



BOARD OF SUPERVISORS AGENDA ITEM REPORT
AWARDS / CONTRACTS / GRANTS

Award Contract Grant

Requested Board Meeting Date: January 10, 2023

\* = Mandatory, information must be provided

or Procurement Director Award: [ ]

\*Contractor/Vendor Name/Grantor (DBA):

Department of Health and Human Services, Centers for Disease Control and Prevention (CDC)

\*Project Title/Description:

Pima County – SPRING Initiative for an Equitable and Healthy Community

\*Purpose:

Strengthen the Pima County Health Department’s workforce and foundational capacity to ensure a well-equipped, prepared, and supported workforce who are able to deliver effective, equity-focused programs, policies and practices through strong community and educational partnerships.

\*Procurement Method:

This grant contract is a non-Procurement contract and not subject to Procurement rules.

\*Program Goals/Predicted Outcomes:

- Funding will be used to implement the SPRING initiative for an equitable and healthy community focused on:
S- Strengthening the existing and future public health workforce through enhanced, equity-focused policies and practices to recruit, hire, train, sustain and grow the workforce
P- Partnering to grow the public health workforce pipeline and expand community engagement to more effectively address health priorities
R- Resilience-building among workers and within communities of focus
I- Investing in data infrastructure and public health informatics, operations, and foundational capabilities to improve organizational effectiveness and efficiency
N- Developing new, data-informed, equity-focused service delivery and staffing models
G- Growing a confident, capable, resilient, and empowered workforce that reflects the diversity of the communities we serve

\*Public Benefit:

Greater investment in PCHD’s workforce, data systems and core infrastructure will lead to improved health outcomes in historically underserved communities, and advance health equity within the County.

\*Metrics Available to Measure Performance:

PCHD will develop an evaluation plan with performance measures in Spring 2023. Anticipated outcomes include:

The Workforce component (\$11,309,276 over five years) will:

- Have the necessary composition, capacity, and capabilities to meet service demands and future community health priorities
Reflect Pima County’s diverse communities
Engage with the communities through strong collaborative partnerships
Be confident, capable, resilient, and empowered to conduct equity-focused work
Experience greater job satisfaction, well-being and work/life balance as reflected in assessments
Have career progression pathways and opportunities for advancement for select positions
Have a well-established entry level, community based, workforce
Cultivate future employees through internships, fellowships and field placements

The Foundational Capabilities component (\$615,105 per year) will:

- Improve workforce planning through an analysis of current staffing, training and policies
Build system capacity to ensure integration of equity-focused and community engagement efforts across the department
Strengthen data and health IT systems capacity to make data more accessible, equity-focused, actionable, and interoperable
Amplify performance management and continuous improvement to support the department’s accreditation status
Enhance internal capacity for monitoring and evaluating performance

\*Retroactive:

Yes. The Notice of Award was received 11/29/2022 and the award began 12/01/2022. Acceptance of the award is being sent to the BOS at the first meeting available. If not approved, the County would lose \$12 million for public health infrastructure building.

Handwritten note: GMI ok AF 12/20/22

THE APPLICABLE SECTION(S) BELOW MUST BE COMPLETED

Click or tap the boxes to enter text. If not applicable, indicate "N/A". Make sure to complete mandatory (\*) fields

Contract / Award Information

Document Type: Department Code: Contract Number (i.e., 15-123):
Commencement Date: Termination Date: Prior Contract Number (Synergen/CMS):
Expense Amount \$ Revenue Amount: \$

\*Funding Source(s) required:

Funding from General Fund? Yes No If Yes \$ %

Contract is fully or partially funded with Federal Funds? Yes No

If Yes, is the Contract to a vendor or subrecipient? Subrecipient

Were insurance or indemnity clauses modified? Yes No
If Yes, attach Risk's approval.

Vendor is using a Social Security Number? Yes No
If Yes, attach the required form per Administrative Procedure 22-10.

Amendment / Revised Award Information

Document Type: Department Code: Contract Number (i.e., 15-123):
Amendment No.: AMS Version No.:
Commencement Date: New Termination Date:
Prior Contract No. (Synergen/CMS):

Expense Revenue Increase Decrease

Is there revenue included? Yes No If Yes \$

Amount This Amendment: \$

\*Funding Source(s) required:

Funding from General Fund? Yes No If Yes \$ %

Grant/Amendment Information (for grants acceptance and awards)

Award Amendment

Document Type: GTAW Department Code: HD Grant Number (i.e., 15-123): 23-083
Commencement Date: 12/01/2022 Termination Date: 11/30/2027 Amendment Number: 00

Match Amount: \$ none Revenue Amount: \$ 11,924,381.00

\*All Funding Source(s) required: Dept. of Health and Human Services, CDC

\*Match funding from General Fund? Yes No If Yes \$ %

\*Match funding from other sources? Yes No If Yes \$ %

\*Funding Source: N/A

\*If Federal funds are received, is funding coming directly from the Federal government or passed through other organization(s)?

Directly from the Federal government

Contact: Sharon Grant

Department: Health

Telephone: 520 724-7842

Department Director Signature: Date: 12/21/22

Deputy County Administrator Signature: Date: 20 Dec 2022

County Administrator Signature: Date: 12/21/22



**Recipient Information**

**1. Recipient Name**  
PIMA COUNTY  
3950 S Country Club Rd STE 100  
Tucson, AZ 85714-2226  
[NO DATA]

**2. Congressional District of Recipient**  
03

**3. Payment System Identifier (ID)**  
1866000543A2

**4. Employer Identification Number (EIN)**  
866000543

**5. Data Universal Numbering System (DUNS)**  
144733792

**6. Recipient's Unique Entity Identifier (UEI)**  
U8XUY58VDQS3

**7. Project Director or Principal Investigator**  
Dr. Theresa Cullen  
Theresa.Cullen@pima.gov  
520-724-7765

**8. Authorized Official**  
Dr. Donald Gates  
Business Operations Manager  
Donald.Gates@Pima.gov  
520-724-7843

**Federal Agency Information**  
CDC Office of Financial Resources

**9. Awarding Agency Contact Information**  
Wanda Tucker  
kna9@cdc.gov  
770-488-5056

**10. Program Official Contact Information**  
T'Quondra Harris  
Program Officer  
uha2@cdc.gov  
111-111-1111

**Federal Award Information**

**11. Award Number**  
1 NE11OE000098-01-00

**12. Unique Federal Award Identification Number (FAIN)**  
NE11OE000098

**13. Statutory Authority**  
317(K)(2) OF PHSA 42USC 247B(K)(2)

**14. Federal Award Project Title**  
Pima County - SPRING Initiative for an Equitable and Healthy Community

**15. Assistance Listing Number**  
93.967

**16. Assistance Listing Program Title**  
CDC's Collaboration with Academia to Strengthen Public Health

**17. Award Action Type**  
New

**18. Is the Award R&D?**  
No

**Summary Federal Award Financial Information**

<b>19. Budget Period Start Date</b>	12/01/2022	<b>- End Date</b>	11/30/2023
<b>20. Total Amount of Federal Funds Obligated by this Action</b>			\$11,924,381.00
20a. Direct Cost Amount			\$10,864,933.00
20b. Indirect Cost Amount			\$1,059,448.00
<b>21. Authorized Carryover</b>			\$0.00
<b>22. Offset</b>			\$0.00
<b>23. Total Amount of Federal Funds Obligated this budget period</b>			\$0.00
<b>24. Total Approved Cost Sharing or Matching, where applicable</b>			\$0.00
<b>25. Total Federal and Non-Federal Approved this Budget Period</b>			\$11,924,381.00
<b>26. Period of Performance Start Date</b>	12/01/2022	<b>- End Date</b>	11/30/2027
<b>27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Period of Performance</b>			\$11,924,381.00

**28. Authorized Treatment of Program Income**  
ADDITIONAL COSTS

**29. Grants Management Officer - Signature**  
Mrs. Rhonda Latimer  
Grants Management Officer

**30. Remarks**



**Recipient Information**

**Recipient Name**  
PIMA COUNTY  
3950 S Country Club Rd STE 100  
Tucson, AZ 85714-2226  
[NO DATA]

**Congressional District of Recipient**  
03

**Payment Account Number and Type**  
1866000543A2

**Employer Identification Number (EIN) Data**  
866000543

**Universal Numbering System (DUNS)**  
144733792

**Recipient's Unique Entity Identifier (UEI)**  
U8XUY58VDQS3

**31. Assistance Type**  
Project Grant


**32. Type of Award**  
Other

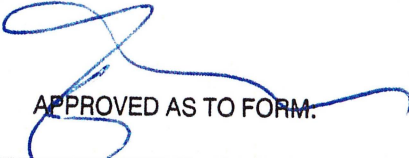
**33. Approved Budget**  
(Excludes Direct Assistance)

I. Financial Assistance from the Federal Awarding Agency Only	
II. Total project costs including grant funds and all other financial participation	
a. Salaries and Wages	\$6,799,136.00
b. Fringe Benefits	\$2,379,698.00
c. Total Personnel Costs	\$9,178,834.00
d. Equipment	\$255,000.00
e. Supplies	\$136,075.00
f. Travel	\$121,158.00
g. Construction	\$0.00
h. Other	\$984,212.00
i. Contractual	\$189,654.00
<b>j. TOTAL DIRECT COSTS</b>	<b>\$10,864,933.00</b>
<b>k. INDIRECT COSTS</b>	<b>\$1,059,448.00</b>
<b>l. TOTAL APPROVED BUDGET</b>	<b>\$11,924,381.00</b>
<b>m. Federal Share</b>	<b>\$11,924,381.00</b>
<b>n. Non-Federal Share</b>	<b>\$0.00</b>

**34. Accounting Classification Codes**

FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	OBJECT CLASS	CFDA NO.	AMT ACTION FINANCIAL ASSISTANCE	APPROPRIATION
3-9390JXA	23NE11OE000098A2	OE	410U	93.967	\$615,105.00	75-2224-0943
3-9390LIZ	23NE11OE000098A1C6	OE	410U	93.967	\$11,309,276.00	75-X-0140

REVIEWED BY:   
Appointing Authority or Designee  
Pima County Health Department

  
APPROVED AS TO FORM.  
Deputy County Attorney



DEPARTMENT OF HEALTH AND HUMAN SERVICES Notice of Award

Centers for Disease Control and Prevention

Award# 1 NE11OE000098-01-00

FAIN# NE11OE000098

Federal Award Date: 11/29/2022

Direct Assistance

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

# AWARD ATTACHMENTS

PIMA COUNTY

1 NE11OE000098-01-00

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1. Terms and Conditions

## AWARD INFORMATION

**Incorporation:** In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at <https://www.cdc.gov/grants/federal-regulations-policies/index.html>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number OE22-2203, entitled Strengthening U.S. Public Health Infrastructure, Workforce, and Data Systems , and application dated August 15, 2022, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

**Approved Funding:** Funding in the amount of \$11,924,381 is approved for the Year 1 budget period, which is December 1, 2022 through November 30, 2023. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third-party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

The NOFO provides for the funding of multiple components under this award. The approved component funding levels for this notice of award are:

NOFO Component	Amount
Strategy A1 - Workforce	\$ 11,309,276
Strategy A2: Foundational Capabilities	\$ 615,105

**Financial Assistance Mechanism:** Grant

**Budget Revision Requirement:** By January 16, 2023 the recipient must submit a revised budget with a narrative justification. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the CDC Staff Contacts section of this notice before the due date. Please use the Budget Preparation Guidance <https://www.cdc.gov/grants/documents/budget-preparation-guidance.pdf>

**Expanded Authority:** The recipient is permitted the following expanded authority in the administration of the award.

- Carryover of unobligated balances from one budget period to a subsequent budget period. Unobligated funds may be used for purposes within the scope of the project as originally approved. Recipients will report use, or intended use, of unobligated funds in Section 12 "Remarks" of the annual Federal Financial Report. If the GMO determines that some or all of the unobligated funds are not necessary to complete the project, the GMO may restrict the recipient's authority to automatically carry over unobligated balances in the future, use the balance to reduce or offset CDC funding for a subsequent budget period, or use a combination of these actions.

## **FUNDING RESTRICTIONS AND LIMITATIONS**

### **Indirect Costs:**

The recipient's indirect costs are approved and based on a de minimis rate of ten (10) percent of modified total direct costs (MTDC) as defined in 45 CFR Part 75.2, effective July 19, 2022.

## **REPORTING REQUIREMENTS**

### **Recipient Evaluation and Performance Measurement Plan (required)**

With support from CDC, recipients must elaborate on their initial applicant evaluation and performance measurement plan. This plan must be no more than 20 pages; recipients must submit the plan 6 months into the award. HHS/CDC will review and approve the recipient's monitoring and evaluation plan to ensure that it is appropriate for the activities to be undertaken as part of the agreement, for compliance with the monitoring and evaluation guidance established by HHS/CDC, or other guidance otherwise applicable to this Agreement.

Recipient Evaluation and Performance Measurement Plan (required): This plan should provide additional detail on the following:

#### Performance Measurement

- Performance measures and targets
- The frequency that performance data are to be collected.
- How performance data will be reported.
- How quality of performance data will be assured.
- How performance measurement will yield findings to demonstrate progress towards achieving NOFO goals (e.g., reaching target populations or achieving expected outcomes).
- Dissemination channels and audiences.
- Other information requested as determined by the CDC program.

#### Evaluation

- The types of evaluations to be conducted (e.g. process or outcome evaluations).
- The frequency that evaluations will be conducted.
- How evaluation reports will be published on a publicly available website.
- How evaluation findings will be used to ensure continuous quality and program improvement.
- How evaluation will yield findings to demonstrate the value of the NOFO (e.g., effect on improving public health outcomes, effectiveness of NOFO, cost-effectiveness or cost-benefit).
- Dissemination channels and audiences.

HHS/CDC or its designee will also undertake monitoring and evaluation of the defined activities within the agreement. The recipient must ensure reasonable access by HHS/CDC or its designee to all necessary sites, documentation, individuals, and information to monitor, evaluate and verify the appropriate implementation the activities and use of HHS/CDC funding under this Agreement.

### **Required Disclosures for Federal Awardee Performance and Integrity Information System**



**(FAPIS):** Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services  
Wanda G. Tucker, Grants Management Officer/Specialist  
Centers for Disease Control and Prevention  
OD, Environmental, Occupational Health and Injury Prevention Services Branch  
2939 Brandywine Rd  
Atlanta, GA 30341  
Fax: 770-488-2640 (Include "Mandatory Grant Disclosures" in subject line)  
Email: WTucker@cdc.gov (Include "Mandatory Grant Disclosures" in subject line)

AND

U.S. Department of Health and Human Services  
Office of the Inspector General  
ATTN: Mandatory Grant Disclosures, Intake Coordinator  
330 Independence Avenue, SW  
Cohen Building, Room 5527  
Washington, DC 20201

Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or  
Email: [MandatoryGranteeDisclosures@oig.hhs.gov](mailto:MandatoryGranteeDisclosures@oig.hhs.gov)

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

#### **PAYMENT INFORMATION**

*The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to [hhstips@oig.hhs.gov](mailto:hhstips@oig.hhs.gov) or by mail to Office of the Inspector General, Department of Health and Human Services, Attn:*

*HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.*

**Payment Management System Subaccount:** Funds awarded in support of approved activities have been obligated in a subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application.

This award contains funding from multiple components. The grant document number identified beginning on the bottom of Page 2 of the Notice of Award and component name, and below subaccount title(s) must be known in order to draw down funds.

Component: Strategy A1 - Workforce
Document Number: 23NE11OE000098A1

Component: Strategy A2: Foundational Capabilities
Document Number: 23NE11OE000098A2