



**BOARD OF SUPERVISORS AGENDA ITEM REPORT
CONTRACTS / AWARDS / GRANTS**

Requested Board Meeting Date: August 15, 2016

or Procurement Director Award

Contractor/Vendor Name (DBA): Arizona Department of Environmental Quality (ADEQ)

Project Title/Description:

Delegation Agreement with Arizona Department of Environmental Quality

Purpose:

Pima County currently has a delegation agreement with the Arizona Department of Environmental Quality (ADEQ). The delegation agreement delegates certain environmental functions and duties from ADEQ to the Pima County Department of Environmental Quality (PDEQ) and the Pima County Health Department.

ADEQ and Pima County revised the delegation agreement to include additional functions and duties related to water quality. In addition, Appendix C deletes delegated regulation related to Tucson Electric Power (TEP). Pima County now regulates TEP under independent statutory authority. This version of the delegation agreement replaces the one currently in place.

Procurement Method:

Delegation Agreement

Program Goals/Predicted Outcomes:

The revised delegation agreement makes conforming changes to delegated functions and duties related to water and air quality regulatory requirements.

Public Benefit:

Provide improved local customer service implementing state regulatory requirements.

Metrics Available to Measure Performance:

N/A

Retroactive:

No.

*To: COB - 7.29.16 (2)
Ver. - 1
pgs. 29*

Procurement Dept 07/28/16 PM03:01

Original Information

Document Type: CT Department Code: DE Contract Number (i.e., 15-123): 17-24

Effective Date: 8/15/16 Termination Date: 6/30/2050 Prior Contract Number (Synergen/CMS): CMS136542

Expense Amount: \$ N/A Revenue Amount: \$ N/A

Funding Source(s): N/A

Cost to Pima County General Fund: None

Contract is fully or partially funded with Federal Funds? Yes No Not Applicable to Grant Awards

Were insurance or indemnity clauses modified? Yes No Not Applicable to Grant Awards

Vendor is using a Social Security Number? Yes No Not Applicable to Grant Awards

If Yes, attach the required form per Administrative Procedure 22-73.

Amendment Information

Document Type: _____ Department Code: _____ Contract Number (i.e., 15-123): _____

Amendment No.: _____ AMS Version No.: _____

Effective Date: _____ New Termination Date: _____

Expense Revenue Increase Decrease Amount This Amendment: \$ _____

Funding Source(s): _____

Cost to Pima County General Fund: _____

Contact: Richard Grimaldi, Deputy Director

Department: Environmental Quality Telephone: 724-7363

Department Director Signature/Date: *Shirley K. Nelson* 7-22-16

Deputy County Administrator Signature/Date: *[Signature]* 7/25/16

County Administrator Signature/Date: *[Signature]* 7/26/16
(Required for Board Agenda/Addendum Items)

CONTRACT	
NO.	<u>CT-DE-17-024</u>
AMENDMENT NO.	_____
This number must appear on all invoices, correspondence and documents pertaining to this contract.	

DELEGATION AGREEMENT

Between

Arizona Department of Environmental Quality

And

Pima County, hereinafter, County, a political subdivision of the State of Arizona, acting by and through the Pima County Department of Environmental Quality and the Pima County Health Department

Delegation Agreement #ADEQ16-127337

Whereas, Arizona Revised Statutes (A.R.S.) § 49-107, authorizes the Director of the Arizona Department of Environmental Quality (ADEQ) to delegate to a local environmental agency, or county health department, any functions, powers, and duties, hereinafter, Functions and Duties, which the Director believes can be competently, efficiently, and properly performed by the local environmental agency, or county health department and

Whereas, the Pima County Department of Environmental Quality and the Pima County Health Department are the local environmental agency and county health department, respectively, hereinafter, LA, as set forth in A.R.S. § 49-107, and

Whereas, A.R.S. §§ 11-201(A)(3) and 49-107 authorize the County Board of Supervisors (and by delegation the LA, where the LA is a local environmental agency or health department) to enter into contracts as necessary to assist LA in exercising its powers, and

Whereas, the LA deems that it is in its best interests to accept such delegation,

Therefore, the Director of ADEQ delegates to the Pima County Department of Environmental Quality as LA, and the LA accepts the delegation of those Functions and Duties described in the Appendices of this Delegation Agreement, hereinafter Agreement, (Appendix A of this Agreement for Wastewater and Drinking Water Delegations, except Subpart A, paragraph 11, Public and Semipublic Swimming Pools; Appendix B of this Agreement for Solid Waste Delegations), and Appendix C of this Agreement for Hazardous Waste Generators) on behalf of ADEQ and in accordance with the terms and conditions specified in this Agreement.

Further, the Director of ADEQ delegates to the Pima County Health Department as LA, and the LA accepts the delegation of those Functions and Duties described in Appendix A, Subpart A, Paragraph 11, Public and Semipublic Swimming Pools, of this Agreement on behalf of ADEQ and in accordance with the terms and conditions specified in this Agreement.

A. DELEGATED FUNCTIONS AND DUTIES

The Functions and Duties that are delegated to the LA by this Agreement are identified in Sections A through N, and in Appendices A, B and C of this Agreement. ADEQ statutes, rules and policies and guidance shall be used in implementing the delegated Functions and Duties. The Functions and Duties not specifically delegated by this Agreement are retained by ADEQ.

B. STANDARDS OF PERFORMANCE

1. The standards of performance required of the LA to perform the delegated Functions and Duties and to fulfill the terms of this Agreement are those provided by statute and duly adopted rule, and are generally the same as those required of ADEQ personnel. The performance of the delegated Functions and Duties by the LA shall conform to ADEQ statutes, rules, policies and guidance. Program-specific standards of performance are identified in the Appendices of this Agreement.
2. ADEQ shall provide the LA with periodic training upon the request of the LA.
3. ADEQ shall provide operating guidance for use in implementing the terms of this Agreement concurrent with the execution of this Agreement. ADEQ will use its best efforts to provide the LA with the new and/or updated guidance prior to or shortly after the effective date of the guidance. The guidance shall, at a minimum, include Engineering Bulletins, program guidance memoranda, substantive policy statements, copies of all applicable forms, policies and procedures, and other material that may assist the LA to carry out the delegated Functions and Duties specified in this Agreement. The LA may contact ADEQ for clarification or guidance on procedural or technical issues.
4. In the event of any dispute between the LA and a third party regarding the LA's interpretation or application of ADEQ statutes, rules, policies and guidance, ADEQ shall, if requested by the LA, provide timely assistance and direction to the LA.

C. FEE AUTHORITY AND TYPES OF FEES

1. To the extent permitted by law, ADEQ delegates the authority to collect fees under its established fee rules to assure the LA may accomplish delegated Functions and Duties according to the applicable standards.

The LA shall annually report delegated program authority fees to ADEQ on or before September 1st. The report shall list all permits issued that year and the total revenue for each general permit category. ADEQ shall provide the LA with a template for the report. The report shall be delivered to ADEQ Central Office, 1110 West Washington Avenue, Phoenix, Arizona 85007, to the Assistant Director of Business and Financial Services.

Unless otherwise provided by statute, fees imposed by the LA shall be limited to the cost

of service, including all direct and indirect costs.

2. Fees are authorized by, and shall conform to, the requirements of state laws and rules and county ordinances.
3. All fees collected by the LA pursuant to this Agreement shall be retained by the LA as consideration for performing the Functions and Duties described in this Agreement.

D. PERSONNEL QUALIFICATIONS

1. The required personnel qualifications for exercising each Program's delegated Functions and Duties are identified in the corresponding Appendix to this Agreement.
2. To comply with A.R.S. §41-4401(A), each party hereby warrants its compliance with all federal immigration laws and regulations that relate to its employees and A.R.S. § 23-214(A). If either party uses any subcontractors in performance of this Agreement, subcontractors shall warrant their compliance with all federal immigration laws and regulations that relate to its employees and A.R.S. § 23-214(A). A breach of this warranty shall be deemed a material breach of the Agreement subject to penalties up to and including termination of this Agreement. Each party retains the legal right to inspect the papers of any contractor or subcontractor employee who works on the Agreement to ensure that the contractor or subcontractor is complying with this warranty.

E. RECORD KEEPING AND REPORTING REQUIREMENTS

1. The LA agrees to maintain records relating to its performance of the delegated Functions and Duties as specified in this Agreement for a period of ten years from the date of complete resolution of any technical dispute, contested case, action against a party, or any appealable agency action, unless a longer period is required by statute or rule.
2. The LA agrees to create and submit reports related to its performance of the delegated Functions and Duties as specified in this Agreement. The reports shall be created and submitted to ADEQ in accordance with the specifications in the Appendices to this Agreement.

F. OVERSIGHT ACTIVITIES

1. ADEQ may accompany LA personnel on inspections and may review all records relating to the LA's performance of the delegated Functions and Duties as set forth in this Agreement. ADEQ shall provide prior notice to the LA of its intent to accompany LA personnel on inspections. LA personnel may accompany ADEQ inspectors on inspections for purposes of training, information sharing or coordinating LA and ADEQ activities. The LA shall provide prior notice to ADEQ of its request to accompany ADEQ inspectors on inspections.

2. Periodically, ADEQ shall conduct an evaluation of the LA's performance of the delegated Functions and Duties. Either party to this Agreement may request that the frequency of evaluations be increased. The initial results of all program evaluations shall be in writing and shall be communicated to the LA in a draft report. The LA is entitled to comment on the draft report. After ADEQ'S response to comments, ADEQ shall finalize the report and transmit a copy to the LA. The final reports of all program evaluations are public documents pursuant to A.R.S. § 39-121 *et seq.*

G. DELEGATION OF ENFORCEMENT AUTHORITIES; LOCAL AGENCY OBLIGATIONS

1. This Agreement is subject to the provisions of A.R.S. § 49-106 concerning statewide application of rules.
2. As a supplement to any independent statutory authority LA may have, LA is hereby delegated the enforcement authorities pursuant to A.R.S. §§ 44-1307, 49-141, 49-142, 49-261, 49-262, 49-354 (A) and (B), 49-460 through 463, 49-781 through 783, 49-791, 49-922(B), 49-923 and 49-924, as applicable to the delegated Functions and Duties specified in this Agreement.
3. The LA shall be responsible for initiating timely and appropriate enforcement actions for alleged violations by individuals and facilities affected under this Agreement. The LA agrees to the extent practical to make compliance determinations and conduct enforcement actions in accordance with ADEQ's Compliance and Enforcement Handbook. The LA shall use inspection checklists and boilerplate documents provided by ADEQ or such documents that contain the same content as those documents provided by ADEQ.
4. ADEQ retains its authority to take an enforcement action against any individual or facility, the regulation of which is specified in this Agreement. At its discretion, ADEQ may refrain from exercising such authority if ADEQ determines that the enforcement action taken by the LA is timely, appropriate and effective. Except in a case involving an immediate threat to the public health, safety or environment, ADEQ shall give the LA 30 days prior written notice of its intent to initiate an enforcement action if the LA fails to initiate such enforcement action. In a case involving an immediate threat to the public health, safety or environment, ADEQ shall make its best efforts to notify the LA prior to its undertaking such an enforcement action.
5. Where appropriate, and if there is no conflict with applicable environmental statutes and rules, LA may conduct enforcement action using the authority provided by A.R.S. Title 36 or A.R.S. Title 49, Chapter 3, Article 3. Nothing herein shall preclude LA from independently initiating enforcement action pursuant to its own authority under A.R.S. §§ 36-602, 36-603, 49-143, and 49-144, or any other civil or criminal statute or local ordinance, or from pursuing any other available legal or equitable remedy.

6. In those cases where the Attorney General has exclusive authority to bring an action to collect civil penalties, ADEQ shall timely notify the Attorney General of the LA's request to initiate an enforcement action, and such enforcement action shall be coordinated among the LA, ADEQ, the Attorney General and the LA's County Attorney.
7. In cases of civil enforcement, the LA and ADEQ shall coordinate litigation and settlements, unless the LA has independent statutory enforcement authority. The LA and ADEQ may act as co-plaintiffs in order to maximize resources.
8. In cases where LA and ADEQ are co-plaintiffs involving alleged violations that require civil penalties to be deposited in the state general fund, all civil penalties collected shall be forwarded to ADEQ with copies of court documentation for deposit into the state general fund. Unless otherwise required by law, in all other cases where LA and ADEQ are co-plaintiffs, all civil penalties collected shall be divided equally unless other mutually agreeable arrangements are made prior to the commencement of the action.
9. ADEQ may execute compliance initiatives directed at certain classes of violations or facilities that are alleged to be in violation of applicable statutes or rules. When possible, ADEQ will provide the LA with 30 days prior written notice of such initiatives. To the best of its ability, the LA agrees to cooperate in the successful execution of such compliance initiatives that involve facilities, the regulation of which has been delegated by this Agreement.

H. APPEALS OF LOCAL AGENCY ACTIONS

1. Unless otherwise provided by statute, LA shall conduct administrative hearings for appeals of licensing decisions and enforcement actions taken by the LA under the delegated Functions and Duties of this Agreement in accordance with the A.R.S. Title 41, Chapter 6 Administrative Procedures, A.R.S. § 41-1092 *et seq.*, and the Office of Administrative Hearings, Rules of Procedure, Arizona Administrative Code (A.A.C.) R2-19-101 *et seq.* The LA shall use administrative law judges provided by the Office of Administrative Hearings (OAH). If an OAH administrative law judge conducts an administrative hearing under this Agreement, ADEQ shall pay for the OAH's costs on behalf of the LA related to the hearing. The LA, the LA's County Attorney, or counsel retained by LA, shall represent the LA at all administrative hearings. Nothing in this agreement mandates that the LA contract for the services of administrative law judges with respect to administrative hearings involving matters arising from the LA's independent authority, functions and duties.
2. Pursuant to A.R.S. § 12-904, if the OAH administrative law judge grants or denies the relief requested, either the appellant or the LA may file a complaint in superior court within 35 days after the decision.
3. The LA shall provide ADEQ an annual report by July 31st of appeals filed and their final

resolution.

I. LICENSING AUTHORITY

1. The LA agrees to comply with the overall time frames set forth in A.A.C. R18-1-525 when issuing licenses pursuant to delegated Functions and Duties under this Agreement. The LA shall provide a quarterly report within 30 days after the end of the calendar quarter to ADEQ indicating the number of licenses issued that quarter, by general type of license, and the number of licenses that exceeded the licensing time frame for the licensing decision. If the LA fails to meet a licensing time frame, the quarterly report shall indicate the reason(s) why the licensing time frame was missed and the corrective action the LA has taken. If the LA demonstrates a pattern of failing to meet the required licensing time frames, ADEQ shall assist the LA in correcting the deficiencies in LA's licensing procedures.
2. The LA shall submit the quarterly reports described in subsection 1 of this Section to the ADEQ primary contact person designated in Appendices A through D of this Agreement.

J. LOCAL AGENCY INDEPENDENT AUTHORITY; SUBDELEGATION

1. ADEQ's delegation of Functions and Duties to a municipality within the LA's boundaries shall in no way infringe upon, reduce or usurp the LA's right, authority and responsibility to implement non-delegated authorized activities and programs.
2. The LA may not sub-delegate Functions and Duties delegated pursuant to this Agreement to another local government agency or political subdivision without obtaining the prior written approval of the Director of ADEQ.
3. ADEQ shall provide the LA a copy of any delegation agreement it has entered into with a municipality, located in whole or in part within the LA's boundaries.

K. CONFLICT RESOLUTION PROCEDURES

The parties may resolve a conflict arising under this Agreement through arbitration. If the parties invoke this provision, the parties shall select a mutually acceptable third party as arbitrator. Each party shall bear its own arbitration fees, attorney fees and costs.

L. AMENDMENT AND TERMINATION PROCEDURES

1. Either party may seek to amend this Agreement. An amendment to this Agreement shall be in writing, shall be executed by the Director of ADEQ, the Director of the LA, the Chairman of the LA's Board of Supervisors, the Clerk of the LA's Board of Supervisors, and shall be approved as to form by the Attorney General and the LA's County Attorney.

Amendments shall comply with the provisions in A.R.S. § 41-1081. Amendments to this Agreement shall be effective 30 days after written notice of ADEQ's final decision to amend this agreement and approval by all parties.

2. This Agreement may be terminated, in whole or in part, by either party upon providing 30 days prior written notice by certified mail to the other party and in compliance with subsection 3 of this section.
3. The LA shall, prior to the termination of all or part of this Agreement, forward to the ADEQ Director all files, public documents and pending applications received by the LA for those delegated Functions and Duties being terminated, a summary status report of those delegated Functions and Duties, and shall provide written notification to all persons with pending applications and to all regulated facilities affected by the termination of this Agreement.
4. The cancellation provisions of A.R.S. § 38-511, the terms of which are incorporated by reference, shall apply to this Agreement.

M. TERM OF AGREEMENT

Unless otherwise stated, this Agreement is effective 30 days after written notice of ADEQ's decision to enter into this Agreement and approval by all parties. This Agreement shall expire on June 30, 2050. If a new Agreement is not executed by that date, ADEQ and the LA may agree to extend this Agreement by filing an amendment in accordance with Section L of this Agreement.

N. NAME AND ADDRESS OF PRIMARY CONTACT PERSONS

ADEQ Diane L. Arnst
Policy Analyst
Arizona Department of Environmental Quality
1110 W. Washington Street
Phoenix, AZ 85007
(602) 771-2375
da2@azdeq.gov

LA

Ursula Nelson, Director
Pima County Department of Environmental Quality
33 North Stone Avenue, Suite 700
Tucson, AZ 85714
Ursula.Nelson@pima.gov

The name of a successor to any of the above-named individuals shall not require the execution of an amendment to this Agreement.

Appendix A
To
Pima County Delegation Agreement
#ADEQ16-127337

Water Quality Management

A. Delegated Functions and Duties:

The LA agrees to perform those delegated Functions and Duties listed in the following table:

Functions and Duties	Applicable Rule(s) in Title 18 of the Arizona Administrative Code	Personnel Qualifications	Standards of Performance
<p>1. General functions and duties pertaining to the administration of <u>Type 1 and Type 4 General Aquifer Protection Permits</u> delegated to the LA pursuant to this table. The extent of the applicable rules for these general functions and duties shall be limited to those relevant to the approvals, authorizations and permits which are herein delegated to the LA. Delegated functions and duties are further limited in Subpart B of this Appendix.</p>	<p>R18-9-101; R18-9-110; R18-9-A301(A)(1), (A)(4), (B) and (D); R18-9-A302 through R18-9-A308; R18-9-A309 except (E); R18-9-A310 except (D)(1)(d) and (H); R18-9-A311 through A313; R18-9-A314 except (3)(b); R18-9-A315; and R18-9-A316 except (B)(2) and (3)(f)</p>	<p>C1, C2, C3, C4, C5</p>	<p>D1.1 - D1.4</p>
<p>2. Complaint response, compliance assistance, and compliance and enforcement responsibilities for the following <u>Type 1 General Aquifer Protection Permits</u>:</p> <p>1.07 Dockside facilities and watercraft</p> <p>1.08 Earth pit privy</p> <p>1.09 On- site wastewater treatment facilities and sewage treatment facilities approved by the Department before January 1, 2001 operating under a general permit before January 1, 2001 with a flow less than 20,000 gallons per day</p> <p>1.10 Sewage collection systems subject to R18-9-B301(J)</p> <p>1.11 Sewage collection systems subject to</p>	<p>R18-9-B301(G), (H), (I), (J), and (K).</p>	<p>C1, C2, C3, C4, C5</p>	<p>D1.1 - D1.4</p>

Functions and Duties	Applicable Rule(s) in Title 18 of the Arizona Administrative Code	Personnel Qualifications	Standards of Performance
R18-9-B301(K)			
3. Application review, inspection, issuance or denial of Construction and Discharge Authorizations, compliance and enforcement tasks for the <u>4.02 General Aquifer Protection Permit for a Septic Tank System with Disposal by Trench, Bed, Chamber Technology, or Seepage Pit</u> with a design flow of less than 3000 gallons per day.	R18-9-E302 and relevant rules listed for Item #1	C1, C2, C3, C4, C5	D1.1 - D1.4
<p>4. Application review, inspection, issuance or denial of Construction and Discharge Authorizations, compliance and enforcement for the following <u>4.03 through 4.22 General Aquifer Protection Permits for On-Site Wastewater Treatment Facilities</u> with a design flow of less than 3000 gallons per day (delegation is granted for those general permits that are not stricken):</p> <p>4.03 Composting toilet</p> <p>4.04 Pressure distribution system</p> <p>4.05 Gravelless trench</p> <p>4.06 Natural seal E-T bed</p> <p>4.07 Lined E-T bed</p> <p>4.08 Wisconsin mound</p> <p>4.09 Engineered pad system</p> <p>4.10 Intermittent sand filter</p> <p>4.11 Peat filter</p> <p>4.12 Textile filter</p> <p>4.13 Denitrifying w/separated wastewater streams</p> <p>4.14 Sewage vault</p> <p>4.15 Aerobic system</p> <p>4.16 Nitrate-reactive media filter</p> <p>4.17 Cap system</p> <p>4.18 Constructed wetlands</p> <p>4.19 Sand-lined trench</p>	<p>Relevant rules listed for Item #1 and:</p> <p>R18-9-E303</p> <p>R18-9-E304</p> <p>R18-9-E305</p> <p>R18-9-E306</p> <p>R18-9-E307</p> <p>R18-9-E308</p> <p>R18-9-E309</p> <p>R18-9-E310</p> <p>R18-9-E311</p> <p>R18-9-E312</p> <p>R18-9-E313</p> <p>R18-9-E314</p> <p>R18-9-E315</p> <p>R18-9-E316</p> <p>R18-9-E317</p> <p>R18-9-E318</p> <p>R18-9-E319</p>	<p>Licensing decision for issuance or denial of a Construction Authorization or Discharge Authorization:</p> <p>C1, C2, C3, C5</p> <p>All other functions and duties:</p> <p>C1, C2, C3, C4, C5</p>	D1.1 - D1.4

Functions and Duties	Applicable Rule(s) in Title 18 of the Arizona Administrative Code	Personnel Qualifications	Standards of Performance
4.20 Disinfection devices	R18-9-E320		
4.21 Surface disposal	R18-9-E321		
4.22 Subsurface drip irrigation	R18-9-E322		
5. Application review, inspection, issuance or denial of Construction and Discharge Authorizations, compliance and enforcement for the <u>4.23 General Aquifer Protection Permit for On-Site Wastewater Treatment Facilities</u> with a design flow from 3000 to less than 24,000 gallons per day	R18-9-E323 and relevant rules listed for Item #4.	Licensing decision for issuance or denial of a Construction Authorization or Discharge Authorization: C1, C2, C3 All other functions and duties: C1, C2, C3, C4, C5	D1.1 - D1.4
6. Application review, inspection, issuance or denial of Construction and Discharge Authorizations, compliance and enforcement for the <u>4.01 General Aquifer Protection Permit for Sewage Collection Systems</u>	R18-9-E301 and relevant rules listed for Item #1.	Personnel Qualifications same as Item #5	D1.1 - D1.4
7. Complaint response and compliance assistance for private residential <u>Gray Water Systems</u> authorized by the Type I Reclaimed Water General Permit	R18-9-701, R18-9-708(A), and R18-9-711 except (C) ¹	C1, C2, C3, C4, C5	D1.1 - D1.4
8. <u>Certificate of Approval for Sanitary Facilities for Subdivisions</u> <ul style="list-style-type: none"> • Application review, inspection, and certificate issuance or denial as appropriate • Compliance and enforcement 	R18-9-A309(A)(8)(c) and R18-5-401 through R18-5-411	Licensing decision for issuance or denial of a certificate: C1, C2, C3 All other functions and duties: C1, C2, C3, C4, C5	D1.1 - D1.4

¹ Per A.R.S. §49-204.

Functions and Duties	Applicable Rule(s) in Title 18 of the Arizona Administrative Code	Personnel Qualifications	Standards of Performance
<p>9. <u>Public Water Systems:</u> Approval to Construct (ATC) and Approval of Construction (AOC)</p> <ul style="list-style-type: none"> • Application review, construction inspection, and certificate issuance or denial as appropriate • Compliance and enforcement 	<p>R18-4-103 as related to definitions, R18-4-213, R18-4-215 except (F) through (I), R18-4-217, R18-5-501 through R18-5-509, A.R.S. 41-1009 inspection and A.R.S. 49-354 enforcement related to ATC/AOC</p>	<p>Licensing decision for issuance or denial of an ATC or AOC Certificate: C1, C2</p> <p>All other functions and duties: C1, C2, C3, C4, C5</p>	<p>D1.1 - D1.4; D2.1</p>
<p>10. <u>Public Water Systems</u></p> <ul style="list-style-type: none"> • Monitoring & Reporting compliance determinations and related enforcement for water systems <u>using only ground water and serving less than 50,000 people</u> • Conduct Sanitary Surveys (compliance inspections) to ensure proper operation and maintenance of water systems <u>using only ground water and serving less than 50,000 people</u> 	<p>R18-4-101, R18-4-102, R18-4-103 except (C), (D), and that incorporated by reference 40 CFR 141.4 as described in (C), R18-4-104 through R18-4-114, R18-4-117 through R18-4-126, R18-4-201 through R18-4-218 except R18-4-216</p>	<p>C1, C2, C3, C4, C5</p>	<p>D1.1 – D1.4</p>
<p>11. <u>Public and Semipublic Swimming Pools</u> Approval to Construct (ATC) and Approval of Construction (AOC)</p> <ul style="list-style-type: none"> • Application review, construction inspection, and certificate issuance or denial as appropriate • Compliance and enforcement 	<p>R18-5-201 through R18-5-251, R18-4-103 as related to definitions, R18-4-213</p>	<p>Licensing decision for issuance or denial of an ATC & AOC certificates: C1, C2, C3</p> <p>All other functions and duties: C1, C2, C3, C4, C5</p>	<p>D1.1 - D1.4 D2.1</p>

B. Exceptions and Special Provisions

The delegated Functions and Duties specified in Subpart A of this Appendix shall be subject to the following exceptions and special provisions:

1. ADEQ will provide the LA a copy of the draft Individual Aquifer Protection Permit for review and comment for any sewage treatment system proposed within the LA's jurisdictional boundaries.

2. Within 60 days after the effective date of this Agreement, ADEQ and the LA shall jointly develop and approve a schedule of training for administering delegated Functions and Duties.
3. Within 180 days after the effective date of this Agreement, ADEQ and the LA shall jointly develop and approve the process to ensure maintenance of LA proficiencies for the administration and implementation of delegated Functions and Duties in Appendix A, Subpart A of this Agreement.
4. The Director of ADEQ shall not accept, directly from an applicant any application package that involves the performance of a delegated Function or Duty. However, if the Director of ADEQ determines there is a compelling reason for ADEQ to review and/or approve an application package that involves a delegated Function or Duty, the LA shall provide the application package to ADEQ upon written request by the Director of ADEQ. ADEQ shall forward a copy of the completed file to the LA for any application package reviewed and/or approved by ADEQ.
5. Functions, and duties of ADEQ related to the following are NOT delegated:
 - a. Public water systems, wastewater, swimming pool facilities or Certificates of Approval for Sanitary Facilities for Subdivisions;
 - i. owned by the federal government or the State of Arizona;
 - ii. owned, operated or designed by or for the LA;
 - iii. owned or operated by districts subject to the control of the LA;
 - b. Those relating to facilities financed, either wholly or in part, with federal grant funds administered by ADEQ. ADEQ shall provide a list of such facilities to the LA.
 - c. Those related to the implementation of A.A.C. R18-9-A312(G) where:
 - i. the request is beyond the scope of the technologies delegated to the LA;
 - ii. the request proposes a change to the listed performance criteria or hydraulic loading rates specified in A.A.C. R18-9-E302 through R18-9-E323, A.A.C. R18-9-A309(E) or in any ADEQ approved design reference document;
 - iii. the request proposes a change to the relationship between soil absorption rate and either the percolation rate or the soil type, as established in A.A.C. R18-9-A312(D), except for adjustments due to the fraction of rock present in native soil;
 - iv. the request proposes a change to the relationship between the total coliform concentration, and the minimum vertical separation to the seasonal high water table or from a subsurface limiting condition, as established in A.A.C. R18-9-A312(E).
6. Although approval of any public water system, wastewater facility or swimming pool facility which is designed by or for the LA, or for a capital improvement project, is NOT delegated to the LA, this exception does not prohibit or otherwise affect later assignment or transfer to the LA of a public water system, wastewater facility or swimming pool facility having a construction completion approval issued by the LA, if the LA issues a construction completion approval before the owner of the private facility dedicates it to the LA. A private facility to be dedicated to the LA after completion of construction may be reviewed by the LA if all approvals and licenses are issued to the owner of the private facility prior to the date of the dedication to the LA. If the LA issues an initial construction authorization and a facility is later assigned or transferred to the LA before the LA issues a construction completion approval, the LA must obtain both new initial construction approval and construction completion approval from ADEQ.
7. Functions and Duties delegated to LA relating to Public Water Systems are limited to licensing decisions for issuance or denial of an ATC or AOC certificate for City of Tucson drinking water main line extensions and/or main line replacements (and associated appurtenances) projects.

8. ADEQ and LA agree to co-inspect wastewater facilities, where feasible, for training and coordination purposes.
9. For purposes of performing and reporting delegated Functions and Duties specified in Appendix A of this Agreement, the LA shall use forms provided by ADEQ. The LA may use other forms for the purposes of performing and reporting delegated Functions and Duties provided that the LA receives prior written approval from the Water Quality Division Director.
10. ADEQ shall maintain a list of proprietary and other reviewed products that may be used for on-site wastewater treatment facilities in accordance with A.A.C. R18-9-A309 (E) and retains the responsibility to receive and process all requests for product review in connection with this list.
11. For the purposes of determining project costs under this Agreement, project cost is determined by the sum of the following cost categories:
 - a. preparation of submittal quality design documents and related application documents for the project proposed for installation,
 - b. all equipment/components/materials delivered to the construction site,
 - c. all excavation & backfill,
 - d. all installation of equipment/components/materials, and
 - e. all tasks associated with pre-operational testing & startup.
12. Within 60 days after the effective date of this Agreement, the LA shall provide ADEQ copies of all existing LA written policies utilized by the LA to perform delegated Functions and Duties. The LA shall also provide ADEQ with copies of any new policies relating to the performance of delegated Functions and Duties within 30 days of the policy becoming final.

C. Personnel Qualifications:

Subject to Subpart D of this Appendix, the Functions and Duties itemized in Subpart A of this Appendix shall be performed by individuals with specified minimum personnel qualifications. Where more than one personnel qualification category is shown in Subpart A of this Appendix, LA personnel performing the itemized Functions and Duties may qualify under any listed category, subject to the noted limitations.

Duties shall be performed by:

1. A Professional Engineer who is registered in the State of Arizona (equivalent of ADEQ Environmental Engineer position).
2. An individual who is an Engineer-in-Training candidate (A.A.C. R4-30-222, equivalent to the ADEQ Engineering Aide position), and who is directly supervised by a Professional Engineer registered in the State of Arizona.
3. A Registered Sanitarian who is registered in the State of Arizona has applicable experience or the equivalent of an ADEQ Environmental Program Specialist with applicable experience and who is directly supervised by or whose work is reviewed by a Professional Engineer registered in the State of Arizona.
4. An individual who is either an Engineer-in-Training candidate (A.A.C. R4-30-222, equivalent to the ADEQ Engineering Aide position) or a Sanitarian-In-Training (A.A.C. R9-16-402) and who has successfully completed 3 months training by either a Professional Engineer registered in the State of Arizona or a Registered Sanitarian registered in the State of Arizona.
5. A Registered Sanitarian who is registered in the State of Arizona.

D. Standards of Performance:

The LA shall comply with specified standards of performance for the Functions and Duties itemized in Subpart A of this Appendix. The following LA standards of performance apply to this Appendix:

I. General Provisions

1.1 The LA shall process applications to reach a licensing decision for delegated Functions and Duties itemized in Subpart A of this Appendix under the framework of A.A.C. R18-1-501 through 524, and within the applicable time frames specified in A.A.C. R18-1-525, Tables 5, and 10.

Permits	Authority	Overall Timeframe
4.01 General Permit	A.R.S. § 49-245	
300 services or less	A.A.C. R18-9-E301	95
More than 300 services	A.A.C. R18-9-E301	136
4.02 – 4.23 General Permit	A.R.S. § 49-245	
Standard Single 4.02, 4.03, 4.13, and 4.14 General Permits less than 3,000 gallons per day	A.A.C. R18-9-E302, A.A.C. R18-9-E303, A.A.C. R18-9-E313, A.A.C. R18-9-E314	73
Standard Combined Two or three Type 4 General Permits less than 3,000 gallons per day	A.A.C. R18-9-E302 through R18-9-E322	95
Complex Combined Four or more Type 4 General Permits less than 3,000 gallons per day	A.A.C. R18-9-E302 through R18-9-E322	136
4.23 General Permit	A.A.C. R18-9-E323	136
A312G Request, Each	A.A.C. R18-9-A312(G)	8
Subdivision	A.R.S. § 49-104(B)(11)	
Individual Facilities	A.A.C. R18-5-408	67
Community Facilities	A.A.C. R18-5-403	58
Drinking Water ATC	A.R.S. § 49-353	
Standard	A.A.C. R18-5-505	53
Complex	A.A.C. R18-5-505	83
Drinking Water AOC	A.R.S. § 49-353	
Standard	A.A.C. R18-5-507	53
Complex	A.A.C. R18-5-507	83
Swimming Pool Water ATC	A.R.S. § 49-104(B)(12)	
Standard	A.A.C. R18-5-203	52
Complex	A.A.C. R18-5-203	83
Swimming Pool Water AOC	A.R.S. § 49-104(B)(12)	
Standard	A.A.C. R18-5-204	52
Complex	A.A.C. R18-5-204	83

1.2 Accurate file records shall be maintained by the LA showing evidence of application processing and the licensing including date, basis and stipulations, if any, for all licenses issued or denied, including those prepared by or under the supervision of a Professional Engineer registered in the State of Arizona.

- 1.3 If the project reviewed by the LA involves disposal or discharge of wastewater to a water of the United States, the LA shall direct the applicant to ADEQ (or the U.S. Environmental Protection Agency) for any necessary permits.
- 1.4 The LA shall incorporate within 60 business days of notification the update for any form, procedure or practice applicable to the delegated program.

2. Public Water Systems

- 2.1 Compliance inspections of public water systems shall be performed at least once every three years, except that facilities using surface water supplies shall be inspected annually. Inspections shall cover facility operations and maintenance and compliance with applicable regulatory requirements including, but not limited to, monitoring, reporting, public notification, operator certification, plan approval and conformance with any existing compliance schedules.

E. Reporting Requirements:

The LA shall report program information for Functions and Duties delegated to the LA as listed in Subpart A of this Appendix.

1. General Provisions. The LA shall submit monthly reports described in this Subpart. Reports shall be submitted on forms provided by ADEQ and shall be sent to ADEQ within fifteen calendar days after the end of the reporting period, preferably in electronic format. The LA shall send reports to:

Delegation Program Specialist
Water Quality Division
Arizona Department of Environmental Quality
1110 West Washington Street
Phoenix, AZ 85007
delegations@azdeq.gov

2. Public Water Systems. The LA shall submit the following information:
 - a. Approvals to Construct issued.
 - b. Construction inspections performed.
 - c. Approvals of Construction issued.
 - d. Approvals to Construct and Approvals of Construction issued exceeding maximum licensing time frames.
 - e. Exception reports for Approvals which exceeded the maximum licensing time frame.
 - f. Appeals of LA decisions filed by applicants.
 - g. Compliance inspections performed
 - h. Complaints handled.
 - i. Safe Drinking Water Information System/State (SDWIS/State) inventory update forms.
3. Type 4 General Aquifer Protection Permits (On-site Wastewater Treatment Facilities and Sewage Collection Systems). The LA shall submit the following information:
 - a. The numbers for issued Discharge Authorizations and denied Discharge Authorizations for Type 4 General Permits delegated to the LA.
 - b. The number of issued Discharge Authorizations exceeding overall licensing time frames.

- c. Exception report for each Discharge Authorization which exceeded the overall licensing time frame.
 - d. The number of appeals of LA decisions filed by applicants and the disposition status for each appeal.
 - e. The numbers for alternative features approved pursuant to A.A.C. R18-9-A312(G) for the issued Discharge Authorizations and for the denied Discharge Authorizations reported in Paragraph 3.a of this Subpart.
 - f. The number of completed Notice of Transfer forms received.
4. Subdivisions. The LA shall submit information on the number and license category of Certificates of Approval for Sanitary Facilities for Subdivisions issued by the LA.
 5. Public and Semipublic Swimming Pools and Spas. The LA shall submit the following information:
 - a. Approvals to Construct issued.
 - b. Construction inspections performed.
 - c. Approvals of Construction issued.
 - d. Variances approved.
 - e. Appeals of LA decisions filed by applicants.
 - f. Compliance inspections performed
 - g. Complaints handled.
 6. Enforcement Actions. A copy of each administrative, civil, or criminal action initiated under this Appendix.

F. Agency Contact Persons:

The following LA employees are responsible for administering the delegated Functions and Duties specified in this Appendix. The LA shall provide ADEQ written notice of any successor.

Name: Ursula Nelson
 Title: Director
 Address: Pima County Department of Environmental Quality
 33 N. Stone Avenue, Suite 700
 Tucson, AZ 85701

The following ADEQ employees are responsible for administering the Functions and Duties specified in this Appendix. ADEQ shall provide the LA written notice of any successor.

Approvals of Public Water Systems, Subdivisions, and Swimming Pools

Name: Daniel Czecholinski
 Title: Manager, Drinking Water Section
 Address: Arizona Department of Environmental Quality
 1110 West Washington Street
 Phoenix, AZ 85007

Approvals of On-Site Wastewater Treatment Facilities, Sewage Collection Systems, and Private Residential Gray Water

Name: Daniel Czecholinski
Title: Manager, Drinking Water Section
Address: Arizona Department of Environmental Quality
1110 West Washington Street
Phoenix, AZ 85007

Compliance and Enforcement

Name: Mindi Cross
Title: Manager, Water Quality Compliance Section
Address: Arizona Department of Environmental Quality
1110 West Washington Street
Phoenix, AZ 85007

The naming of a successor to any of the above-named individuals shall not require the execution of an amendment to this Agreement.

Appendix B
To
Pima County Delegation Agreement
#ADEQ16-127337

Solid Waste Management

A. DELEGATED FUNCTIONS AND DUTIES:

The LA agrees to perform those delegated Functions and Duties that are indicated with a Yes in the first column of the following table: Applicable statutes, rules and Title 40 Code of Federal Regulations (CFR) include those that authorize or prohibit the described activities and those that are to be enforced during the conduct of those activities.

Dele-gated?	Functions and Duties	Applicable Rules Statutes and CFRs	Personnel Qualifications	Stds. Of Performance
Yes	1. Inspection of septic tank cleaners for ADEQ licensing ¹	A.R.S. §§ 49-141, 142; A.A.C. R18-13-1106, 1112, 1116, 1117	2 or 4	1
Yes	2. Inspection of refuse haulers ²	A.R.S. §§ 49-141, 142; A.A.C. R18-13-304, 305, 306, 308, 309, 310, 311	2 or 4	2
Yes	3. Investigation of nuisance complaints, and enforcement to eliminate environmental nuisances	A.R.S. §§ 49-141, 142, 143,144; A.A.C. R18-13-303, 304	2 or 4	1 or 2
Yes	4. Investigation of, and enforcement to eliminate illegal disposal of solid waste except for waste more specifically described in Functions and Duties 5,6, 7, 8, and 9	A.R.S. §§ 49-701, 701.01, 791(A)(4); A.A.C. R18-13-303, 304, 307, 311	2 or 4	2
No	5. Investigation of and enforcement to eliminate illegal waste tire storage and disposal	A.R.S. §§ 44-1301, 1304, 1304.01, 1307	2 or 4	N/A
Yes	6. Investigation of and enforcement to eliminate used oil disposal to land	A.R.S. §§ 49-801, 803, 811, 812; Title 40 CFR § 279.1	2 or 4	N/A

Delegated?	Functions and Duties	Applicable Rules Statutes and CFRs	Personnel Qualifications	Stds. Of Performance
No	7. Investigation and enforcement of used oil generators for compliance with storage, labeling, and release response requirements	A.R.S. §§ 49-801, 802.C.1, 811, 812; Title 40 CFR §§ 279.1, 279.22	2 or 4	N/A
No	8. Investigation of and enforcement to eliminate illegal disposal of lead acid batteries and unregistered collection sites	A.R.S. §§ 44-1321, 1322, 1324	2 or 4	N/A
No	9. Inspection and registration of lead acid battery collection and recycling facilities	A.R.S. §§ 44-1321, 1322, 1324	2 or 4	N/A
No	10. Inspection of agricultural solid waste landfills operated by persons engaged in farming or ranching on at least 40 acres in an unincorporated area	A.R.S. § 49-766(B); A.A.C. R18-13-304, 311	2 or 4	N/A
No	11. Inspection of landfills for the disposal of solid waste resulting from residents' household activities at single family residences located on a farm or ranch of more than 40 acres in an unincorporated area	A.R.S. § 49-766(A); A.A.C. R18-13-304, 311	2 or 4	N/A
Yes	12. Approval of solid waste collection and disposal provisions for new subdivisions	A.A.C. R18-5-409, R18-13-305, 311, 312	1, 3, or 4	N/A
Yes	13. Granting of refuse collection frequency variances for all commercial accounts and for residential areas, except the City of Tucson	A.A.C. R18-13-308(B)	1 or 4	N/A

Delegated?	Functions and Duties	Applicable Rules Statutes and CFRs	Personnel Qualifications	Stds. Of Performance
No	14. Inspection of any other solid waste storage, treatment, processing or disposal facility other than solid waste landfills	A.R.S. § 49-762.07(F)	2, 3, or 4	N/A
No	15. Inspection of biohazardous medical waste transporters for ADEQ registration	A.R.S. §§ 49-761(D), 768; A.A.C. R18-13-1401, 1402, 1403, 1404, 1409	2 or 4	N/A

¹The purpose of this delegation is to recognize county inspections as valid for the purpose of issuance of ADEQ septic licenses, and to ensure that inspections of septic haulers are conducted at least annually.

²The inclusion of this Function in this Appendix to the Agreement is only to act as a formal process by which the county agrees to be responsible for inspection of refuse haulers and for ADEQ to ensure such inspections are conducted at least annually.

B. EXCEPTIONS AND SPECIAL PROVISIONS:

1. ADEQ retains authority for approval and issuance of solid waste facility plans for all municipal solid waste landfills and for all solid waste landfills other than municipal solid waste landfills.
2. ADEQ retains responsibility for the administration and enforcement of all solid waste facilities that are operated by federal, state, county or municipal agencies.
3. ADEQ retains authority to inspect and permit City of Tucson refuse hauling vehicles.
4. The LA shall retain all civil penalties assessed and collected pursuant to Subpart A of this Appendix.
5. The LA agrees, to the extent practicable, to conduct compliance and enforcement actions according to ADEQ policy.

C. PERSONNEL QUALIFICATIONS:

The following minimum personnel qualifications shall apply to personnel performing the delegated Functions and Duties listed in Subpart A of this Appendix:

1. Duties shall be performed by a Registered Sanitarian registered in the State of Arizona;
2. Duties shall be performed by or under the direction of a Registered Sanitarian registered in the State of Arizona;
3. Duties shall be performed by or under the direct supervision of a Professional Engineer registered in the State of Arizona; or
4. Duties shall be performed by personnel with training and experience equivalent to ADEQ's Environmental Scientist and Specialist series and under the direct supervision of personnel with training and experience equivalent to ADEQ's Environmental Program Manager or Program Supervisor.

D. STANDARDS OF PERFORMANCE:

The following standards of performance apply to the delegated Functions and Duties listed on Subpart A of this Appendix:

1. The LA shall administer and enforce the health and sanitation requirements pertaining to human excreta contained in A.A.C. R18-13-1112 through 1117 with the following stipulations:
 - a. Any burial site or open dump site for human excreta approved by the LA in accordance with A.A.C. R18-13-1112 (A) and (B), that is used more than once, shall be considered a solid waste disposal facility and must first submit a solid waste facility plan to ADEQ pursuant to A.R.S. § 49-762.
 - b. The LA shall conduct annual inspections and issue permits for all vehicles used for the storage, collection, transportation or disposal of human excreta.
2. The LA shall administer and enforce the health and sanitation requirements contained in A.A.C. R18-13-301 *et seq.*, and the environmental nuisance requirements contained in A.R.S. §§ 49-141 through 49-144 with the following stipulations and exceptions:
 - a. The LA shall annually inspect all vehicles (excluding private passenger cars and pick-ups), that are routinely used to haul waste.

- b. ADEQ shall only grant variances from the garbage collection frequency for all commercial accounts and residential units within the city limits of the City of Tucson upon receipt of a plan approved by the LA, in accordance with A.A.C. R18-13-308(B).
3. The LA shall administer and enforce the requirements specified in A.A.C. R18-5-409 pertaining to solid waste disposal from new subdivisions. ADEQ shall furnish the LA with a current list of approved disposal facilities and keep the list updated in a timely manner. The LA shall only approve subdivisions that utilize a facility on ADEQ's approved list.

E. REPORTING REQUIREMENTS:

1. The LA shall report to ADEQ annually on any solid waste inspections conducted, and enforcement actions, initiated or concluded (including Notices of Opportunity to Correct, Notices of Violation, Orders), by submitting a list of each administrative, civil, or criminal action initiated under this Agreement to the ADEQ Agency Contact person for Solid Waste, listed in Subpart F of this Appendix.
2. LA shall annually report to ADEQ by January 31st, the following delegated solid waste Functions and Duties for the previous calendar year in accordance with Subpart A of this Appendix:
 - a. A list of all septic tank cleaners under county permit and a list of all disposal sites approved by LA for one time disposal of such wastes, pursuant to A.A.C. R18-13-1112(A) and (B). The LA shall provide a list of all inspections of septic tank cleaners conducted, including the dates the inspections were conducted.
 - b. A list of all refuse haulers under county permit. The LA shall provide the names of refuse haulers inspected and the date(s) of inspection.
 - c. The LA shall report the following information:
 - 1) The number of nuisance complaints received;
 - 2) The name of facility/site(s) inspected and the date(s) of nuisance complaint investigations;
 - 3) The number of inspections revealing the presence of an environmental nuisance;
 - 4) The date and nature of enforcement actions taken if applicable; and
 - 5) The date violations were corrected, if applicable.
 - d. The LA shall report the following information:
 - 1) The number of complaints received alleging the illegal disposal of solid waste;

- 2) The name of facility/site(s) and date(s) inspected to investigate allegations of illegal solid waste disposal;
 - 3) The number of inspections and the name of facility/site(s) that revealed illegal disposal of solid waste;
 - 4) The date and nature of enforcement action taken if applicable; and
 - 5) The date violations were corrected, if applicable.
- e. The LA shall report the following information:
- 1) The name and location of all sites that have applied for approval of solid waste collection and disposal services for a new subdivision; and
 - 2) The name and location of all sites for which approval of solid waste collection and disposal services for a new subdivision have been issued.
- f. The LA shall report the following information:
- (1) The name of the entity to whom the garbage collection frequency variance was granted and the date of issuance; and
 - (2) The documentation provided by the applicant or the LA that the variance would not result in a public health hazard or nuisance, and that fly breeding would be controlled by either biological, chemical or mechanical means.

F. AGENCY CONTACT PERSONS:

The following LA employee is responsible for administering the delegated Functions and Duties pursuant to this Appendix. The LA shall provide written notice to ADEQ of any successor.

Name: Ursula Nelson
Title: Director
Address: Pima County Department of Environmental Quality
33 North Stone Avenue Suite 700
Tucson, AZ 85701
Email: Ursula.Nelson@pima.gov

The following ADEQ employee is responsible for administering the delegated Functions and Duties pursuant to this Appendix. ADEQ shall provide written notice to the LA of any successor.

Name: Pamela Nicola
Title: Manager, Inspections & Compliance Section
Address: Waste Programs Division
Arizona Department of Environmental Quality
1110 West Washington Street

Phoenix, AZ 85007
Email: pn2@azdeq.gov

The naming of a successor to any of the above-named individuals shall not require the re-execution of or an amendment to this Agreement.

APPENDIX C
To
Pima County Delegation Agreement
#ADEQ16-127337

HAZARDOUS WASTE GENERATORS

A. DELEGATED FUNCTIONS AND DUTIES:

The LA agrees to perform those Functions and Duties related to Hazardous Waste Generators (HWGs) and hazardous waste facilities as indicated in the following table. Applicable rules, statutes, and 40 Code of Federal Regulations (CFR) include both those that authorize or prohibit the described activities and those that are to be enforced during the conduct of those activities.

Delegated	Functions and Duties	Applicable Statutes, Rules and CFRs	Personnel Qualifications	Standards of Performance
Yes	1. Registration of hazardous waste generators	A.R.S. § 49-929	1	2(b)
No	2. Registration of hazardous waste transporters	A.R.S. § 49-929	N/A	N/A
No	3. Registration of hazardous waste treatment, storage, and disposal facilities	A.R.S. § 49-929	N/A	N/A
Yes	4. Inspection of hazardous waste generators	A.R.S. § 49-922; A.A.C R18-8-262; 40 CFR Part 262	1, 2, 3	1, 2
No	5. Inspection of hazardous waste transporters	A.R.S. § 49-922; A.A.C R18-8-263; 40 CFR Part 263	N/A	N/A
No	6. Inspection of hazardous waste treatment, storage, and disposal facilities	A.R.S. § 49-922; A.A.C R18-8-264, 265; 40 CFR Parts 264, 265	N/A	N/A
No	7. Inspection of generator facilities owned by LA, City of Tucson, State of Arizona, or the federal government	A.R.S. § 49-922; A.A.C R18-8-262; 40 CFR Part 262	N/A	N/A
No	8. Site assessment plan requests, reviews and implementation	A.R.S. § 49-922; A.A.C R18-8-280	N/A	N/A
Yes	9. Investigation of generators to eliminate illegal treatment, storage or disposal of hazardous waste	A.R.S. § 49-922 and 923	1, 2, 3	1, 2
Yes	10. Enforcement of generators to eliminate illegal treatment, storage or disposal of hazardous waste	A.R.S. § 49-922 and 923	1, 2, 3	1, 2
Yes	11. Inspection of hazardous waste generators generating universal waste	A.R.S. § 49-922; A.A.C R18-8-273; 40 CFR Part 273	1, 2, 3	1, 2

B. EXCEPTIONS AND SPECIAL PROVISIONS

1. LA shall develop and update annually a report of Large Quantity Generators (LQGs) and Small Quantity Generators (SQGs). LA shall provide the report to ADEQ.
2. LA shall notify ADEQ of the identity of facilities that the LA knows or suspects to be a hazardous waste recycler, transporter, or permitted treatment, storage or disposal facility (TSD).
3. If the City of Tucson, during the effective period of this Agreement, receives Hazardous Waste delegation from ADEQ, upon the effective date of the delegation agreement between the City of Tucson and ADEQ, the geographic area of delegation under this Agreement for Pima County shall be Pima County outside the Tucson city limits.
4. ADEQ shall provide to LA copies of new rules and regulatory interpretations relevant to LA's delegated authority.
5. LA shall submit inspection results to ADEQ when required by this Agreement and as requested by ADEQ.
6. Coordination meetings between LA and ADEQ shall be held as needed.
7. ADEQ shall enter information submitted by LA under this Agreement into the RCRA Info and AZURITE databases and provide to LA reports from the database of inspection, compliance and enforcement activities related to this Agreement upon request.

C. PERSONNEL QUALIFICATIONS

1. Any applicable requirements of the Arizona State Board of Technical Registration shall be observed by LA.
2. All persons conducting field work involving routine inspections, response to complaints, or illegal disposal of hazardous wastes shall be trained and conduct activities in compliance with requirements of 29 CFR 1910.120.
3. Personnel performing the Functions and Duties listed in Subpart A of this Appendix, at a minimum, shall have at least two of the following qualifications:
 - a. Four year college degree from an accredited institution with an emphasis in fields such as the physical sciences, geology, chemistry, biology, and environmental health.
 - b. Prior work experience.
 - c. EPA or ADEQ-endorsed hazardous waste regulation training.
 - d. Continuing on-the-job training related to hazardous waste regulation.

D. STANDARDS OF PERFORMANCE

The following standards of performance apply, as indicated, to the Functions and Duties listed in Subpart A of this Appendix.

1. Inspections
 - a. LA shall inspect HWGs to ensure compliance with state laws applicable to HWGs. LA shall ensure regulatory interpretations and performance standards applied under this Agreement are in conformance with the policies and practices of ADEQ.
 - b. LA shall inspect twenty percent (20%) of the registered LQGs annually. LA shall prioritize these inspections using the following criteria: date of last inspection, history of non-compliance, and number of complaints received.
 - c. Complaints received by LA that involve spills or discharges of hazardous waste or imminent and substantial hazards to human health or the environment shall be handled within one (1) calendar day, and all other complaints shall be handled within five (5) business days.

2. Registration and Inspection Reports
 - a. LA shall prepare the following types of reports after an inspection, in accordance with the Compliance and Enforcement Handbook: standard inspection report, Notice of Violation (NOV), Notice of Opportunity to Correct (NOC), order.
 - b. Any hazardous waste facility registration and fee program implemented by LA shall not replace and shall be in addition to the hazardous waste registration and fee program implemented by ADEQ under A.R.S. §§ 49-929 through 932.
 - c. LA shall use ADEQ-approved inspection form(s) or shall use a form that includes the following information:
 - i. Determination of types and amounts of waste generated;
 - ii. Generation, storage, treatment and disposal activities;
 - iii. Classification of type of HWG;
 - iv. Containment and labeling requirements;
 - v. Applicable record-keeping requirements including manifests, EPA ID numbers, MSDSs, self-inspection logs and other required reports;
 - vi. Applicable required emergency planning and preparedness activities; and
 - vii. Summary of violations and suggested compliance and enforcement actions to correct violations.

E. REPORTING REQUIREMENTS

1. LA's information under Subpart B, Paragraph 1 of this Appendix shall be updated as each report is submitted to ADEQ.

2. The LA shall submit the ADEQ ICE Data Entry Form for each active case where reportable actions have occurred to the agency no less than once each calendar month. This submission may be made by mail or e-mail.

3. The LA shall submit quarterly reports to the Agency Contact person identified in Subpart

- F of this Appendix: The quarterly report shall include the following information:
- a. Quarterly Statistics: A list of all inspections conducted for the quarter.
 - b. New Inspections and Status of Previous Inspections:
 - i. A list of all HWGs inspected, in alphabetical order.
 - ii. Whether the inspection was complaint-based or routine.
 - iii. Generator status.
 - iv. Complete address and EPA ID number of HWG.
 - v. Inspection date for all inspections.
 - vi. Status of the case (i.e., closed, pending action, etc.).
 - c. Registered Generators: A list of all registered HWGs for Pima County.
 - d. Inspection Reports and NOV/NOCs:
 - i. A copy of all inspection reports, NOVs, NOCs in alphabetical order by HWG.
 - ii. All correspondence organized by and related to each HWG.
 - e. Formal Enforcement:
 - i. A list of HWGs for which administrative orders and civil or criminal actions were issued by LA under this Agreement during the quarter.
 - ii. A copy of each administrative or civil order issued under this Agreement during the quarter.

F. AGENCY CONTACT PERSONS

The following LA employee is responsible for administering the delegated Functions and Duties specified in this Appendix. The LA shall provide written notice of any successor.

Name: Ursula Nelson
Title: Director
Pima County Department of Environmental Quality
Address: 33 North Stone Avenue, Suite 700
Tucson, Arizona 85701

The following ADEQ employee is responsible for administering the Functions and Duties specified in this Appendix. The ADEQ shall provide written notice of any successor.

Name: Pamela Nicola
Title: Manager
Inspections and Compliance Section
Waste Programs Division
Address: Arizona Department of Environmental Quality
1110 W. Washington Street
Phoenix, Arizona 85007

The name of a successor to either of the above-named individuals shall not require the execution of an amendment to this Agreement.

**Signature Page for Pima County
Delegation Agreement # ADEQ16-127337**

**Pima County Department of
Environmental Quality**

**Arizona Department of
Environmental Quality**

Ursula K. Nelson 7.7.2016
Ursula Nelson Date
Director

Misael Cabrera Date
Director

Pima County Health Department

Francisco Garcia, MD, MPH 16 July 16
Francisco Garcia, MD, MPH
Director

**Duly approved by the County Board of Supervisors on the ____ day of _____
20____, in accordance with its authority.**

County Board of Supervisors

Sharon Bronson Date
Chair

Attest:

Clerk of the Board Date

Pursuant to A.R.S. §§ 11-201(A)(3), 49-107, and ~~49-108~~⁴¹1081 the foregoing Agreement has been reviewed by the undersigned attorneys for the Pima County Department of Environmental Quality and the Pima County Health Department and the Arizona Department of Environmental Quality, who have determined that this Agreement is in proper form and is within the powers and authority granted under Arizona law to their respective agencies.

County Attorney 7/6/16
County Attorney Date

Arizona Assistant Attorney General Date