies of lease/rental agreements, mortgages and/or any other agreements which in any way may affect contract expenditures.

Any such records not maintained shall mandate an audit exception in the amount of the inadequately documented expenditures.

Contractor shall preserve and make available all records for a period of five (5) years from the date of final payment under the contract except if subject to Health Insurance Portability & Accountability Act which is six (6) years from the date of final payment.

- a. If this contract is completely or partially terminated, the records relating to the work terminated shall be preserved and made available for a period of five years from the date of any such termination.
- b. Records which related to disputes, litigation or the settlement of claims arising out of the performance of this contract, or costs and expenses of this contract to which exception has been taken by the state, shall be retained by the Contractor until such disputes, litigations, claims or exceptions have been disposed of.

58. Relationship of Parties. The contractor under this contract is an independent contractor. Neither party to this contract shall be deemed to be the employee or agent of the other party to the contract. In the event that the Contractor or its personnel is sued or prosecuted for conduct arising from this contract, the Contractor or their personnel will not be represented by the Department of the Attorney General. Taxes or Social Security payments will not be withheld from a State payment issued hereunder and the Contractor shall make arrangements to directly pay such expenses, if any.

59. Reporting Requirements. Unless otherwise provided in this contract, reporting shall adhere to the following schedule: no later than the 15th day following each month during the contract term the contractor shall submit to AAA-PCOA programmatic and financial reports in the form set forth in the contract. Failure to submit accurate and complete reports by the 15th day following the end of a month may result, at the option of AAA-PCOA in retention of payment. Failure to provide such report within 45 days following the end of a month may result, at the option of AAA-PCOA in a forfeiture of such payment.

The Contractor shall support the goal of DES Aging & Adult Administration of comprehensive client tracking and cost containment by cooperating in the implementation of the Aging Information Management System (AIMS).

No later than the 30th day following the end of the contract term, contractor shall submit to AAA-PCOA a final program and fiscal report. The final fiscal report for the contract term shall include all adjustment to prior financial reports submitted for the contract term. Failure to submit the final program and fiscal report within the above time period may result, at the option of AAA-PCOA in forfeiture of final payment.

All reports shall reference the contract number and be submitted to the person designated by the AAA-PCOA.

60. Responsibility for Payment Indemnification. The Contractor shall be responsible for issuing payment for services performed by the Contractor's employees, subcontractors, suppliers, or any other third party incurred in the furtherance of the performance or the arising out of the contract and will indemnify and save PCOA harmless for all claims whatsoever out of the lawful demands of such parties. The Contractor shall, at PCOA's request, furnish satisfactory evidence that all obligations of the nature hereinabove designated have been paid, discharged or waived.
61. Right to Assurance. If AAA-PCOA in good faith has reason to believe that the contractor does not intend to perform, or is unable to perform or continue performing this contract, the procurement officer may demand in writing that the contractor give a written assurance of intent to perform. The demand shall be sent to the contractor by certified mail, return receipt required. Failure by the contractor to provide written assurance within the number of days specified in the demand may at AAA-PCOA's option, be the basis for terminating the contract under the Uniform Terms and Conditions or other rights and remedies available by law or provided by the contract.
62. Right of Offset. AAA-PCOA shall be entitled to offset against any sums due the contractor any expenses or costs incurred by AAA-PCOA or damages assessed by AAA-PCOA concerning the contractor's nonconforming performance or failure to perform the contract, including expenses, costs and damages described in Uniform Terms and Conditions.
63. Risk of Loss The Contractor shall bear all loss of conforming material covered under this Contract until received by authorized personnel at the location designated in the purchase order. Mere receipt does not constitute final acceptance. The risk of loss for nonconforming materials shall remain with the Contractor regardless of receipt.
64. Severability. The provisions of this contract are severable. Any term or condition deemed illegal or invalid shall not affect any other term or condition of the contract.

65. Scrutinized Businesses: In accordance with ARS §35-391 and ARS §35,393, Contractor certifies that the Contractor does not have scrutinized business operations in Sudan or Iran.
66. Stop Work Order.
- a. AAA-PCOA may, at any time, by written order to the Contractor, require the Contractor to stop all or any part, of the work called for by this Contract for period(s) of days indicated by PCOA after the order is delivered to the Contractor. The order shall be specifically identified as a stop work order issued under this clause. Upon receipt of the order, the Contractor shall immediately comply with its terms and take all reasonable steps to minimize the incurrence of costs allocable to the work covered by the order during the period of work stoppage.
 - b. If a stop work order issued under this clause is canceled or the period of the order or any extension expires, the Contractor shall resume work. The Procurement Officer shall make an equitable adjustment in the delivery schedule or Contract price, or both, and the contract shall be amended in writing accordingly.
67. Subcontracts. The contractor shall not enter into any subcontract under this contract for the performance of this contract without the advance written approval of the procurement officer. The subcontract shall incorporate by reference the terms and conditions of this contract. The contractor shall provide copies of subcontracts to AAA-PCOA upon request. The Contractor shall clearly list any proposed subcontractors and the subcontractor's proposed responsibilities.
68. Substantial Interest Disclosure.
- a. Contractor shall not make any payments, either directly or indirectly, to any person, partnership, corporation, trust, or any other organization which has a substantial interest in contractor's organization or with which contractor (or one of its directors, officers, owners, trust certificate holders or a relative thereof) has a substantial interest, unless contractor has made a full written disclosure of the proposed payments to AAA-PCOA and has received written approval therefor.
 - b. Any request for approval of leases or rental agreements or purchase of real property as set forth in paragraph A of this section shall be in writing and accompanied by an independent commercial appraisal of fair market rental, lease, or purchase value, as appropriate.
 - c. For the purpose of this Section, the terms "substantial interest" and "relative" shall have the same meanings as in A.R.S. §38-502.
69. Supporting Documents and Information. In addition to any documents, reports or information required by any other section of this contract, contractor shall furnish AAA-PCOA with any further documents and information deemed necessary by AAA-PCOA. Upon receipt of a request for information from PCOA, the Contractor shall provide complete and accurate information no later than fifteen (15) days after the receipt of the request.

70. Survival of Rights and Obligations after Contract Expiration or Termination.

- a. Offeror's Representation and Warranties. All representations and warranties made by the Contractor under this Contract shall survive the expiration or termination hereof. In addition, the parties hereto acknowledge that pursuant to A.R.S. § 12-510, except as provided in A.R.S. § 12-529, the State is not subject to or barred by any limitations of actions prescribed in A.R.S., Title 12, chapter 5.
- b. Purchase Orders. In accordance with all terms and conditions of the Contract, the Contractor shall fully perform and be obligated to comply with all purchase orders received by the Contractor prior to the expiration or termination hereof, including, without limitation, all purchase orders received prior to but not fully performed and satisfied at the expiration or termination of this Contract, unless otherwise directed in writing by the Procurement Officer.

71. Suspension or Debarment. PCOA may, by written notice to the Contractor, immediately terminate this Contract if PCOA determines that the Contractor has been debarred, suspended or otherwise lawfully prohibited from participating in any public procurement activity, including but not limited to, being disapproved as a subcontractor of any public procurement unit or other governmental body. Submittal of an offer or execution of a contract shall attest that the contractor is not currently suspended or debarred. If the contractor becomes suspended or debarred, the Contractor shall immediately notify PCOA.
72. Technical Assistance. AAA-PCOA may, but shall not be obligated to, provide technical assistance to the Contractor in the administration of contract services, or the terms and conditions, policies and procedures governing this contract. Notwithstanding the foregoing, the contractor shall not be relieved of full responsibility and accountability for the provision of contract services in accordance with the terms and conditions set forth herein.
73. Termination for Any Reason. In the event the contract is terminated, with or without cause, or expires, the contractor whenever determined appropriate by AAA-PCOA shall assist AAA-PCOA in the transition of services or eligible persons to other contractors. Such assistance and coordination shall include, but not be limited to, the forwarding of program and other records as may be necessary to assure the smoothest possible transition and continuity of services.

The cost of reproducing and forwarding such records and other materials shall be borne by the contractor. The contractor must make provisions for continuing all management/ administrative services until the transition of services or eligible persons is complete and all other requirements of this contract are satisfied.

In the event of termination or suspension of the contract by AAA-PCOA, such termination or suspension shall not affect the obligation of the contractor to indemnify AAA-PCOA and the state for any claim by any other party against AAA-PCOA or the state arising from the contractor's performance of this contract and for which the contractor would otherwise be liable under this contract.

To the extent such indemnification is excluded by A.R.S. §41-621 et seq. or an obligation is unauthorized under A.R.S. §35,154, the provisions of this paragraph shall not apply.

In the event of early termination, any funds advanced to the contractor shall be returned to AAA-PCOA within ten (10) days after the date of termination or upon receipt of notice of termination of the contract whichever is the earliest date.

AAA-PCOA may cancel this contract as provided in A.R.S. §38-511.

74. Termination for Convenience. AAA-PCOA reserves the right to terminate the Contract in whole or in part at anytime when in the best interests of AAA-PCOA without penalty or recourse. Upon receipt of the written notice, the Contractor shall stop all work, as directed in the notice, notify all sub-contractors of the effective date of the termination and minimize all further costs to AAA-PCOA. In the event of termination under this paragraph, all documents, data and reports prepared by the Contractor under the Contract shall become the property of and be delivered to AAA-PCOA upon demand.

The Contractor shall be entitled to receive just and equitable compensation for work in progress, work completed and materials accepted before the effective date of the termination. The cost principles and procedures provided in Arizona Administrative Code R2-7-701 shall apply.

75. Termination for Default.

- a. In addition to the rights reserved in the contract, AAA-PCOA reserves the right to terminate the contract in whole or in part due to the failure of the contractor to comply with any term or condition of the contract, to acquire and maintain all required insurance policies, bonds, licenses and permits, or to make satisfactory progress in performing the contract. The procurement officer shall mail written notice of the termination and the reasons for it to the contractor by certified mail, return receipt requested.
- b. Upon termination under this paragraph, all goods, materials, documents, data and reports prepared by the contractor under the contract shall become the property of and be delivered to AAA-PCOA on demand.
- c. AAA-PCOA may, upon termination of this contract, procure, on terms and in the manner that it deems appropriate, materials or services to replace those under this contract. The contractor shall be liable to PCOA for any excess costs incurred by PCOA in procuring the materials or services in substitution for those due from the contractor.
- d. This contract is voidable and subject to immediate termination by AAA-PCOA upon the contractor becoming insolvent or filing proceedings in bankruptcy or reorganization under the United States Code, or upon assignment or delegation of the contract and/or any rights thereunder without AAA-PCOA's prior written approval.
- e. This contract may immediately be terminated if AAA-PCOA determines that the health or welfare or safety of service recipients is endangered.

76. Third Party Antitrust Violations. The Contractor assigns to PCOA/State any claim for overcharges resulting from antitrust violations to the extent that those violations concern materials or services supplied by third parties to the Contractor, toward fulfillment of this Contract.
77. Transfer of Knowledge. The Contractor shall, whenever feasible, share strategies and techniques with PCOA staff to transfer the skills and knowledge acquired in the delivery of the contracted service.
78. Transition of Activities. In the event that a contract is awarded to a new contractor for services similar to those being performed by Contractor under this contract, there shall be a transition of services period. During this period, the contractor under this contract, shall work closely with the new contractor's personnel and/or PCOA staff to ensure a smooth and complete transfer of duties and responsibilities. PCOA authorized representative will coordinate all transition activities. A transition plan will be developed in conjunction with the existing contractor to assist the new contractor and/or PCOA staff to implement the transfer of duties. PCOA reserves the right to determine which projects/service delivery nearing completion will remain with the current Contractor of record.
79. Unallowable Costs. The cost principles set forth in the Code of Federal Regulations, 48 CFR, Chapter 1, Subchapter e, Part 31, including later amendments and editions, on file with the Arizona Secretary of State and incorporated by this reference, shall be used to determine the allowability of incurred costs for the purpose of reimbursing costs under contract provisions that provide for the reimbursement of costs. Those costs which are specifically defined as unallowable therein will not be submitted for reimbursement by the contractor and may not be reimbursed with AAA-PCOA funds. In a rate contract the contractor shall not expend funds for costs which are unallowable pursuant to these cited regulations.

In addition, the Contractor shall comply with the following publications (including subsequent revisions), as applicable:

- a. OMB Circular A-87 for state, local and Indian tribal governments.
 - b. OMB Circular A-122 for private nonprofit organizations other than institutions of higher education, hospitals or others specified in A-122 as not subject to A-122.
 - c. OMB Circular A-21 for educational institutions.
 - d. OMB Circular A-133 for Audits of institutions of higher education and other non-profit institutions.
80. Visitation, Inspection and Copying. Contractor's or subcontractor's facilities, services and individuals served, books and records pertaining to the contract shall be available for visitation, inspection and copying by AAA-PCOA and any other appropriate agent of the state or federal government. At the discretion of AAA-PCOA, visitation, inspection and copying may be at anytime during regular business hours, announced or unannounced. If AAA-PCOA deems it to be an emergency situation, it may at anytime visit and inspect the contractor's or subcontractor's facilities and services, as well as inspect and copy their contract-related books and records.

81. Warranty of Service. The Contractor warrants that all services provided under this contract shall conform to the requirements stated herein and any amendments hereto. PCOA's acceptance of services provided by the Contractor shall not relieve the Contractor from its obligations under this warranty. In addition to its other remedies, PCOA's Procurement Officer may, at the Contractor's expense, require prompt correction of any services failing to meet the Contractor's warranty herein. Services corrected by the Contractor shall be subject to all of the provision of this contract in the manner and to the same extent as the services originally furnished.
- a. Liens. The Contractor warrants that the materials supplied under this Contract are free of liens and shall remain free of liens.
 - b. Quality. Unless otherwise modified elsewhere in these terms and conditions, the Contractor warrants that, for one year after acceptance by the AAA-PCOA of the materials, they shall be:
 - 1. of a quality to pass without objection in the trade under the Contract description;
 - 2. fit for the intended purposes for which the materials are used;
 - 3. within the variations permitted by the Contract and are of even kind, quantity, and quality within each unit and among all units;
 - 4. adequately contained, packaged and marked as the Contract may require; and
 - 5. conform to the written promises or affirmations of fact made by the Contractor.
 - c. Fitness. The Contractor warrants that any material supplied to the AAA-PCOA shall fully conform to all requirements of the Contract and all representations of the Contractor, and shall be fit for all purposes and uses required by the Contract.
 - d. Inspection/Testing. The warranties set forth in subparagraphs A through C of this paragraph are not affected by inspection or testing of or payment for the materials by the AAA-PCOA.
 - e. Exclusions. Except as otherwise set forth in this Contract, there are no express or implied warranties of merchantability or fitness.

(Note: (Sections containing a box are only applicable if darkened or marked with an X)

1.0 Authorized Signatory for Contractor:

1.1 Pima County Government on Behalf of the Pima County Health Department

CONTRACTOR AGENCY NAME

FEI# 86-6000543

FEDERAL EMPLOYER IDENTIFICATION/SOCIAL SECURITY #

130 West Congress, Tucson, Arizona 85701

ADDRESS

(520) 740-8161

PHONE NUMBER

1.2 Mr. Richard Elias Chairman, Pima County Board of Supervisors

NAME OF AUTHORIZED SIGNATORY

AUTHORIZED POSITION TITLE

is the signatory to this Contract on behalf of the Contractor.

1.3 In the absence of the principal authorized signatory named above,

Mr. Ramon Valadez

NAME

Vice Chairman, Pima County Board of Supervisors

AUTHORIZED POSITION TITLE

is authorized to sign this Contract and any amendments thereto on behalf of the Contractor. In the event that either persons in 1.2 or 1.3 shall cease performing their position, Contractor shall notify PCOA of their successor in writing. No contract amendment should be necessary.

2.0 Notices:

2.1 PCOA shall address all notices relative to this Contract to the attention of:

Marcy Flanagan, Health Director

NAME AND TITLE

3950 S Country Club Road, Suite 100, Tucson AZ 85714

ADDRESS

(520)724-7931

PHONE NUMBER

2.2 The Contractor shall address all notices relative to this Contract to the attention of:

DEBRA ADAMS, SENIOR VICE PRESIDENT & CHIEF OPERATING OFFICER

NAME AND TITLE

PIMA COUNCIL ON AGING

DIVISION/OFFICE

8467 E. Broadway, Tucson, AZ 85710-4099

ADDRESS

(520) 790-0504

PHONE NUMBER

3.0 Contract Term:The term of this Contract shall begin on July 1, 2019[x] or the date of last signature, whichever is later, and shall terminate on June 30, 2020

4.0 Contract Purpose:

The Contract Services to be provided during the term of this Contract shall address the problem(s) and need(s) and seek to achieve the program goals described below:

1. To provide direct health services and supportive counseling in order to:
Increase the individual's understanding of the aging process.
Increase the individual's understanding of the disease(s) that affect his/her remaining years.
2. Teach the individual specific lifestyle habit changes required by various disease(s) he/she lives with.
3. Enhance the well-being for each individual seeking service.
4. Expand the levels and ranges of treatment opportunities to those individuals seeking service.
5. Expand access and coordination of referrals to social services and other health providers as appropriate.

5.0 Contract Service and Service Delivery:

5.1 Scopes of Work:

Each Contract Service to be provided during the term of the Contract shall be delivered in accordance with the requirements indicated in the applicable Scopes of Work. Contractor shall deliver the number of units of each Contract Service identified, as applicable, in the Compensation Section.

The delivery of services and programs under the Contract shall be in compliance with the Policy and Procedures Manual issued by the Arizona Department of Economic Security's (ADES) Division of Aging and Adult Services (DAAS) (Issued/Revised 01-01-2011) and in accordance with any and all written policies and procedures issued by Pima Council on Aging (PCOA).

5.2 Subcontractors:

O If any portion of the Contract Services are delivered by Subcontractors, the Subcontractors will be identified in Section 5.6 of Annex A, Program Scope of Work Section. Contractor understands and represents that no work shall be performed by a Subcontractor until the subcontract document has been reviewed by and approved in writing by the authorized PCOA representative.

5.3 Contract Services: (*indicates Direct Service; **indicates Purchased Service; */**indicates both Direct and Purchased Services).

The Contract Services to be delivered during the term of this Contract to Eligible Persons are:

- | | |
|---|---|
| <input type="checkbox"/> Administration | <input type="checkbox"/> Medication and Medical Supplies |
| <input type="checkbox"/> Advocacy | <input type="checkbox"/> Medical Services |
| <input type="checkbox"/> Assessment Services | <input type="checkbox"/> Occupational Therapy |
| <input type="checkbox"/> Basic Education | <input type="checkbox"/> Occupational/Vocational Education |
| <input type="checkbox"/> Case Management | <input type="checkbox"/> On-the-Job Training |
| <input type="checkbox"/> Community Education and Information | <input type="checkbox"/> Parent Aide Service |
| <input type="checkbox"/> Congregate Meals | <input type="checkbox"/> Parenting Skills Training |
| <input type="checkbox"/> Counseling | <input type="checkbox"/> Peer/Self-Help Groups |
| <input type="checkbox"/> Counseling/Medical Services/Consultation | <input type="checkbox"/> Personal Care |
| <input type="checkbox"/> Crisis Intervention | <input type="checkbox"/> Personal Living Skills Training |
| <input type="checkbox"/> Adult Day Care | <input type="checkbox"/> Physical Therapy |
| <input type="checkbox"/> Day Treatment & Training | <input type="checkbox"/> Preschool Supplemental Services |
| <input type="checkbox"/> Dental Services | <input type="checkbox"/> Program Administration |
| <input type="checkbox"/> Employment-Related Program | <input type="checkbox"/> Program Development |
| <input type="checkbox"/> Foster Care | <input type="checkbox"/> Reassurance |
| <input type="checkbox"/> Home Care Program | <input type="checkbox"/> Recreation and Socialization |
| <input type="checkbox"/> Home-Delivered Meals | <input type="checkbox"/> Rehabilitation Instructional Serv. |
| <input type="checkbox"/> Home-Health Aid | <input type="checkbox"/> Residential Living & Development |
| <input type="checkbox"/> Home Management Training | <input type="checkbox"/> Shelter Care & Supervision |
| <input type="checkbox"/> Home Repair/Adaptation/Renovation | <input type="checkbox"/> Sheltered Employment |
| <input type="checkbox"/> Home Recruitment, Study & Supervision | <input type="checkbox"/> Social Development |
| <input type="checkbox"/> Housekeeping-Chore | <input type="checkbox"/> Speech Therapy |
| <input type="checkbox"/> Information and Referral-Access | <input type="checkbox"/> Transportation |
| <input type="checkbox"/> Job Modification | <input type="checkbox"/> Unaccompanied Minors |
| <input type="checkbox"/> Job Development and Placement | <input type="checkbox"/> Visiting Nurse |
| <input type="checkbox"/> Job Training | <input type="checkbox"/> Work Adjustment Training |
| <input type="checkbox"/> Legal Assistance | <input type="checkbox"/> Work Activities |
| <input type="checkbox"/> Long Term Care Advocacy | <input type="checkbox"/> Work Experience |

- x Other (specify): Nursing Care for the Elderly:
Health Maintenance, Promotion & Screening/Congregate Meal Centers

5.4 Eligibility Criteria, Intake Procedures and Case Records:

5.4.1 Eligibility Criteria

- (b) Services are targeted to the elderly (60 years of age or older) attending the congregate meal centers.

Armory Park Senior Center
Freedom Center
El Pueblo Neighborhood Center
Quincie Douglas Center

Donna Liggins Center
Ellie Towne/Flowing Wells Community Center
El Rio Neighborhood Center

5.4.2 Intake Procedures

Persons seeking services must register with the nurse. Registration is done in the Blood Pressure Clinic setting at each congregate meal site.

5.4.3 Case Records

All persons who enter the system respond to questions regarding demography, health history, current medications and other specific information necessary to provide safe care. This information is recorded following each unit of service. All records are confidential.

Annex A – Page 5**5.5 Staffing: FY 2019-2020**

A. Each Contract Service shall be provided by the following personnel positions:

CONTRACT SERVICES	POSITION TITLE	NO. OF FTEs	RATIO OF DIRECT CARE STAFF TO CLIENTS
Primary Nursing care for the Elderly – Health Maintenance, Promotion and Screening at Congregate Meal Sites.	Public Health Nurses (PHN) Deborah Agriesto, RN Athene Archer, RN Mary Bruckmeier, RN Janet Corley, RN Kristina Eriksen, RN Trisa Ferdig, RN Crystal Rambaud, RN	Approximately 0.13 FTE 264 hours, total	1:16 average

5.6 Facility Location: FY 2019-2020

Days and Hours of Operation: List the days and hours during which each service is available from your agency/location. Where applicable, ditto marks ("") may be used to indicate duplicate information. If services are provided from more than one location or at more than one location; please list all facilities and addresses. Service shall be available during the hours of operation indicated

SERVICE	FACILITY NAME AND ADDRESS	DAYS AND HOURS OF OPERATION
Pima County Health Dept. 3950 S Country Club Rd. #100 Tucson, AZ 85714 (520) 724-7931 FAX (520) 623-1432	Armory Park Senior Center 220 S. 5 th Avenue 85701 (520) 791-4865	2 hours once a month 1 st Wednesday 3:00 pm – 5:00 pm
	Donna Liggins Center 2160 N. 6 th Avenue 85705 (520) 791-3247	2 hours once a month 3 rd Thursday 10:00 am – 12 pm
	El Pueblo Neighborhood Center 101 W. Irvington Road 85714 (520) 791-5155	2 hours twice a month 2 nd and 4 th Wednesday 9:00 am – 11:00 am
	El Rio Neighborhood Center 1390 W. Speedway Blvd. 85745 (520) 791-4683	3 hours twice a month 1 st and 3 rd Wednesday 8:30 am – 11:30 am
	Ellie Towne/Flowing Wells Community Center 1660 W. Ruthrauff Rd. 85705 (520) 724-5360	2 hours once a month 2 nd Monday 10:00 am – 12:00 pm
	Freedom Recreation Center 5000 E. 29 th St. 85711 (520) 791-2507	2 hours once a month 4 th Tuesday 10:00 am – 12:00 pm
	Quincie Douglas Center 1585 E. 36 th St. 85713 (520) 791-2507	3 hours twice a month 2 nd and 4 th Thursday 9:30 am – 12:30 pm

Annex A – Scope of Work - Page 7

5.7 Geographic Coverage: FY 2019-2020

Indicate the geographic area(s) in which the services(s) will be offered

- ☐ Pima County-Wide
- ☒ Tucson Metro – specify zip codes or neighborhoods
- ☐ Marana/Picture Rocks
- ☐ Green Valley/Sahuarita/Arivaca
- ☐ Robles Ranch/Three Points//Tucson Estates
- ☐ Vail/Corona de Tucson
- ☐ Catalina
- ☐ Ajo

5.8 Holidays: FY 2019-2020

Offerors should indicate any holidays for which the agency will be closed and for which services will not be provided.

- | | |
|--|---|
| <input checked="" type="checkbox"/> New Year's Day | <input checked="" type="checkbox"/> Veteran's Day |
| <input checked="" type="checkbox"/> Martin Luther King Jr. Day | <input checked="" type="checkbox"/> Thanksgiving Day |
| <input type="checkbox"/> Lincoln's Birthday | <input checked="" type="checkbox"/> Friday after Thanksgiving |
| <input type="checkbox"/> Washington's Birthday | <input checked="" type="checkbox"/> Christmas Day |
| <input checked="" type="checkbox"/> Presidents' Day | <input type="checkbox"/> Day after Christmas |
| <input type="checkbox"/> Good Friday | <input type="checkbox"/> Other: _____ |
| <input checked="" type="checkbox"/> Memorial Day | <input type="checkbox"/> Other: _____ |
| <input checked="" type="checkbox"/> Independence Day | <input type="checkbox"/> Other: _____ |
| <input checked="" type="checkbox"/> Labor Day | |
| <input type="checkbox"/> Columbus Day | |

☐ Indicate if an emergency answering service is available when the facility is closed.

SCOPE OF WORK
PRIMARY NURSING CARE FOR THE ELDERLY
HEALTH MAINTENANCE PROMOTION & SCREENING
CONGREGATE MEAL CENTERS

I. SERVICE DEFINITION

This service provides health maintenance, promotion and screening services for older adults attending the congregate meal sites. Services shall consist of those normally ascribed to the duties of a nursing professional in providing health services and acting as a referral service and advocate for health services for the client.

II. UNIT OF SERVICE DEFINITION

Scheduled clinic hours where healthcare services will be rendered.

III. STANDARDS/LICENSURE REQUIREMENTS

1. The registered nurse providing services to clients must be licensed to practice in the State of Arizona, and shall provide necessary health services normally ascribed to a registered nurse, and shall not include any services which can be considered to be exclusively the lawful duties of a licensed medical doctor.
2. On-site clinics shall conform to State and City codes and regulations for the purposes for which the clinic is used.
3. Providers shall insure that all persons with a disability are enabled to receive and participate in program services without hindrance, either by structural barrier removal or special assistance from the Provider or its agents.

IV. DEFINITION OF TERM

Eligible clients shall be individuals 60 years of age or older.

V. SERVICE METHODOLOGY

1. The purpose of this service is to provide health maintenance, promotion and screening services for the older individuals attending the congregate meal sites. Congregate meal site attendees will be encouraged to participate in offered services.
2. All persons who enter the system respond to questions regarding demography, health history, current medications and other specific information necessary to provide safe care. Case records are kept on each client and contacts are recorded following each unit of service. All records are confidential.
3. Nursing personnel will schedule a two to three hour block of time to provide service one to two times a month at each of the seven congregate meal sites as listed in the facility location section of the contract. Bilingual personnel will be assigned to the sites with a high percentage of Spanish speaking clients.

4. On each visit, nursing personnel shall provide direct services to include, but not be limited to, general nursing and related consultation services, health screening and monitoring, blood pressure and temperature checks, general and specific health condition consultations; follow-up on earlier illnesses and symptoms, and appropriate case evaluation and referral to proper sources of medical care, including necessary advocacy for such referrals. In no case shall this subcontract be construed to convey to the Provider responsibility for performing any duty or service which is legally the duty or service only of a licensed Doctor of Medicine (M.D.).
5. On each visit, health education services shall also be provided on a group basis. Categories may include nutrition education, medication review, coping with life stress and the importance of exercise. Education topics shall be identified with input from center participants.

VI. METHOD OF EVALUATION

1. Monthly Activity Report in prescribed format to include: number of clients seen at each Center and number of client contacts at each Center.
2. Quarterly Activity Reports, number and type of referrals, number and type of educational activities provided, and client vignettes indicating client needs and PHN services provided to clients.
3. Annual survey of clients served via confidential service satisfaction questionnaires to evaluate availability and quality of services provided.
4. Annual Report to include number of client contacts, number of clients served, amount and type of client-support activities engaged in or served, and client feedback on services rendered.

Annex B

PIMA COUNCIL ON AGING

Contract Identification Number Pima County Government on Behalf of the Pima County Health Department FY 2019-2020

COMPENSATION SECTION

NOTE: This section applies only to those programs whose boxes have been checked below.

☒ OLDER AMERICANS ACT (OAA) FUNDED PROGRAMS

☐ STATE FUNDED PROGRAMS

1.0 COMPENSATION

The Contract reimbursement/payment ceiling for all services provided during the term of the Contract and/or for the period specified shall be:

Amount during the Contract Term \$ 22,922

If this contract is extended or renewed for multiple periods, or is established as a multi-year contract in its entirety or in part, and the Pima Council on Aging establishes a reimbursement ceiling for each contract extension or renewal period, the amounts by period are as indicated below.

Amount during the period from _____ to _____ is \$

Amount during the period from _____ to _____ is \$

Amount during the period from _____ to _____ is \$

Amount during the period from _____ to _____ is \$

The method of compensation shall be accordance with Section 2.0

☐ Fixed Price with Price Adjustment for OAA, and/or State Funds;

[x] Rate for OAA, and/or State Funds.

3.0 COMPENSATION

[x] 3.1 Rate:

SERVICE(s)	RATE/PER UNIT	MAXIMUM # OF UNITS	SERVICE PAYMENT CEILING
Health Maintenance, Promotion & Screening Congregate Meal Centers	\$86.82 clinic hour	264 clinic hours	\$22,922

[] 3.2 Fixed Price with Price Adjustment:

The Service reimbursement ceiling for each Contract Service shall be as specified below and as designated in the attached Itemized Service Budget and Agency Operations Spreadsheet:

[illegible]

3.3 105% POLICY

The Pima Council on Aging shall, on a monthly basis, not pay more than an amount equal to 105% of the contract unit rate for any service as it appears in the "contract operating budget" for the period of the approved and executed contract.

4.0 COMPENSATION REQUIREMENTS:

4.1 OAA, and/or State Funded Programs:

Payment shall be subject to the following limitations and exceptions:

- A. Title 45 CFR Part 74, Section 74.61 (3) requires that cash reimbursements be timed to coincide with cash disbursements.
- B. Programmatic and financial reports must be received on the approved PCOA forms on or before the 15th day of each month. Failure to comply by the 15th day of any month will result in immediate cessation of disbursement of funds by Pima Council on Aging to the Contractor until the required reports are received.
- C. Contractor agrees to adhere to the approved Contract Operating Budget (attachment I to this Section) within the tolerance levels established in Section 3, Amendments, of the **Uniform Terms and Conditions**.
- D. During the contract period, each revenue source will support expenses and the production of units of service in direct proportion to the actual reported receipts of each revenue as a percentage of total reported revenue.
- E. A Final report must be received within 45 days following the termination of this contract.

5.0 REPORTING REQUIREMENTS

- A. Programmatic and financial reports must be received on the approved Pima Council on Aging forms on or before the 15th day of each month.
- B. In accordance with Section 62 Reporting Requirements, of the **Uniform Terms and Conditions**, Contractor shall submit an AG-053 Monthly Financial Report for Service Providers, no later than 15 days following each month during the contract term.

Annex B - Page 4

6.0 FINANCIAL REPORTING REQUIREMENTS

6.1 In accordance with Section 62, Reporting Requirements, of the **Uniform Terms and Conditions**, the contractor shall submit the following forms (or an approved computer printout following the same format):

- A. OAA, Pima Long Term Care, Community Services System and/or State Funds
 - ☒ Monthly Financial Report for Service Providers
 - ☒ Monthly Social Services Report
 - ☐ Monthly Meals Report – Congregate
 - ☐ Monthly Meals Report - Home Delivered
 - ☐ Monthly Transportation Report
 - ☒ Monthly Activity Reports
 - Monthly Activity Report in prescribed format to include: number of clients seen at each Center and number of client contacts at each Center.
- ☒ Other reports (as specified below):
 - a. Quarterly Activity Reports: number and type of referrals, number and type of educational activities provided, and client vignettes indicating client needs and PHN services provided to clients.
 - b. Annual survey of clients served via confidential service satisfaction questionnaires to evaluate availability and quality of services provided.
 - c. Annual Report to include number of client contacts, number of clients served, amount and type of client-support activities engaged in or served, and client feedback on services rendered.

All required reports shall be submitted with the appropriate AG-053, Monthly Financial Report for Service Providers. If the above-mentioned forms are revised, amended, or supplemented, the contractor shall submit the revised, amended or supplemented form upon notification of the necessity to do so.

6.2 The reports required in 6.1 shall be submitted to:

Financial Reports:

Donna Burrows,
Vice President & Chief Financial Officer
Pima Council on Aging
8467 E. Broadway
Tucson, Arizona 85710-4099

Programmatic Reports:

Debra Adams, Senior Vice President
& Chief Operating Officer
Pima Council on Aging
8467 E. Broadway
Tucson, Arizona 85710-4099

7.0 OUTREACH REQUIREMENTS

The Provider shall target service provision to older persons with the greatest economic and or social need with particular attention to low-income minority individuals.

To assure that contract services are provided to the target population, the Provider shall conduct various outreach activities, carry out the service in a manner that is sensitive to cultural differences, employ bilingual staff where needed, and in the provision of nutrition services attempt to locate the senior centers in areas of greatest economic and/or social need as identified by the U.S. Census.

Outreach activities shall include distribution of written materials, community group presentations, and contacts with agencies, organizations, neighborhood centers, health centers, etc. that specifically serve the target population.

All written information shall specify the funding agency and the specific funding source.

During the term of this Contract, the Provider shall deliver the number of units of each Contract Service specified below.

[illegible]