



BOARD OF SUPERVISORS AGENDA ITEM REPORT
AWARDS / CONTRACTS / GRANTS

Award Contract Grant

Requested Board Meeting Date: March 1, 2022

* = Mandatory, information must be provided

or Procurement Director Award:

***Contractor/Vendor Name/Grantor (DBA):**

Department of Health and Human Services, SAMHSA Treatment Drug Courts Substance Abuse and Mental Health Services Administration

***Project Title/Description:**

Drug Court (Pima County Problem Solving Courts Initiative)

***Purpose:**

The Pima County Problem Solving Courts Initiative will provide multiple points of intervention for individuals with substance use disorders who are involved in the legal system. Through a continuum of specialty court programs in misdemeanor and felony systems, high-risk/high-need individuals will be quickly identified, assessed and referred to treatment and wraparound resources while following a court monitored program that adheres to best practice standards.

This amendment of the five-year grant authorizes Pima County to carryover \$293,082.00 in unobligated funds from YR02 and YR03 into current YR04.

***Procurement Method:**

Not applicable.

***Program Goals/Predicted Outcomes:**

The Pima County Problem Solving Courts Initiative seeks to reduce substance use and co-occurring disorders among individuals involved in the justice system by: 1) expanding enrollment and access to resources in the felony Drug Treatment Alternative to Prison (DTAP) and Drug Court programs; 2) creating a Consolidated Misdemeanor Problem Solving Court (CMPSC) to provide earlier intervention for high-risk/high-need individuals with co-occurring substance use disorders; 3) forming the Pima County Problem Solving Court Collaborative with membership from each specialty court and other stakeholders, to increase collaboration, sharing of information, and resources across all courts and with other systems; and 4) ensuring adherence to the 10 Key Components and Drug Court Best Practice Standards for each participating court.

***Public Benefit:**

Utilized Program funding to support prosecution objectives and reduce costs for this program to Pima County tax payers

***Metrics Available to Measure Performance:**

Annual programmatic and financial report.

***Retroactive:**

Yes, SAMHSA Notice of Award dated 1/25/2022 was received on 02/02/2022; all due diligence was undertaken to get the approved grant amendment before the Pima County Board of Supervisors in a timely manner. Should the Board decline to approve this amendment, vital approved grant activities that were derailed during the pandemic will not be funded.

*LMI Approved
Jan 2/11/22*

THE APPLICABLE SECTION(S) BELOW MUST BE COMPLETED

Click or tap the boxes to enter text. If not applicable, indicate "N/A". Make sure to complete mandatory (*) fields

Contract / Award Information

Document Type: Department Code: Contract Number (i.e., 15-123):
Commencement Date: Termination Date: Prior Contract Number (Synergen/CMS):
Expense Amount \$ Revenue Amount: \$

*Funding Source(s) required:

Funding from General Fund? Yes No If Yes \$ %

Contract is fully or partially funded with Federal Funds? Yes No

If Yes, is the Contract to a vendor or subrecipient?

Were insurance or indemnity clauses modified? Yes No

If Yes, attach Risk's approval.

Vendor is using a Social Security Number? Yes No

If Yes, attach the required form per Administrative Procedure 22-10.

Amendment / Revised Award Information

Document Type: Department Code: Contract Number (i.e., 15-123):
Amendment No.: AMS Version No.:
Commencement Date: New Termination Date:
Prior Contract No. (Synergen/CMS):

Expense Revenue Increase Decrease

Amount This Amendment: \$

Is there revenue included? Yes No If Yes \$

*Funding Source(s) required:

Funding from General Fund? Yes No If Yes \$ %

Grant/Amendment Information (for grants acceptance and awards)

Award Amendment

Document Type: GTAM Department Code: PCA Grant Number (i.e., 15-123): 22-052
Commencement Date: 09/30/2021 Termination Date: 09/29/2022 Amendment Number: 5

Match Amount: \$ none Revenue Amount: \$ none

*All Funding Source(s) required: SAMHSA Treatment Drug Courts Department of Health and Human Services Substance Abuse and Mental Health Service

*Match funding from General Fund? Yes No If Yes \$ %

*Match funding from other sources? Yes No If Yes \$ %

*Funding Source:

*If Federal funds are received, is funding coming directly from the Federal government or passed through other organization(s)?
Funds are coming directly from the Federal Government.

Contact: Star Romero

Department: Pima County Attorney's Office

Telephone: 724-6000

Department Director Signature: Arika Wells Date: 02-11-2022

Deputy County Administrator Signature: Date:

County Administrator Signature: Date: 2/11/2022



<p>Recipient Information</p> <p>1. Recipient Name PIMA COUNTY 32 N STONE AVE STE 1400 TUCSON, AZ 85701</p> <p>2. Congressional District of Recipient 03</p> <p>3. Payment System Identifier (ID) 1866000543B5</p> <p>4. Employer Identification Number (EIN) 866000543</p> <p>5. Data Universal Numbering System (DUNS) 958426967</p> <p>6. Recipient's Unique Entity Identifier FVS1C9XG5748</p> <p>7. Project Director or Principal Investigator Vanessa Helms vanessa.helms@pcao.pima.gov</p> <p>8. Authorized Official Martha Durkin Martha.Durkin@pcao.pima.gov 520-724-5503</p> <p>Federal Agency Information</p> <p>9. Awarding Agency Contact Information Linda Chen Center for Substance Abuse Treatment linda.chen@samhsa.hhs.gov 240-276-0737</p> <p>10. Program Official Contact Information Arnold Crozier Center for Substance Abuse Treatment Arnold.Crozier@samhsa.hhs.gov (240) 276-2909</p>	<p style="text-align: center;">Federal Award Information</p> <p>11. Award Number 6H79TI081045-04M001</p> <p>12. Unique Federal Award Identification Number (FAIN) H79TI081045</p> <p>13. Statutory Authority PHS, Title V, Section 509; 42 U.S.C 290bb-2</p> <p>14. Federal Award Project Title Pima County Problem Solving Courts Initiative</p> <p>15. Assistance Listing Number 93.243</p> <p>16. Assistance Listing Program Title Substance Abuse and Mental Health Services_ Projects of Regional and National Significance</p> <p>17. Award Action Type Amendment</p> <p>18. Is the Award R&D? No</p> <table border="1" style="width:100%; border-collapse: collapse; margin-top: 10px;"> <tr> <th colspan="2" style="text-align: center;">Summary Federal Award Financial Information</th> </tr> <tr> <td colspan="2">19. Budget Period Start Date 09/30/2021 – End Date 09/29/2022</td> </tr> <tr> <td>20. Total Amount of Federal Funds Obligated by this Action</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td> 20a. Direct Cost Amount</td> <td style="text-align: right;">\$279,907</td> </tr> <tr> <td> 20b. Indirect Cost Amount</td> <td style="text-align: right;">\$13,175</td> </tr> <tr> <td>21. Authorized Carryover</td> <td style="text-align: right;">\$293,082</td> </tr> <tr> <td>22. Offset</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td>23. Total Amount of Federal Funds Obligated this budget period</td> <td style="text-align: right;">\$693,082</td> </tr> <tr> <td>24. Total Approved Cost Sharing or Matching, where applicable</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td>25. Total Federal and Non-Federal Approved this Budget Period</td> <td style="text-align: right;">\$693,082</td> </tr> <tr> <td colspan="2"><hr/></td> </tr> <tr> <td colspan="2">26. Project Period Start Date 09/30/2018 – End Date 09/29/2023</td> </tr> <tr> <td>27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period</td> <td style="text-align: right;">\$1,600,000</td> </tr> </table> <p>28. Authorized Treatment of Program Income Additional Costs</p> <p>29. Grants Management Officer - Signature Eileen Bermudez</p>	Summary Federal Award Financial Information		19. Budget Period Start Date 09/30/2021 – End Date 09/29/2022		20. Total Amount of Federal Funds Obligated by this Action	\$0	20a. Direct Cost Amount	\$279,907	20b. Indirect Cost Amount	\$13,175	21. Authorized Carryover	\$293,082	22. Offset	\$0	23. Total Amount of Federal Funds Obligated this budget period	\$693,082	24. Total Approved Cost Sharing or Matching, where applicable	\$0	25. Total Federal and Non-Federal Approved this Budget Period	\$693,082	<hr/>		26. Project Period Start Date 09/30/2018 – End Date 09/29/2023		27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period	\$1,600,000
Summary Federal Award Financial Information																											
19. Budget Period Start Date 09/30/2021 – End Date 09/29/2022																											
20. Total Amount of Federal Funds Obligated by this Action	\$0																										
20a. Direct Cost Amount	\$279,907																										
20b. Indirect Cost Amount	\$13,175																										
21. Authorized Carryover	\$293,082																										
22. Offset	\$0																										
23. Total Amount of Federal Funds Obligated this budget period	\$693,082																										
24. Total Approved Cost Sharing or Matching, where applicable	\$0																										
25. Total Federal and Non-Federal Approved this Budget Period	\$693,082																										
<hr/>																											
26. Project Period Start Date 09/30/2018 – End Date 09/29/2023																											
27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period	\$1,600,000																										
<p>30. Remarks Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.</p>																											



SAMHSA Treatment Drug Courts
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration

Notice of Award

Issue Date: 01/25/2022

Center for Substance Abuse Treatment

Award Number: 6H79TI081045-04M001

FAIN: H79TI081045

Program Director: Vanessa Helms

Project Title: Pima County Problem Solving Courts Initiative

Organization Name: PIMA COUNTY

Authorized Official: Martha Durkin

Authorized Official e-mail address: Martha.Durkin@pcao.pima.gov

Budget Period: 09/30/2021 – 09/29/2022

Project Period: 09/30/2018 – 09/29/2023

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$0 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to PIMA COUNTY in support of the above referenced project. This award is pursuant to the authority of PHS, Title V, Section 509; 42 U.S.C 290bb-2 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

This award addresses the following Amendment requests:

- Carryover Request (6H79TI081045-04L001)

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,
Eileen Bermudez
Grants Management Officer
Division of Grants Management

See additional information below

SECTION I – AWARD DATA – 6H79TI081045-04M001

Award Calculation (U.S. Dollars)

Travel	\$39,886
Contractual	\$469,578
Other	\$146,518
Direct Cost	\$655,982
Indirect Cost	\$37,100
Approved Budget	\$693,082
Federal Share	\$693,082
Less Unobligated Balance	\$293,082
Cumulative Prior Awards for this Budget Period	\$400,000
AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$0

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
4	\$400,000

Note: Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number: 93.243
 EIN: 1866000543B5
 Document Number: 18TI81045A
 Fiscal Year: 2021

IC	CAN	Amount
TI	C96N306	\$0

IC	CAN	2021
TI	C96N306	\$0

TI Administrative Data:

PCC: DC-AD18 / OC: 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 6H79TI081045-04M001

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 6H79TI081045-04M001

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

Use of program income – Additive: Recipients will add program income to funds committed to the project to further eligible project objectives. Sub-recipients that are for-profit commercial organizations under the same award must use the deductive alternative and reduce their subaward by the amount of program income earned.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

SECTION IV – TI SPECIAL TERMS AND CONDITIONS – 6H79TI081045-04M001

REMARKS

Post Award Amendment - Carryover

This award approves carryover of an unobligated balance of federal funds (UOB) in the amount of \$293,082 from Yr. 3 funds to Yr. 4 funds **per recipient's** original post-award amendment request submitted 12/28/2021.

Carryover Funds Remaining at the End of the Budget Period: Allowable costs approved under this carryover must be **expended** or **obligated** before the budget period ends. Carryover funds not expended before the budget period ends must be reported as a UOB in the Federal Financial Report (FFR / SF-425).

If the final resolution of the audit covering the above stated budget period determines that the UOB is incorrect, SAMHSA will not make additional funds available to cover any shortfall. This is a post-award amendment; therefore, this NoA reflects the current budget period only.

STANDARD TERMS OF AWARD:

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

Staff Contacts:

Arnold Crozier, Program Official

Phone: (240) 276-2909 **Email:** Arnold.Crozier@samhsa.hhs.gov

Linda Chen, Grants Specialist

Phone: 240-276-0737 **Email:** linda.chen@samhsa.hhs.gov