



## BOARD OF SUPERVISORS AGENDA ITEM REPORT

Requested Board Meeting Date: 6/7/2022

*\*= Mandatory, information must be provided*

Click or tap the boxes to enter text. If not applicable, indicate "N/A".

**\*Title:**

Pima County Resolution to Approve Submission of the 2022-2023 Annual Action Plan to the U.S. Department of Housing and Urban Development

**\*Introduction/Background:**

Each year Pima County is required by the U.S. Department of Housing and Urban Development (HUD) to update its Five Year 2020-2024 Consolidated Plan and develop an Annual Action Plan (AAP) for each program year. The AAP outlines the needs, priorities and strategies for housing, social services, and community development programs for Pima County. The Plan also establishes policies and goals for the County, in addition to, serving as Pima County's application for HUD annual entitlement funding. The projected funding levels contained in this FY 2022-2023 Annual Action Plan are contingent on final federal approval.

**\*Discussion:**

For FY 2022-2023, Pima County anticipates \$2,746,895 in Community Development Block Grant (CDBG) funds. All CDBG projects must meet the HUD National Objective to assist low- and moderate-income persons. Other HUD annual entitlement/ formula grant programs detailed in FY 2022-2023 Annual Action Plan include the Emergency Solutions Grant (ESG) at \$252,200 to address homelessness, and the City of Tucson/Pima County Consortium HOME Investment Partnership Program (HOME) funds at \$3,841,568 (City of Tucson at \$2,703,521 and Pima County at \$1,138,047) to promote affordable housing initiatives. Actual funding levels are subject to change pending congressional actions.

**\*Conclusion:**

In order to secure HUD formula funding for the 2022-2023 program year, the Department of Community & Workforce Development (CWD) conducts a year long planning process in preparation of this Annual Action Plan which describes proposed projects and activities utilizing CDBG and ESG funds. HOME funds are allocated via a separate open and continuous application process.

**\*Recommendation:**

Staff recommends Board approval of the FY 2022-2023 Pima County Annual Action Plan, including funding allocations for CDBG and ESG program activities. CWD shall be directed to submit the Board approved Annual Action Plan to HUD. The Chair of the Board of Supervisors ("Chair") is authorized to execute all necessary HUD agreements and other certifying documents pertaining to CDBG, ESG and HOME projects and activities identified in the plan. Finally, Chair is authorized to execute all subrecipient agreements between agencies and the County for respective CDBG, ESG, and HOME funded projects and activities identified in the FY 2022-2023 Pima County Annual Action Plan.

**\*Fiscal Impact:**

Once approved, it is estimated \$4,137,142 in federal investment will be made available for eligible projects and activities that provide direct community and public benefit for Pima County residents. Typically the HUD CDBG, ESG and HOME funds are directly leveraged 2 to 1 by other local, state and federal resources.

gmt approved  
(24)  
5/20/22

7/14/2021

\*Board of Supervisors District:

- 1     2     3     4     5     All

Department: CWD

Contact: Joel Gastelum

Telephone: 724-6750

Department Director Signature: David P. [Signature]

Date: 5/18/22

Deputy County Administrator Signature: [Signature]

Date: 23 May 2022

County Administrator Signature: [Signature]

Date: 5/24/22



Date: May 23, 2022

To: Jan Leshar, County Administrator      From: Dan Sullivan, Director *DS*  
Jen Darland, Deputy Director *JD*  
Joel Gastelum, Division Manager *JG*

Re: **Board of Supervisors Regular Agenda Item, June 7, 2022; Pima County Fiscal Year (FY) 2022–2023 HUD Annual Action Plan**

**Summary**

As a Designated Entitlement Jurisdiction, Pima County receives an annual funding allocation of Community Development Block Grants (CDBG) and Emergency Solutions Grants (ESG) from the U.S. Department of Housing and Urban Development (HUD). To receive this funding, Pima County must submit an Annual Action Plan (AAP) for HUD approval each year.

CDBG funds may be used for a variety of activities that meet the needs of low and moderate-income residents and neighborhoods. Eligible activities include public services, and capital improvements such as infrastructure, facilities and housing. Many activities target vulnerable populations, such as people experiencing homelessness and people with disabilities. Each activity must meet a CDBG national objective.

ESG program provides funding to: 1) engage homeless individuals and families living on the street; 2) improve the number of quality emergency shelters; 3) help operate shelters; 4) provide essential services to shelter residents; 5) rapidly re-house individuals and families experiencing homelessness; and 6) prevent families and individuals from becoming homeless.

Attached is a resolution that, if approved by the Board of Supervisors, will authorize Pima County to submit its AAP for FY 2022–2023 to HUD. The AAP is required by HUD and serves as the formal application for the use of CDBG and ESG program funds. The AAP describes planned projects and funded activities for the 2022 program year, in addition to detailing their relationships respective to Pima County's five-year priorities, goals and objectives identified in its 2020-2024 HUD Consolidated Plan. The Consolidated Plan, implemented through each AAP, provides the regulatory framework for funding priorities for community activities.

**HUD Funding**

Pending final congressional budget approval, Pima County's estimated HUD allocation for FY 2022-2023 is \$4,137,142, allotted across two programs: CDBG at \$2,746,895 and ESG at \$252,200.

Five years of CDBG Funding Administered by CWD	
FY 2018-2019	\$2,903,833
FY 2019-2020	\$2,945,844
FY 2020-2021	\$2,971,153
FY 2021-2022	\$3,000,218
FY 2022-2023	\$2,746,895

In order to prepare for HUD funding allocation, Community Planning Applications for FY 2022-2023 were due January 31, 2022. Community & Workforce Development (CWD) received seventy proposals totaling \$5,478,940. CWD recommends funding projects to expend its entire allocations, for a total of \$4,137,142. Once the County receives the HUD allocation it will make proportionate adjustments based on the increased or decreased allocation.

The Board of Supervisors will consider the AAP on June 7, 2022, with a final Board-approved AAP due to HUD no later than July 11, 2022. A summary of this year’s activities are below.

**Citizen Participation Plan**

The Citizen Participation process is an annual planning undertaking that coincides with the federal fiscal year and is a critical first step as it allows CWD staff to work directly with agencies and community stakeholders throughout Pima County to discuss issues and emerging needs. Staff begin meeting with community and stakeholder September through December. As in prior years, CWD staff announced the FY 2022-2023 application via public notice, email lists, on the CWD website, via social media, and at public health and humans service development meetings facilitated by CWD staff, as well as worked with City of Tucson Department of Housing staff to coordinate efforts, whenever reasonable.

Outreach and planning activities were scheduled in each of the districts, with community groups, non-profit agencies, and other organizations and interested parties and convened both public and individual meetings. Simultaneously, staff prepared an online Community Planning Application using a cloud-based application called ZoomGrants. CWD staff held two formal technical training sessions to introduce and outline the online application and the process for submission. During the public meetings and technical assistance workshops, staff reviewed estimated funding, rules and priorities with agency staff. Staff also offered agencies and/or community stakeholders one-on-one or group sessions as needed or requested.

Due to pandemic restrictions, the majority of public meetings and technical assistance workshops scheduled during October 2021 through December 2021 were virtual. In total, staff conducted nineteen technical assistance workshops and individual agency sessions, twelve virtual and seven in-person.

<b>CDBG / ESG Community Participation Meeting Schedule FY 2022-2023</b>	
<b>Month</b>	<b>Agency</b>
September 2021	Watershed Management Group SER Jobs For Progress
October 2021	Robles Ranch Sahuarita Food Bank Town of Marana Town of Sahuarita/Better Together Committee Pima County Health Department Racial and Ethnic Approaches to Community Health (REACH) Program Amado Community Alliance Flowing Wells – Coalition Meeting Santa Cruz Watershed Collaborative (SCWC) One Water Working Group City of South Tucson <b><i>CDBG/ZoomGrants Technical Assistance –All Agencies</i></b>
November 2021	Ajo Community Market Arivaca – Technical Assistance Session Ajo Center for Sustainable Agriculture City of South Tucson NRSA Meeting International Sonoran Desert Alliance City of South Tucson <b><i>CDBG/ZoomGrants Technical Assistance – All Agencies</i></b>

**Funding Priorities and Recommendations**

Annually, CWD staff conduct a two-part application review in February and March. First, staff review the applicants and essential proposal information for eligibility. During this phase, staff contact organizations with questions to address any errors or incomplete or missing information. Once initial review is complete, the full application opens for thirty days to all eligible proposals that passed through the first phase. After final applications were checked for accuracy and completeness, they are presented to a review committee comprised of community partners, homeless advocates and subject matter experts make annual funding recommendations.

**FY2022-2023 CDBG & ESG REVIEW COMMITTEE MEMBERS**

CDBG

Joel Gastelum, Community & Workforce Development, Community Development – *Staff*  
Joel Viers, Community & Workforce Development, Community Development – *Staff*  
Yvette Gonzales, Community & Workforce Development, Homeless Services  
Melanie Puig, Grants Management & Innovation  
Rebecca Lee, Grants Management & Innovation  
Lorenzo Gonzalez, Community Member

ESG

Yvette Gonzales, Community & Workforce Development Department – *Staff*  
Cheryl Lopez, Homeless Management Information System (HMIS) – *Staff*  
Jason Thorpe, City of Tucson, Housing & Community Development  
Jodie Barnes, Community Member  
Philip Pierce, Community Member

The committee discussed applicant expertise, capacity, the merits and potential impacts of each proposal, working to balance an always over-subscribed demand with anticipated resources.

Once the committee's assessment is complete, CWD management and the County Administrator's Office review recommendations before forwarding these to the Board for approval. CWD staff also reach out to every Board of Supervisor office to review recommendations and address any questions or concerns. Thus far, staff have met with districts 1, 3, and 4 with meetings scheduled for districts 2 and 5 the week of May 24, 2022.

CDBG

Against an estimated FY 2022–2023 allocation of \$2,746,895, Pima County received fifty-seven requests totaling \$5,008,160. CWD subsequently made CDBG funding recommendations for forty-two projects to expend the estimated annual allocation. As in prior cycles, staff considered the following factors at each level of review:

- Due diligence review of new applicants.
- Compliance and consistency with federal laws and regulations.
- Degree to which project benefits very low- to moderate-income residents.
- Urgency of community need and community support, addressed by activity.
- Cost, feasibility, and potential benefit of program or project.
- Ability to leverage other resources.
- Applicant budget analysis including previous funding and timely expenditure.
- Applicant capacity and experience with federal funds and program requirements.
- Agency risk assessment, including single-audit or chart of accounts review.

## **ESG**

For FY 2022-2023, Pima County received thirteen ESG applications totaling \$470,780—\$218,580 above the estimated allocation—with the Committee recommending eight programs. The same approach is taken at each level of review with ESG programs as reviewed with CDBG, with added attention given to Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act requirements related to ESG-funded services for individuals at-risk of or experiencing street homelessness, such as street outreach, emergency shelter, rapid rehousing and homeless prevention. One such deviation between CDBG and ESG is all ESG project data must be maintained in the Homeless Management Information System (HMIS) or comparable system, and individuals receiving ESG-funded services must be entered into or referred out through the local Coordinated Entry system, which is guided by the local Continuum of Care (CoC). Further, the local CoC determines the community prioritization related to how individuals at-risk of or actively experiencing homelessness are accessed and/or referred to for ESG-funded services and programming, as well as set the performance standards for ESG projects.

In addition to the first review for programming eligibility, staff and subject matter experts took into consideration the following local needs and measures for this year's recommendations:

- Current community bed inventory for low-barrier shelter
- Applications proposing similar programs underway with County or City ESG-CV (CARES) funding
- FY 2021-2022 performance to-date, number of households served, pace of spending, if previously funded

## **Public Process and Approvals**

In addition to the Citizen Participation Plan and a prioritized recommendation process, a formal public input process is conducted by way of publication of a public notice with the Daily Territorial and the CWD webpage. The draft AAP and proposed activities were posted online April 1, 2022, for a required thirty-day comment period prior to Board consideration. A public notice opens this comment period. To date, there have been no public comments on the FY 2022-2023 AAP.

On June 7, 2022, the Board of Supervisors will review the draft FY 2022–2023 AAP. Once the Board approves the AAP, it is submitted to HUD for review and authorization. Once HUD approves the AAP, the County Administrator executes an Intergovernmental Agreement (IGA), typically in August, to encumber awarded funds. CWD staff will coordinate award letters with Board staff, with funds available to subrecipients in their respective Districts beginning in October. Agencies not funded this round are offered a comprehensive application review and technical assistance to support future applications.

The successful administration of CDBG and ESG funds relies on the annual planning and public participation process. The health pandemic has had an impact on many community partners and agencies. The emerging dynamic needs of our community continue to change as the crisis resolves,

making necessary for CWD to improve awareness of federal funding opportunities meant to aid in the community's successful re-stabilization. To that end, CWD is currently developing a formal internal structure of staff and community support to increase and improve community input and participation. With support of the CWD Quality Assurance Unit, outreach efforts to expand subject matter experts for the participation in the application review and recommendation process, as well as formal jurisdictional partnership with the City of Tucson's annual processes.

### **Contracts, Federal Reporting, and Subrecipient Monitoring**

After HUD approves the FY 2022-2023 allocations and the processing of the IGA commences, staff will work with Grants Management & Innovation and the County Attorney's office to begin drafting contracts with agencies, and finalize activities and budgets for each program or project. CWD will conduct two post-award partner trainings to provide programmatic and financial direction to ensure grant compliance. In a continued departmental effort to build agency capacity, staff also provides technical assistance to agencies not recommended for funding in the current cycle.

During this time, staff are required to conduct federal end-of-year reporting activities, monitor agencies, and prepare the Consolidated Annual Performance and Evaluation Report (CAPER) for HUD. The CAPER captures CDBG and ESG accomplishments over the past year. A public process, similar to that for the AAP, guides its development, with the final CAPER delivered to HUD in September of each year. Once the CAPER is submitted, staff will commence with the Citizen Participation Plan for the next federal fiscal year.

CWD staff monitor for issues and routinely offers assistance to agencies and staff. Staff work with the CWD Quality Assurance Unit throughout the year to ensure all policies are in adherence with HUD requirements and that the department policies are updated accordingly. The Quality Assurance Unit has established a monitoring calendar, with monitoring assistance for CDBG and ESG programs and projects scheduled summer 2022.

### **Recommendation**

In accordance with the priorities, goals, and objectives identified in Pima County's Five-Year Consolidated Plan for FY2020-2024, Pima County CWD staff recommend fifty agency applications for an estimated \$4,137,142 in funding for the FY 2022-2023 CDBG and ESG programming to address the implementation of Pima County's affordable housing, homeless, and community development activities.

cc: Francisco García, MD, MPH  
Deputy County Administrator &  
Chief Medical Officer



Attachments:

2020–2024 Consolidated Plan

[https://webcms.pima.gov/UserFiles/Servers/Server\\_6/File/Government/Community%20Development%20and%20Neighborhood%20Conservation/CDNC/03192020/2020-2024%20City%20of%20Tucson%20Pima%20County%20Consolidated%20Plan%20Citizen%20Summary.pdf](https://webcms.pima.gov/UserFiles/Servers/Server_6/File/Government/Community%20Development%20and%20Neighborhood%20Conservation/CDNC/03192020/2020-2024%20City%20of%20Tucson%20Pima%20County%20Consolidated%20Plan%20Citizen%20Summary.pdf)

Pima County FY 2022-2023 Annual Action Plan – Draft

[https://webcms.pima.gov/UserFiles/Servers/Server\\_6/File/Community/CDNC/CDBG/FY22-23%20Annual%20Action%20Plan%20-%20DRAFT.pdf](https://webcms.pima.gov/UserFiles/Servers/Server_6/File/Community/CDNC/CDBG/FY22-23%20Annual%20Action%20Plan%20-%20DRAFT.pdf)

CDBG and ESG Review committee FY 2022-2023

[https://webcms.pima.gov/UserFiles/Servers/Server\\_6/File/Community/CDNC/CDBG/AAP%20Committee%20Review%20List.pdf](https://webcms.pima.gov/UserFiles/Servers/Server_6/File/Community/CDNC/CDBG/AAP%20Committee%20Review%20List.pdf)

RESOLUTION 2022 - \_\_\_\_\_

**RESOLUTION OF THE BOARD OF SUPERVISORS OF PIMA COUNTY TO APPROVE SUBMISSION OF THE PIMA COUNTY 2022-2023 ANNUAL ACTION PLAN TO THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**The Board of Supervisors of Pima County, Arizona finds:**

1. Pima County (“County”), through its Department of Community & Workforce Development (“CWD”), administers several federal and local grant programs to benefit low-income, homeless, and certain special needs individuals in Pima County.
2. County is the recipient of U.S. Department of Housing and Urban Development (“HUD”) grant funds under: Title I of the Housing and Community Development Act of 1974, Public Law 93-383, as amended; Title II of the Cranston-Gonzalez National Affordable Housing Act (“NAHA”); and, Title IV of the McKinney-Vento Homeless Assistance Act (collectively referred to as “the HUD Grants”).
3. HUD will be granting the following estimated amounts in Pima County federal funds for fiscal year (FY) 2022-2023 from the following grant programs:

	<i>Estimated Amounts</i>
Community Development Block Grant (“CDBG”)	\$ 2,746,895.00
HOME Investment Partnerships (“HOME”)	\$ 1,138,047.00*
Emergency Solutions Grant (“ESG”)	\$ 252,200.00

*\*Estimated Amount County will receive from the federal allocation to the City of Tucson*

4. In order to use these funds, the County must prepare and submit to HUD an annual community development and housing funding allocation plan (“Annual Action Plan”).
5. The Annual Action Plan describes allocations and activities that address community needs in Pima County and explains the proposed projects under CDBG, HOME, and ESG for FY 2022-2023. When FY 2022-2023 allocations are received from HUD, amounts allocated to activities and administration will be proportionally increased or decreased based on the increased or decreased allocation.

**NOW, THEREFORE, BE IT RESOLVED, that:**

- A. The Annual Action Plan is approved for FY 2022-2023 funding for:
  - 1. The 2022-2023 CDBG Program,
  - 2. The 2022-2023 HOME Program, and
  - 3. The 2022-2023 ESG Program.
- B. CWD staff is authorized to submit the Annual Action Plan for FY2022-2023 to HUD.
- C. The Chair of the Board of Supervisors ("Chair") is authorized to execute all necessary HUD agreements and other documents to obtain HUD funding for the CDBG, HOME and ESG projects designated in the Annual Action Plan for FY 2022-2023. When required by HUD, the Chair may direct CWD staff to enter the acceptance of the HUD funding electronically.
- D. CWD staff is directed to prepare CDBG, HOME and ESG agreements for distribution of the grant funds with agencies authorized by HUD through its approval and funding of the FY 2022-2023 Annual Action Plan.
- E. The Chair is authorized to execute all agreements between Pima County and the agencies to carry out the CDBG, HOME and ESG projects set forth in the FY 2022-2023 Annual Action Plan approved by HUD.

Passed and adopted, this \_\_\_\_\_ day of \_\_\_\_\_, 2022.

\_\_\_\_\_  
Chair, Pima County Board of Supervisors

ATTEST:

APPROVED AS TO FORM

\_\_\_\_\_  
Clerk of the Board

  
\_\_\_\_\_  
Deputy County Attorney

April 15, 2022

FY 2022/2023 Pima County Community Development Block Grant (CDBG) Program

Location/Applicant	Program	Activity	District	22-23 Request	Recommendation
Ajo Center for Sustainable Agriculture	Seeds of Future	ps	3	\$ 28,000	\$28,000
Ajo - International Sonoran Desert Alliance	Ajo Builds	hsg	3	\$ 50,000	\$50,000
Ajo - International Sonoran Desert Alliance	Ajo Works	ps	3	\$ 20,000	\$20,000
Ajo - International Sonoran Desert Alliance	Butcher to Brewer	pf	3	\$ 76,650	\$55,000
Portable Practical Educational Preparation, Inc.	Amado Teen Project	ps	3	\$ 30,000	\$30,000
Portable Practical Educational Preparation, Inc.	Amado Youth Center	pf	3	\$ 75,000	\$75,000
Arivaca Coordinating Council/Arivaca Human Resources	Arivaca Coordinating Council/Arivaca Human Resources Facility Improvements	pf	3	\$ 46,200	\$50,000
Avra Water Co-op, Inc.	Aging Meter Replacement Program/MXU Install Program	pf	3	\$ 40,000	\$30,000
Avra Water Co-op, Inc.	Line and Road Erosion Repair	pf	3	\$ 30,000	\$0
Drexel Heights Fire District	Family Safety Program	ps	3 & 5	\$ 15,000	\$15,000
Drexel Heights Fire District	Thermal Imaging Cameras	pf	3 & 5	\$ 23,000	\$0
Flowing Wells School District	Flowing Wells Family Resource Center	ps	1 & 3	\$ 37,000	\$37,000
Green Valley Assistance Services dba Valley Assistance Services	SHIM Safety and Health in Motion Fall Prevention	ps	2,3,4	\$ 20,000	\$10,000
Green Valley Assistance Services dba Valley Assistance Services	Infrastructure Improvement: Enclosing Porch for Office use	pf	2,3,4	\$ 44,600	\$45,000
Green Valley Assistance Services dba Valley Assistance Services	Stairs/Upstairs Renovation for Occupancy	pf	2,3,4	\$ 108,000	\$0
Sahuarita Food Bank	Sahuarita Food Bank Facility Improvement Project	pf	2,3,4	\$ 300,000	\$0
Town of Marana	Administration	admin	1 & 3	\$ 20,000	\$13,000
Town of Marana	Colonia-Neighborhood Cleanup	ps	1 & 3	\$ 20,000	\$10,000
Town of Marana	Emergency Home Repair	hsg	1 & 3	\$ 85,000	\$18,500
Town of Marana	Owner-Occupied Housing Rehabilitation	hsg	1 & 3	\$ 85,000	\$18,500
City of South Tucson	Administration	admin	2	\$ 30,000	\$30,000
City of South Tucson	Code Enforcement Program	ps	2	\$ 25,000	\$10,000
City of South Tucson	Community Cleanup & Green Program	ps	2	\$ 10,000	\$5,000
City of South Tucson	Crime Prevention and Education Program	ps	2	\$ 25,000	\$20,000
City of South Tucson	Fire and Rescue Safety Equipment	pf	2	\$ 15,000	\$10,000
City of South Tucson	Youth Programs	ps	2	\$ 120,000	\$100,000
Living Streets Alliance	South Tucson Bicycle Pedestrian Program	ps	2	\$ 30,000	\$20,000
Pima County CWD	Administration	admin	All	\$ 500,000	\$475,000
Pima County CWD	Indirect Cost Recovery Policy	All	All	\$ 250,000	\$182,990
Pima County CWD	Brownfields	bf	All	\$ 30,000	\$0
Pima County CWD	Commercial Façade	pf	All	\$ 50,000	\$0
Pima County CWD	Project Delivery	pf	All	\$ 50,000	\$0
Pima County CWD	Emergency Demolition	demo	All	\$ 65,000	\$45,000
Pima County CWD	Emergency Septic	hsg	All	\$ 100,000	\$50,000
Pima County CWD	Home Repair Program Lead	hsg	All	\$ -	\$0
Pima County CWD	Home Repair Program Lead Relocation	hsg	All	\$ 30,000	\$0
Pima County CWD	Home Repair Program	hsg	All	\$ 1,000,000	\$454,905
Pima County CWD	Safe, Healthy Green	shg	All	\$ 100,000	\$0
Pima County CWD	Safe, Healthy Green- Capacity Building	shg	All	\$ 50,000	\$0
Pima County CWD	Rural Food Pantry Improvement Program	pf	All	\$ 40,000	\$0
Community Home Repair Projects of Arizona	Emergency Home Repair Program	hsg	All	\$ 225,000	\$200,000
DIRECT Center for Independence	Home Access Program	hsg	All	\$ 50,000	\$50,000
Habitat for Humanity Tucson	Habitat Home Repair Owner-Occupied Housing Rehabilitation	hsg	All	\$ 150,000	\$50,000
Interfaith Community Services	ICS Drive Through Food Bank	pf	All	\$ 100,000	\$70,000
Jewish Family & Childrens Services of Southern Arizona	Financial Wellness and Emergency Financial Assistance	ps	All	\$ 70,000	\$35,000
Mobile Meals of Southern Arizona, Inc.	Pump up the Volume: Growing Mobile Meals	ps	All	\$ 50,000	\$20,000
Our Family Services, Inc.	Healthy Food Delivery for Rural Pima County Residents	shg	All	\$ 15,000	\$20,000
Primavera Foundation, Inc.	Reunion House	shg	All	\$ 100,000	\$0
SER Jobs for Progress	Catalina House	shg	All	\$ 100,000	\$0
	SER Facility Improvements	pf	2/All	\$ 64,000	\$64,000

Southwest Fair Housing Council	Fair Housing Enforcement, Education, and Outreach	admin	5/All	\$ 35,000	\$25,000
TMM Family Services, Inc.	TMM- Whole Home Rehabilitation	hsg	All	\$ 65,000	\$50,000
Tucson Clean & Beautiful, Inc.	South Tucson Via Verde Greenway Enhancements	pf	All	\$ 60,500	\$45,000
Watershed Management Group	Enhancing Site sustainability of local shelters	shg	All	\$ 50,000	\$50,000
Watershed Management Group	Green Stormwater Infrastructure for Neighborhood Cleanup	pf	All	\$ 90,000	\$70,000
YWCA of Southern Arizona	Pima County Teen Court	ps	All	\$ 30,000	\$15,000
YWCA of Southern Arizona	Womens Economic Advancement Center- YWorks	ps	2/All	\$ 50,000	\$0
YWCA of Southern Arizona	YWCA of Southern Arizona Community Facility Rehab at House of Neighborly Services	pf	2/All	\$ 130,210	\$70,000
<b>Total</b>				<b>\$ 5,008,160</b>	<b>\$2,746,895</b>

HUD Eligible Activity Abbreviations: admin = Administration; ps = Public Service; pf = Public Facility Improvement; SHG = Safe Healthy Green Shelter Set Aside; infra = Infrastructure Improvement; hsg = Housing Rehabilitation; ed = Economic Development; land = Land Acquisition; demo = Demolition; bf = Brownfields and Clearance.

**FY 2022/2023 Pima County Emergency Solutions Grant (ESG) Program**

ESG Component	Agency	Program	Activity Focus	District	22/23 Request	Comm.Rec
Street Outreach	Our Family Services	Street Outreach	Families, Individuals	ALL	\$ 51,035	\$ -
Emergency Shelter	Emerge!	Emergency Shelter for Victims of Domestic Violence	DV Families	ALL	\$ 60,000	\$ 40,000
Emergency Shelter	Our Family Services	Emergency Shelter	Families, Individuals	ALL	\$ 32,168	\$ -
Emergency Shelter	Primavera Foundation	Casa Paloma	Single Women	ALL	\$ 30,000	\$ 30,000
Emergency Shelter	Primavera Foundation	Family Pathways	Families, Individuals	ALL	\$ 30,000	\$ 30,000
Emergency Shelter	Sister Jose Women's Center	Safety, Stability, Success Program	Single Women	2	\$ 60,000	\$ 40,000
Homeless Prevention	Green Valley Assistance Svs	Valley Assistance Family MAP (Map a Plan)	Families, Individuals	2,4	\$ 45,000	\$ 45,000
Homeless Prevention	Interfaith Community Svs	Homeless Prevention	Families, Individuals	1,4	\$ 30,000	\$ -
Homeless Prevention	Our Family Services	Homeless Prevention	Families, Individuals	ALL	\$ 30,635	\$ 32,520
Rapid Rehousing	Green Valley Assistance Svs	Rapid Rehousing	Families, Individuals	2,4	\$ 15,000	\$ -
Rapid Rehousing	Our Family Services	Rapid Rehousing	Families, Individuals	ALL	\$ 51,035	\$ -
Administration	Pima County		Administration	ALL	\$ 19,007	\$ 17,891
Administration	Pima County	Indirect	Administration	ALL	\$ 16,789	\$ 16,789
<b>TOTAL</b>					<b>\$ 470,780</b>	<b>\$ 252,200</b>

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>	* 4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text" value="B-22-UC-04-0502"/>	5b. Federal Award Identifier: <input type="text"/>	
<b>State Use Only:</b>		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
<b>8. APPLICANT INFORMATION:</b>		
* a. Legal Name: <input type="text" value="Pima County, Arizona"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="86-6000543"/>	* c. UEI: <input type="text" value="EB6GYJJCZD48"/>	
<b>d. Address:</b>		
* Street1: <input type="text" value="2797 East Ajo Way"/>	Street2: <input type="text"/>	
* City: <input type="text" value="Tucson"/>	County/Parish: <input type="text"/>	
* State: <input type="text" value="AZ: Arizona"/>	Province: <input type="text"/>	
* Country: <input type="text" value="USA: UNITED STATES"/>	* Zip / Postal Code: <input type="text" value="85713-6223"/>	
<b>e. Organizational Unit:</b>		
Department Name: <input type="text" value="CWD"/>	Division Name: <input type="text" value="Community Development"/>	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Joel"/>	
Middle Name: <input type="text"/>	* Last Name: <input type="text" value="Gastelum"/>	
Suffix: <input type="text"/>	Title: <input type="text" value="Division Manager"/>	
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text" value="520-724-6750"/>	Fax Number: <input type="text" value="520-724-7700"/>	
* Email: <input type="text" value="joel.gastelum@pima.gov"/>		

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

US Department of Housing and Urban Development (HUD)

**11. Catalog of Federal Domestic Assistance Number:**

14.218

CFDA Title:

Community Development Block Grants/Entitlement Grants

**\* 12. Funding Opportunity Number:**

N/A

\* Title:

N/A

**13. Competition Identification Number:**

N/A

Title:

N/A

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

Pima County Community & Rural Development Program

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments



**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="2,746,895.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="2,746,895.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes  No

If "Yes", provide explanation and attach

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:

Middle Name:

\* Last Name:

Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:

**Application for Federal Assistance SF-424**

<b>* 1. Type of Submission:</b> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	<b>* 2. Type of Application:</b> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	<b>* If Revision, select appropriate letter(s):</b> <input type="text"/> <b>* Other (Specify):</b> <input type="text"/>
---	---	--

<b>* 3. Date Received:</b> <input type="text"/>	<b>4. Applicant Identifier:</b> <input type="text"/>
--	---

<b>5a. Federal Entity Identifier:</b> <input type="text" value="E-22-UC-04-0502"/>	<b>5b. Federal Award Identifier:</b> <input type="text"/>
---	--

**State Use Only:**

<b>6. Date Received by State:</b> <input type="text"/>	<b>7. State Application Identifier:</b> <input type="text"/>
--	--

**8. APPLICANT INFORMATION:**

**\* a. Legal Name:**

<b>* b. Employer/Taxpayer Identification Number (EIN/TIN):</b> <input type="text" value="86-6000543"/>	<b>* c. UEI:</b> <input type="text" value="EB6GYJJCZD48"/>
---	---

**d. Address:**

**\* Street1:**   
**Street2:**   
**\* City:**   
**County/Parish:**   
**\* State:**   
**Province:**   
**\* Country:**   
**\* Zip / Postal Code:**

**e. Organizational Unit:**

<b>Department Name:</b> <input type="text" value="CWD"/>	<b>Division Name:</b> <input type="text" value="Community Development"/>
---	---

**f. Name and contact information of person to be contacted on matters involving this application:**

**Prefix:**  **\* First Name:**   
**Middle Name:**   
**\* Last Name:**   
**Suffix:**

**Title:**

**Organizational Affiliation:**

**\* Telephone Number:**  **Fax Number:**

**\* Email:**

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

US Department of Housing and Urban Development (HUD)

**11. Catalog of Federal Domestic Assistance Number:**

14.231

CFDA Title:

Emergency Solutions Grant Program

**\* 12. Funding Opportunity Number:**

N/A

\* Title:

N/A

**13. Competition Identification Number:**

N/A

Title:

N/A

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

Pima County Community & Rural Development Program

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="252,200.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="252,200.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes  No

If "Yes", provide explanation and attach

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:

Middle Name:

\* Last Name:

Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:

## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL  	TITLE Chair
APPLICANT ORGANIZATION Pima County	DATE SUBMITTED  

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Chair, Board of Supervisors

\_\_\_\_\_  
Title

## Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Following a Plan** -- It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2022-2023 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.



**Compliance with Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

**Compliance with Laws** -- It will comply with applicable laws.

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Chair, Board of Supervisors  
Title

**OPTIONAL Community Development Block Grant Certification**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

N/A  
\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

**Specific HOME Certifications**

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

**Eligible Activities and Costs** -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy layering** -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Chair, Board of Supervisors  
Title

## **Emergency Solutions Grants Certifications**

The Emergency Solutions Grants Program recipient certifies that:

**Major rehabilitation/conversion/renovation** – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for these individuals.

**Matching Funds** – The recipient will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

**Discharge Policy** – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Chair, Board of Supervisors  
Title

**Housing Opportunities for Persons With AIDS Certifications**

The HOPWA grantee certifies that:

**Activities** -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

N/A  
\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

## **APPENDIX TO CERTIFICATIONS**

### **INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:**

#### **Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.