

Pima County Clerk of the Board

Julie Castañeda

Melissa Manriquez
Deputy Clerk

Administration Division
130 W. Congress, 5th Floor
Tucson, AZ 85701
Phone: (520) 724-8449 • Fax: (520) 222-0448

Document and Micrographics Mgt. Division
1640 East Benson Highway
Tucson, Arizona 85714
Phone: (520) 351-8454 • Fax: (520) 791-6666

September 25, 2017

Robert Coleman Sprouse
Giant Store No. 642
1250 W. Washington Street No. 101
Tempe, AZ 85281

RE: Application for Agent Change/Acquisition of Control/Restructure
Arizona Liquor License No.: 10103737
Giant Store No. 642

Dear Mr. Sprouse:

Notice is hereby given that the Pima County Board of Supervisors will hold a hearing in reference to the above application. Please be advised that the hearing has been scheduled for Tuesday, October 17, 2017, at 9:00 a.m. or thereafter, to be held at the following location:

Pima County Administration Building
Board of Supervisors Hearing Room
130 West Congress, 1st Floor
Tucson, Arizona 85701

If you have any questions pertaining to this hearing, please contact this office at (520)724-8449.

Sincerely,

A handwritten signature in black ink, appearing to read "Castañeda", is written over a horizontal line.

Julie Castañeda
Clerk of the Board



Pima County Clerk of the Board

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TO: Pima County Sheriff's Department
Investigative Support Unit

FROM: Alina Barcenas *AP3*
Administrative Support Specialist Senior

DATE: September 15, 2017

RE: Sheriff's Report - Application for Agent Change/Acquisition of Control/
Restructure

Attached is the application of:

Robert Coleman Sprouse
d.b.a. Giant Store No. 642
11200 S. Sierrita Mountain Road
Tucson, AZ 85736

Arizona Liquor License No. 10103737

SHERIFF'S REPORT

DATE: 09/23/17

Is there any reason this application should not be recommended for approval?

NOTHING NOTED

[Signature]
Investigative Support Unit Supervisor

When completed, please return to cob_mail@pima.gov.

SEP 25 17 AM 08:01 PCC CLK (F. BD)

AP3



17-17-0097
State of Arizona
Department of Liquor Licenses and Control
800 W. Washington 5th Floor
Phoenix, AZ 85007
(602) 542-5141

DLLC USE ONLY
Date Processed: 9/8/17
CSR: W
60th Day: 11/7/17

17 SEP 5 11:41 AM '17

APPLICATION FOR AGENT CHANGE - ACQUISITION OF CONTROL - RESTRUCTURE

NOTE: 1) The fee for an agent change MUST be submitted with this application: \$100.00 for the first application and \$50.00 for each additional application, not to exceed \$1,000.00. (A.R.S. 4-209.H) NOTE 2) the \$100.00 fee for restructure/acquisition of control MUST be submitted with this application. (A.R.S. 4-209.A)

SECTION 1

Check the appropriate boxes

<input type="checkbox"/> Agent Change Complete Sections 1,2,3,4,5 & 7	<input type="checkbox"/> Acquisition of Control Complete Sections 1,2, 3 & 7	<input type="checkbox"/> Restructure Complete Sections 1,2,3,6 & 7
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SECTION 2 (COMPLETE THIS SECTION FOR AGENT CHANGE, ACQUISITION OF CONTROL OR RESTRUCTURE)

- Name: Spouse Robert Coleman 10103737
(EXISTING AGENT OR NEW AGENT) Last First Middle Liquor License #
- Owner Name: _____ Corp File #: _____
(Exactly as it appears on Liquor License) (If applicable)
- Business Name: _____ Email: _____
(Exactly as it appears on Liquor License)
- Business Location Address: _____
(Do not use P.O. Box Number) City COUNTY Zip
- Is the Business located within the incorporated limits of the above City or Town? ☐ Yes ☒ No
- Does the Business location address have a street address for a City or Town but is actually in the boundaries of another City, Town or Tribal Reservation? ☐ Yes ☐ No If Yes, what City, Town or Tribal Reservation is this Business located in: _____
- Mailing Address: _____
City State Zip
- Business Phone: _____ Daytime Contact Phone 602-286-1922
- Does this transaction involve the sale of any portion of the percentage of ownership or corporate stock? ☐ Yes ☐ No If yes, submit a certified copy of minutes.
- Has there been any change of Controlling Persons? ☐ Yes ☐ No if yes, submit a copy of the minutes, amended articles of organization and/or amended operating agreement showing change

SECTION 3 (COMPLETE THIS SECTION FOR AGENT CHANGE, ACQUISITION OF CONTROL OR RESTRUCTURE)

Each new person listed in section III must submit a questionnaire (form LIC0101) and a Department approved change of control card which may be obtained at the Department of Liquor. A Controlling Person already disclosed to the Department is not required to submit a questionnaire.

AMENDMENT

New	Last	First	Middle	Title	Address	City	State	Zip
<input type="checkbox"/>								
<input type="checkbox"/>								
<input type="checkbox"/>								
<input type="checkbox"/>								

(ATTACH ADDITIONAL SHEET(S) IF NECESSARY)

New	Last	First	Middle	% Owned	Address	City	State	Zip
<input type="checkbox"/>								
<input type="checkbox"/>								
<input type="checkbox"/>								
<input type="checkbox"/>								

(ATTACH ADDITIONAL SHEET(S) IF NECESSARY)

If the ownership is owned by another entity, ATTACH AN OWNERSHIP FLOWCHART SHOWING THE OFFICERS, MEMBERS, CONTROLLING PERSON AND 10% OR MORE OWNERS FOR THE ENTITIES. Attach additional sheets as necessary in order to disclose all persons.

SEP 14 17 PM 11:44 PC CLK OF HD



State of Arizona
Department of Liquor Licenses and Control
800 W. Washington 5th Floor
Phoenix, AZ 85007
(602) 542-5141

17 AUG 31 Lir. Dept PM 3:17

DLIC USE ONLY

Date Processed:	9/8/17
CSR:	W
60 th Day:	11/7/17

APPLICATION FOR AGENT CHANGE - ACQUISITION OF CONTROL - RESTRUCTURE

NOTE: 1) The fee for an agent change MUST be submitted with this application: \$100.00 for the first application and \$50.00 for each additional application, not to exceed \$1,000.00. (A.R.S. 4-209.H) NOTE 2) the \$100.00 fee for restructure/acquisition of control MUST be submitted with this application. (A.R.S. 4-209.A)

SECTION 1

Check the appropriate boxes

<input type="checkbox"/> Agent Change Complete Sections 1,2,3,4,5 & 7	<input checked="" type="checkbox"/> Acquisition of Control Complete Sections 1,2, 3 & 7	<input type="checkbox"/> Restructure Complete Sections 1,2,3,6 & 7
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SECTION 2

(COMPLETE THIS SECTION FOR AGENT CHANGE, ACQUISITION OF CONTROL OR RESTRUCTURE)

- Name: Sprouse Robert Coleman 10103737
(EXISTING AGENT OR NEW AGENT) Last First Middle Liquor License #
- Owner Name: Western Refining Retail LLC Corp File #: R1954747-6
(Exactly as it appears on Liquor License) (If applicable)
- Business Name: Giant Store #642 Email: NONE
(Exactly as it appears on Liquor License)
- Business Location Address: 11200 S. Sierrita Mountain Rd, Tucson, Pima County, AZ 85736
(Do not use P.O. Box Number) City COUNTY Zip
- Is the Business located within the incorporated limits of the above City or Town? ☒ Yes ☐ No
- Does the Business location address have a street address for a City or Town but is actually in the boundaries of another City, Town or Tribal Reservation? ☐ Yes ☒ No If Yes, what City, Town or Tribal Reservation is this Business located in: N/A
- Mailing Address: 1250 W. Washington Street, #101, Tempe, AZ 85281
City State Zip
- Business Phone: 520-432-5861 Daytime Contact Phone 520-432-5861
- Does this transaction involve the sale of any portion of the percentage of ownership or corporate stock? ☒ Yes ☐ No If yes, submit a certified copy of minutes.
- Has there been any change of Controlling Persons? ☒ Yes ☐ No If yes, submit a copy of the minutes, amended articles of organization and/or amended operating agreement showing change

SECTION 3

(COMPLETE THIS SECTION FOR AGENT CHANGE, ACQUISITION OF CONTROL OR RESTRUCTURE)

Each new person listed in section III must submit a questionnaire (form LIC0101) and a Department approved fingerprint card which may be obtained at the Department of Liquor. A Controlling Person already disclosed to the Department is not required to submit a questionnaire.

1. List all Controlling Persons to be disclosed, current and new.

New	Last	First	Middle	Title	Address	City	State	Zip
<input checked="" type="checkbox"/>	Duffy, Carina, Eckard			Dir/Pres	212 Fleetwood Drive, San Antonio, TX 78232			
<input checked="" type="checkbox"/>	Meltzer, Brooks, Alan			Dir/Secy	13 Camden Oaks, San Antonio, TX 78248			
<input checked="" type="checkbox"/>	Wilkerson, Alan, Ray			Dir/VP	14 Stone Hill Court, San Antonio, TX 78258			
<input checked="" type="checkbox"/>	Whittington, Derek, Lawson			Treasurer	2034 Oak Dew, San Antonio, TX 78232			

(ATTACH ADDITIONAL SHEET(S) IF NECESSARY) SEE ATTACHED

2. List stockholders, percentage owners and/or Controlling Members owning 10% or more

New	Last	First	Middle	% Owned	Address	City	State	Zip
<input type="checkbox"/>	Western Refining Southwest, Inc.			100%	1250 W. Washington Street, #101, Tempe, AZ 85281			
<input type="checkbox"/>								
<input type="checkbox"/>								
<input type="checkbox"/>								

(ATTACH ADDITIONAL SHEET(S) IF NECESSARY) SEE ATTACHED

If the ownership is owned by another entity, ATTACH AN OWNERSHIP FLOWCHART SHOWING THE OFFICERS, MEMBERS, CONTROLLING PERSON AND 10% OR MORE OWNERS FOR THE ENTITIES. Attach additional sheets as necessary in order to disclose all persons.

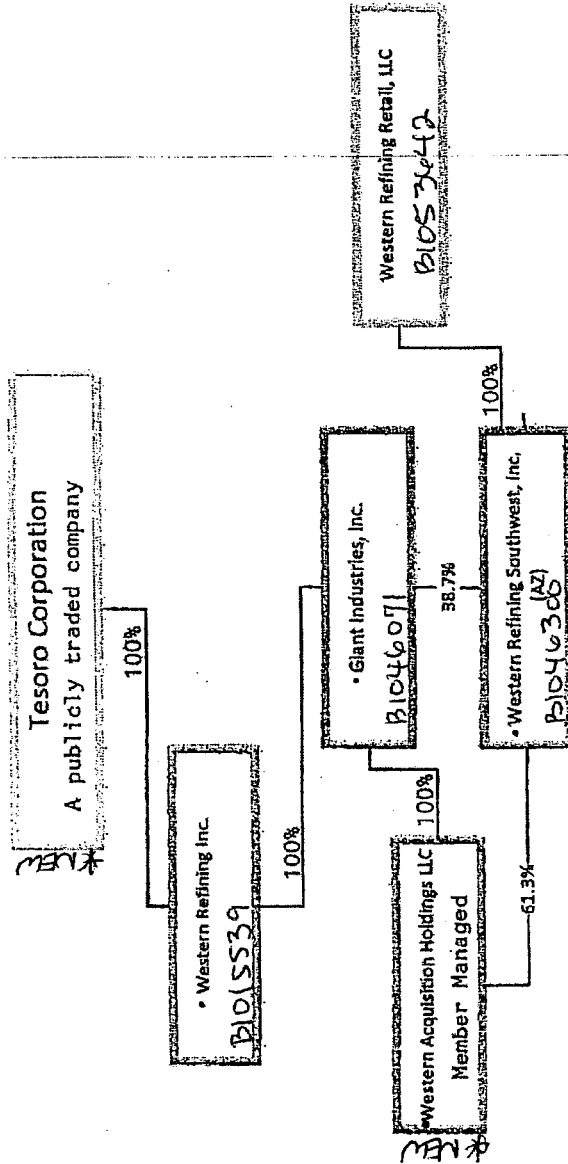
WESTERN REFINING RETAIL LLC

Attachment for Section 3

Additional Officers/Directors:

NEW Last, First, Middle Title Address

X	Sherburne, John, Raymond	Assistant Secretary	13 Camden Oaks, San Antonio, TX 78248
X	Yoder, Matthew, Levi	Sr Vice President	11627 S. Blackfoot Dr., Phoenix, AZ 85044
	Sprouse, Robert, Coleman	VP/Agent	10383 E. Verbena Ln, Scottsdale, AZ 85255



The officers of all entities outlined in purple include the following individuals:

- Carina E. Duffy - Vice President
- Matthew L. Yoder - Senior Vice President
- Alan Wilkerson - Vice President
- Robert Sprouse - Vice President
- Derek L. Whittington - Asst. Treasurer
- John R. Sherburne - Asst. Secretary

17 SEP 8 11:47 AM '24

WESTERN REFINING RETAIL, LLC


CERTIFICATE OF SECRETARY

I, Brooks A. Meltzer, do hereby certify that I am the duly elected and acting Secretary of Western Refining Retail, LLC a Delaware limited liability company (the "Company"), and I hereby certify to the following matter:

- That the following persons are elected officers to serve in those offices set forth opposite their respective names, in accordance with the Governing Document of the Company and until their successor shall have been duly elected and qualified or until their earlier resignation or removal:

NAME	TITLE
Carina E. Duffy NEW	President
Derek L. Whittington NEW	Treasurer
Alan Wilkerson NEW	Vice President
Robert C. Sprouse	Vice President
Matthew L. Yoder NEW	Senior Vice President
Brooks A. Meltzer NEW	Secretary
John R. Sherburne NEW	Assistant Secretary

IN WITNESS WHEREOF, I hereunto set my hand this 2nd of June, 2017.


 Brooks A. Meltzer
 Secretary of Western Refining Retail, LLC

SECTION 4**(COMPLETE THIS SECTION FOR AGENT CHANGE)**

1. As an Agent, will you be physically present and operating the licensed premise? ☐ Yes ☐ No

If you answered YES, you must provide a copy of your Basic and Management Training Certificate obtained from a Department approved Liquor Law training provider **BEFORE YOUR APPLICATION FOR AGENT ACQUISITION OF CONTROL OR RESTRUCTURE CAN BE SUBMITTED.** If you answered NO, go to question 2.

2. Is there a current Manager at this license premises disclosed to the Department with the current Basic and Management Training Certificate? ☐ Yes ☐ No

If yes, Name of current Manager: _____

Basic Training

☐ Yes ☐ No

Management Training

☐ Yes ☐ No

If "NO" for 1 and 2, a Manager with a current Basic and Management Training Certificate obtained from a Department approved Liquor Law training provider must be submitted within 30 days after filing the application for Agent Change, Acquisition of Control or Restructure.

SECTION 5**(COMPLETE THIS SECTION FOR AGENT CHANGE)**

To be completed by the INDIVIDUAL OR EXISTING AGENT OR CORPORATE OFFICER OR L.L.C. CONTROLLING MEMBER:

1. License # _____

2. Current Agent Name: _____
(Exactly as it appears on license) Last First Middle

I, (Print full name) _____, hereby consent to the appointment of Agent for this license. I agree to immediately assign a new Agent in the event that I am unable to discharge the duties of Agent for this license. I have not been convicted of a felony in the last five (5) years.

X _____
(Controlling Person/Existing Agent)

State of _____ County of _____
The foregoing instrument was acknowledged before me this

My commission expires on: _____

_____ of _____
Day Month Year

Signature of NOTARY PUBLIC

SECTION 6**(COMPLETE THIS SECTION FOR RESTRUCTURE)**

Is there more than one licensed premises involved? ☐ YES ☐ NO

If YES, **SEPARATE APPLICATIONS** must be filed and fees paid for each license/location.

Type of current ownership:

Type of new ownership:

- ☐ J.T.W.R.O.S.
☐ INDIVIDUAL
☐ PARTNERSHIP
☐ CORPORATION
☐ LIMITED LIABILITY CO.
☐ MANAGEMENT CO.
☐ TRIBE
☐ TRUST
☐ OTHER (Explain) _____

- ☐ J.T.W.R.O.S.
☐ INDIVIDUAL
☐ PARTNERSHIP
☐ CORPORATION
☐ LIMITED LIABILITY CO.
☐ MANAGEMENT CO.
☐ TRIBE
☐ TRUST
☐ OTHER (Explain) _____

SECTION 7**(COMPLETE THIS SECTION FOR AGENT CHANGE, ACQUISITION OF CONTROL OR RESTRUCTURE)**

To be completed by Controlling Person or existing Agent (if no agent changes) **OR NEW** Agent if applying for Agent change as listed in Section 2 Question 1.

I, (Print full name) **Carina Eckard Duffy**, hereby declare that I am the APPLICANT filing this application. I have read the application and the contents and all statements are true, correct and complete.

X **Carina Eckard Duffy**
(Controlling Person/Existing Agent)

State of **Texas** County of **Bexar**
The foregoing instrument was acknowledged before me this

My commission expires on: **06.05.19** Day of **July** Month **2017** Year

John W. Glass
Signature of NOTARY PUBLIC



17 AUG 31 Ltr. Dept PM 2 18

SIEGEL & MOSES PC

ATTORNEYS AT LAW

8700 WEST BRYN MAWR AVENUE • SUITE 720N

CHICAGO, ILLINOIS 60631

TELEPHONE (312) 658-2000

WWW.SMLAW.ORG

JENNIFER G. GALLERY
JENNIFER@SMLAW.ORG

August 29, 2017

Ms. Debbie Wunderly
Arizona Department of Liquor Licenses & Control
800 W. Washington St 5th Floor
Phoenix, AZ 85007

**Re: Acquisition of Control Applications for
Robert Sprouse / Western Refining Retail LLC
DBA Giant Stores - 85 Arizona Locations**

Dear Ms. Wunderly:

In furtherance of our email communications in the beginning of June, please recall that I represent Western Refining Retail, LLC with regard to its regulatory licensing. We discussed the change of officers and control that took place with the licensed entity in connection with a company merger. Per your instruction, I have enclosed 85 Applications for Acquisition of Control for each licensed location in Arizona and supporting materials for same.

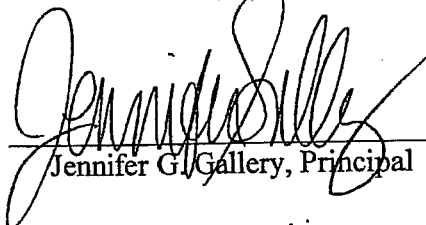
Please note there are six (6) new officers and their Questionnaires and fingerprint cards are enclosed. Please note that Mr. Sprouse is the existing Agent and he is also an officer, and he is not being removed. In addition to the officer change, the ultimate ownership of the licensed entity is now Tesoro Corporation, a publicly traded company. For your convenience, a current organizational chart is also enclosed.

Please process the enclosed and contact me if you require anything further for these filings. Thank you for your assistance with this matter.

Very truly yours,

SIEGEL & MOSES, P.C.

By:


Jennifer G. Gallery, Principal

JGG/eka
Enclosures